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Chapter 1

Python for Artificial Intelligence

1.1 Why Python?

We use Python because Python programs can be close to pseudo-code. It is designed for humans to read.

Python is reasonably efficient. Efficiency is usually not a problem for small examples. If your Python code is not efficient enough, a general procedure to improve it is to find out what is taking most the time, and implement just that part more efficiently in some lower-level language. Most of these lower-level languages interoperate with Python nicely. This will result in much less programming and more efficient code (because you will have more time to optimize) than writing everything in a low-level language. You will not have to do that for the code here if you are using it for course projects.

1.2 Getting Python

You need Python 3 (http://python.org/) and matplotlib (http://matplotlib.org/) that runs with Python 3. This code is not compatible with Python 2 (e.g., with Python 2.7).

Download and install the latest Python 3 release from http://python.org/
This should also install pip3. You can install matplotlib using

```
pip3 install matplotlib
```

in a terminal shell (not in Python). That should “just work”. If not, try using pip instead of pip3.

The command python or python3 should then start the interactive python shell. You can quit Python with a control-D or with quit().
To upgrade matplotlib to the latest version (which you should do if you install a new version of Python) do:

```bash
pip3 install --upgrade matplotlib
```

We recommend using the enhanced interactive python ipython ([http://ipython.org/](http://ipython.org/)). To install ipython after you have installed python do:

```bash
pip3 install ipython
```

### 1.3 Running Python

We assume that everything is done with an interactive Python shell. You can either do this with an IDE, such as IDLE that comes with standard Python distributions, or just running ipython3 (or perhaps just ipython) from a shell.

Here we describe the most simple version that uses no IDE. If you download the zip file, and cd to the “aipython” folder where the .py files are, you should be able to do the following, with user input following : . The first ipython3 command is in the operating system shell (note that the -i is important to enter interactive mode), with user input in bold:

```bash
ipython -i searchGeneric.py
```

```
Python 3.6.5 (v3.6.5:f59c0932b4, Mar 28 2018, 05:52:31)
Type 'copyright', 'credits' or 'license' for more information
IPython 6.2.1 -- An enhanced Interactive Python. Type '?' for help.
Testing problem 1:
7 paths have been expanded and 4 paths remain in the frontier
Path found: a --> b --> c --> d --> g
Passed unit test
In [1]: searcher2 = AStarSearcher(searchProblem.acyclic_delivery_problem) #A*
```

```
In [2]: searcher2.search()  # find first path
16 paths have been expanded and 5 paths remain in the frontier
Out[2]: o103 --> o109 --> o119 --> o123 --> r123
```

```
In [3]: searcher2.search()  # find next path
21 paths have been expanded and 6 paths remain in the frontier
Out[3]: o103 --> b3 --> b4 --> o109 --> o119 --> o123 --> r123
```

```
In [4]: searcher2.search()  # find next path
28 paths have been expanded and 5 paths remain in the frontier
Out[4]: o103 --> b3 --> b1 --> b2 --> b4 --> o109 --> o119 --> o123 --> r123
```

```
In [5]: searcher2.search()  # find next path
No (more) solutions. Total of 33 paths expanded.
```

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1.4 Pitfalls

It is important to know when side effects occur. Often AI programs consider what would happen or what may have happened. In many such cases, we don’t want side effects. When an agent acts in the world, side effects are appropriate.

In Python, you need to be careful to understand side effects. For example, the inexpensive function to add an element to a list, namely append, changes the list. In a functional language like Haskell or Lisp, adding a new element to a list, without changing the original list, is a cheap operation. For example if $x$ is a list containing $n$ elements, adding an extra element to the list in Python (using append) is fast, but it has the side effect of changing the list $x$. To construct a new list that contains the elements of $x$ plus a new element, without changing the value of $x$, entails copying the list, or using a different representation for lists. In the searching code, we will use a different representation for lists for this reason.

1.5 Features of Python

1.5.1 Lists, Tuples, Sets, Dictionaries and Comprehensions

We make extensive uses of lists, tuples, sets and dictionaries (dicts). See https://docs.python.org/3/library/stdtypes.html

One of the nice features of Python is the use of list comprehensions (and also tuple, set and dictionary comprehensions).

\[(fe \text{ for } e \text{ in } \text{iter} \text{ if } \text{cond})\]

enumerates the values $fe$ for each $e$ in $\text{iter}$ for which $\text{cond}$ is true. The “if $\text{cond}$” part is optional, but the “for” and “in” are not optional. Here $e$ has to be a variable, $\text{iter}$ is an iterator, which can generate a stream of data, such as a list, a set, a range object (to enumerate integers between ranges) or a file. $\text{cond}$
is an expression that evaluates to either True or False for each \( e \), and \( fe \) is an expression that will be evaluated for each value of \( e \) for which \( \text{cond} \) returns True.

The result can go in a list or used in another iteration, or can be called directly using \( \text{next} \). The procedure \( \text{next} \) takes an iterator returns the next element (advancing the iterator) and raises a StopIteration exception if there is no next element. The following shows a simple example, where user input is prepended with >>>

```python
>>> [e*e for e in range(20) if e%2==0]
[0, 4, 16, 36, 100, 144, 196, 256, 324]
>>> a = (e*e for e in range(20) if e%2==0)
>>> next(a)
0
>>> next(a)
4
>>> next(a)
16
>>> list(a)
[36, 64, 100, 144, 196, 256, 324]
>>> next(a)
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
StopIteration
```

Notice how \( \text{list}(a) \) continued on the enumeration, and got to the end of it.

Comprehensions can also be used for dictionaries. The following code creates an index for list \( a \):

```python
>>> a = ["a", "f", "bar", "b", "a", "aaaa"]
>>> ind = {a[i]:i for i in range(len(a))}
>>> ind
{'a': 4, 'f': 1, 'bar': 2, 'b': 3, 'aaaa': 5}
>>> ind['b']
3
```

which means that 'b' is the 3rd element of the list.

The assignment of \( \text{ind} \) could have also be written as:

```python
>>> ind = {val:i for (i,val) in enumerate(a)}
```

where \( \text{enumerate} \) returns an iterator of \( (\text{index}, \text{value}) \) pairs.

### 1.5.2 Functions as first-class objects

Python can create lists and other data structures that contain functions. There is an issue that tricks many newcomers to Python. For a local variable in a function, the function uses the last value of the variable when the function is
1.5. Features of Python

called, not the value of the variable when the function was defined (this is called “late binding”). This means if you want to use the value a variable has when the function is created, you need to save the current value of that variable. Whereas Python uses “late binding” by default, the alternative that newcomers often expect is “early binding”, where a function uses the value a variable had when the function was defined, can be easily implemented.

Consider the following programs designed to create a list of 5 functions, where the \(i\)th function in the list is meant to add \(i\) to its argument:

```python
pythonDemo.py — Some tricky examples

fun_list1 = []
for i in range(5):
    def fun1(e):
        return e+i
    fun_list1.append(fun1)

fun_list2 = []
for i in range(5):
    def fun2(e, iv=i):
        return e+iv
    fun_list2.append(fun2)

fun_list3 = [lambda e: e+i for i in range(5)]

fun_list4 = [lambda e, iv=i: e+iv for i in range(5)]
i=56

Try to predict, and then test to see the output, of the output of the following calls, remembering that the function uses the latest value of any variable that is not bound in the function call:

```python
pythonDemo.py — (continued)

# in Shell do
### ipython -i pythonDemo.py
# Try these (copy text after the comment symbol and paste in the Python prompt):
# print([f(10) for f in fun_list1])
# print([f(10) for f in fun_list2])
# print([f(10) for f in fun_list3])
# print([f(10) for f in fun_list4])

In the first for-loop, the function \(fun\) uses \(i\), whose value is the last value it was assigned. In the second loop, the function \(fun2\) uses \(iv\). There is a separate \(iv\) variable for each function, and its value is the value of \(i\) when the function was defined. Thus \(fun1\) uses late binding, and \(fun2\) uses early binding. \(fun_list3\)

\(^1\)Numbered lines are Python code available in the code-directory, aipython. The name of the file is given in the gray text above the listing. The numbers correspond to the line numbers in that file.

http://aipython.org  Version 0.9.3  December 15, 2021
and \texttt{fun\_list4} are equivalent to the first two (except \texttt{fun\_list4} uses a different \texttt{i} variable).

One of the advantages of using the embedded definitions (as in \texttt{fun1} and \texttt{fun2} above) over the lambda is that it is possible to add a \texttt{\_\_doc\_\_} string, which is the standard for documenting functions in Python, to the embedded definitions.

1.5.3 Generators and Coroutines

Python has generators which can be used for a form of coroutines.

The \texttt{yield} command returns a value that is obtained with \texttt{next}. It is typically used to enumerate the values for a \texttt{for} loop or in generators. (The \texttt{yield} command can also be used for coroutines, but we only use it for generators in AIPython.)

A version of the built-in \texttt{range}, with 2 or 3 arguments (and positive steps) can be implemented as:

```python
def myrange(start, stop, step=1):
    """enumerates the values from start in steps of size step that are less than stop.""
    assert step>0, "only positive steps implemented in myrange"
    i = start
    while i<stop:
        yield i
        i += step

print("list(myrange(2,30,3)): ", list(myrange(2,30,3)))
```

Note that the built-in \texttt{range} is unconventional in how it handles a single argument, as the single argument acts as the second argument of the function. Note also that the built-in range also allows for indexing (e.g., \texttt{range(2,30,3)[2]} returns 8), which the above implementation does not. However \texttt{myrange} also works for floats, which the built-in range does not.

**Exercise 1.1** Implement a version of \texttt{myrange} that acts like the built-in version when there is a single argument. (Hint: make the second argument have a default value that can be recognized in the function.)

Yield can be used to generate the same sequence of values as in the example of Section 1.5.1:

```python
def ga(n):
    """generates square of even nonnegative integers less than n""
    for e in range(n):
        if e%2==0:
            yield e*e
a = ga(20)

http://aipython.org
```

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1.6. Useful Libraries

The sequence of next(a), and list(a) gives exactly the same results as the comprehension in Section 1.5.1.

It is straightforward to write a version of the built-in enumerate. Let’s call it myenumerate:

```python
def myenumerate(enum):
    for i in range(len(enum)):
        yield i, enum[i]
```

Exercise 1.2 Write a version of enumerate where the only iteration is “for val in enum”. Hint: keep track of the index.

1.6 Useful Libraries

1.6.1 Timing Code

In order to compare algorithms, we often want to compute how long a program takes; this is called the runtime of the program. The most straightforward way to compute runtime is to use time.perf_counter(), as in:

```python
import time
start_time = time.perf_counter()
compute_for_a_while()
end_time = time.perf_counter()
print("Time:", end_time - start_time, "seconds")
```

Note that time.perf_counter() measures clock time; so this should be done without user interaction between the calls. On the console, you should do:

```python
start_time = time.perf_counter(); compute_for_a_while(); end_time = time.perf_counter()
```

If this time is very small (say less than 0.2 second), it is probably very inaccurate, and it may be better to run your code many times to get a more accurate count. For this you can use timeit (https://docs.python.org/3/library/timeit.html). To use timeit to time the call to foo.bar(aaa) use:

```python
import timeit
time = timeit.timeit("foo.bar(aaa)",
    setup="from __main__ import foo,aaa", number=100)
```

The setup is needed so that Python can find the meaning of the names in the string that is called. This returns the number of seconds to execute foo.bar(aaa) 100 times. The variable number should be set so that the runtime is at least 0.2 seconds.

You should not trust a single measurement as that can be confounded by interference from other processes. timeit.repeat can be used for running timeit a few (say 3) times. Usually the minimum time is the one to report, but you should be explicit and explain what you are reporting.
1.6.2 Plotting: Matplotlib

The standard plotting for Python is matplotlib (http://matplotlib.org/). We will use the most basic plotting using the pyplot interface.
Here is a simple example that uses everything we will use.

```python
import matplotlib.pyplot as plt

def myplot(minv, maxv, step, fun1, fun2):
    plt.ion() # make it interactive
    plt.xlabel("The x axis")
    plt.ylabel("The y axis")
    plt.xscale('linear') # Makes a 'log' or 'linear' scale
    xvalues = range(minv, maxv, step)
    plt.plot(xvalues, [fun1(x) for x in xvalues],
             label="The first fun")
    plt.plot(xvalues, [fun2(x) for x in xvalues], linestyle='--',color='k',
             label=fun2.__doc__) # use the doc string of the function
    plt.legend(loc="upper right") # display the legend

def slin(x):
    """y=2x+7""
    return 2*x+7

def sqfun(x):
    """y=(x-40)^2/10-20""
    return (x-40)**2/10-20

# Try the following:
# from pythonDemo import myplot, slin, sqfun
# import matplotlib.pyplot as plt
# myplot(0, 100, 1, slin, sqfun)
# plt.legend(loc="best")
# import math
# plt.plot([41+40*math.cos(th/10) for th in range(50)],
#           [100+100*math.sin(th/10) for th in range(50)])
# plt.text(40, 100, "ellipse?")
# plt.xscale('log')
```

At the end of the code are some commented-out commands you should try in interactive mode. Cut from the file and paste into Python (and remember to remove the comments symbol and leading space).

### 1.7 Utilities

1.7.1 Display

In this distribution, to keep things simple and to only use standard Python, we use a text-oriented tracing of the code. A graphical depiction of the code could be found online at http://aipython.org.
override the definition of display (but we leave it as a project).

The method self.display is used to trace the program. Any call

\texttt{self.display(level, to.print \ldots)}

where the level is less than or equal to the value for max_display_level will be printed. The to.print\ldots can be anything that is accepted by the built-in print (including any keyword arguments).

The definition of display is:

\begin{verbatim}
_class Displayable(object):
    """Class that uses 'display'.
The amount of detail is controlled by max_display_level
""
    max_display_level = 1 # can be overridden in subclasses

def display(self,level,*args,**nargs):
    """print the arguments if level is less than or equal to the
    current max_display_level.
    level is an integer.
    the other arguments are whatever arguments print can take.
    ""
    if level <= self.max_display_level:
        print(*args, **nargs) #if error you are using Python2 not Python3
\end{verbatim}

Note that \texttt{args} gets a tuple of the positional arguments, and \texttt{nargs} gets a dictionary of the keyword arguments). This will not work in Python 2, and will give an error.

Any class that wants to use display can be made a subclass of Displayable. To change the maximum display level to say 3, for a class do:

\begin{verbatim}
Classname.max_display_level = 3
\end{verbatim}

which will make calls to display in that class print when the value of level is less than-or-equal to 3. The default display level is 1. It can also be changed for individual objects (the object value overrides the class value).

The value of max_display_level by convention is:

0 display nothing
1 display solutions (nothing that happens repeatedly)
2 also display the values as they change (little detail through a loop)
3 also display more details
4 and above even more detail

http://aipython.org Version 0.9.3 December 15, 2021
In order to implement more sophisticated visualizations of the algorithm, we add a `visualize` "decorator" to the methods to be visualized. The following code ignores the decorator:

```python
def visualize(func):
    """A decorator for algorithms that do interactive visualization.
    Ignored here.
    """
    return func
```

### 1.7.2 Argmax

Python has a built-in `max` function that takes a generator (or a list or set) and returns the maximum value. The `argmax` method returns the index of an element that has the maximum value. If there are multiple elements with the maximum value, one if the indexes to that value is returned at random. `argmaxe` assumes an enumeration; a generator of `(element, value)` pairs, as for example is generated by the built-in `enumerate(list)` for lists or `dict.items()` for dicts.

```python
import random
import math

def argmaxall(gen):
    """gen is a generator of (element, value) pairs, where value is a real.
    argmaxall returns a list of all of the elements with maximal value.
    """
    maxv = -math.inf  # negative infinity
    maxvals = []  # list of maximal elements
    for (e,v) in gen:
        if v>maxv:
            maxvals,maxv = [e], v
        elif v==maxv:
            maxvals.append(e)
    return maxvals

def argmaxe(gen):
    """gen is a generator of (element, value) pairs, where value is a real.
    argmaxe returns an element with maximal value.
    If there are multiple elements with the max value, one is returned at
    random.
    """
    return random.choice(argmaxall(gen))

def argmax(lst):
    """returns maximum index in a list"
    return argmaxe(enumerate(lst))
```

[http://aipython.org](http://aipython.org)
1.8 Testing Code

```python
# argmax([1,6,3,77,3,55,23])

def argmaxd(dct):
    """returns the argmax of a dictionary dct""
    return argmaxe(dct.items())
# Try:
# arxmaxd({2:5,5:9,7:7})
```

**Exercise 1.3** Change argmax to have an optional argument that specifies whether you want the “first”, “last” or a “random” index of the maximum value returned. If you want the first or the last, you don’t need to keep a list of the maximum elements.

1.7.3 Probability

For many of the simulations, we want to make a variable True with some probability. `flip(p)` returns True with probability $p$, and otherwise returns False.

```python
# def flip(prob):
    """return true with probability prob""
    return random.random() < prob
```

1.7.4 Dictionary Union

This is now an operator in Python 3.9, so will be replaced.

The function `dict_union(d1, d2)` returns the union of dictionaries $d1$ and $d2$. If the values for the keys conflict, the values in $d2$ are used. This is similar to `dict(d1, *d2)`, but that only works when the keys of $d2$ are strings.

```python
# def dict_union(d1,d2):
    """returns a dictionary that contains the keys of d1 and d2.
    The value for each key that is in d2 is the value from d2, otherwise it is the value from d1.
    This does not have side effects.
    """
    d = dict(d1)  # copy d1
d.update(d2)
    return d
```

1.8 Testing Code

It is important to test code early and test it often. We include a simple form of unit test. The value of the current module is in `__name__` and if the module is run at the top-level, its value is "__main__". See [https://docs.python.org/3/library/__main__.html](https://docs.python.org/3/library/__main__.html)
The following code tests `argmax` and `dict_union`, but only when if `utilities` is loaded in the top-level. If it is loaded in a module the test code is not run.

In your code you should do more substantial testing than we do here, in particular testing the boundary cases.

```python
 utilities.py — (continued)

def test():
    
    """Test part of utilities""
    assert argmax(enumerate([1,6,55,3,55,23])) in [2,4]
    assert dict_union({1:4, 2:5, 3:4},{5:7, 2:9}) == {1:4, 2:9, 3:4, 5:7}
    print("Passed unit test in utilities")

if __name__ == "__main__":
    test()
```
Chapter 2

Agents and Control

This implements the controllers described in Chapter 2.

In this version the higher-levels call the lower-levels. A more sophisticated version may have them run concurrently (either as coroutines or in parallel). The higher-levels calling the lower-level works in simulated environments when there is a single agent, and where the lower-level are written to make sure they return (and don’t go on forever), and the higher level doesn’t take too long (as the lower-levels will wait until called again).

2.1 Representing Agents and Environments

An agent observes the world, and carries out actions in the environment, it also has an internal state that it updates. The environment takes in actions of the agents, updates it internal state and returns the percepts.

In this implementation, the state of the agent and the state of the environment are represented using standard Python variables, which are updated as the state changes. The percepts and the actions are represented as variable-value dictionaries.

An agent implements the \texttt{go(n)} method, where \( n \) is an integer. This means that the agent should run for \( n \) time steps.

In the following code \texttt{raise NotImplementedError()} is a way to specify an abstract method that needs to be overridden in any implemented agent or environment.

```python
import random

class Agent(object):
    def __init__(self, env):
```

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2. Agents and Control

```python
"""set up the agent"
self.env=env

def go(self,n):
    """acts for n time steps"
    raise NotImplementedError("go") # abstract method

The environment implements a $do(action)$ method where $action$ is a variable-value dictionary. This returns a percept, which is also a variable-value dictionary. The use of dictionaries allows for structured actions and percepts.

Note that $Environment$ is a subclass of $Displayable$ so that it can use the $display$ method described in Section 1.7.1.
```

from display import Displayable
class Environment(Displayable):
    def initial_percepts(self):
        """returns the initial percepts for the agent"
        raise NotImplementedError("initial_percepts") # abstract method

def do(self,action):
    """does the action in the environment
    returns the next percept"
    raise NotImplementedError("do") # abstract method

2.2 Paper buying agent and environment

To run the demo, in folder "aipython", load "agents.py", using e.g.,
ipython -i agents.py, and copy and paste the commented-out
commands at the bottom of that file. This requires Python 3 with
matplotlib.

This is an implementation of the paper buying example.

2.2.1 The Environment

The environment state is given in terms of the $time$ and the amount of paper in $stock$. It also remembers the in-stock history and the price history. The percepts are the price and the amount of paper in stock. The action of the agent is the number to buy.

Here we assume that the prices are obtained from the $prices$ list plus a random integer in range $[0, max\_price\_addon)$ plus a linear "inflation". The agent cannot access the price model; it just observes the prices and the amount in stock.

```python
class TP_env(Environment):
```

[http://aipython.org](http://aipython.org) Version 0.9.3 December 15, 2021
2.2. Paper buying agent and environment

prices = [234, 234, 234, 234, 255, 255, 275, 275, 211, 211, 211,
234, 234, 234, 234, 199, 199, 275, 275, 234, 234, 234, 234, 255,
255, 260, 260, 265, 265, 265, 270, 270, 255, 255, 260, 260, 265,
265, 265, 150, 150, 265, 265, 270, 270, 255, 255, 260, 260, 265,
260, 265, 270, 270, 205, 255, 255, 260, 260, 265, 265, 265, 265,
270, 270]

max_price_addon = 20 # maximum of random value added to get price

def __init__(self):
    """paper buying agent""
    self.time=0
    self.stock=20
    self.stock_history = [] # memory of the stock history
    self.price_history = [] # memory of the price history

def initial_percepts(self):
    """return initial percepts"
    self.stock_history.append(self.stock)
    price = self.prices[0]+random.randrange(self.max_price_addon)
    self.price_history.append(price)
    return {'price': price,
            'instock': self.stock}

def do(self, action):
    """does action (buy) and returns percepts (price and instock)"
    used = pick_from_dist({6:0.1, 5:0.1, 4:0.2, 3:0.3, 2:0.2, 1:0.1})
    bought = action['buy']
    self.stock = self.stock+bought-used
    self.stock_history.append(self.stock)
    self.time += 1
    price = (self.prices[self.time%len(self.prices)] # repeating pattern
             +random.randrange(self.max_price_addon) # plus randomness
             +self.time//2) # plus inflation
    self.price_history.append(price)
    return {'price': price,
            'instock': self.stock}

The pick_from_dist method takes in a item : probability dictionary, and returns
one of the items in proportion to its probability.

def pick_from_dist(item_prob_dist):
    """returns a value from a distribution.
    item_prob_dist is an item:probability dictionary, where the
    probabilities sum to 1.
    returns an item chosen in proportion to its probability
    ""
    ranreal = random.random()
    for (it,prob) in item_prob_dist.items():
        if ranreal < prob:
            return it
2. Agents and Control

return it

else:
    ranreal -= prob
raise RuntimeError(str(item_prob_dist) + " is not a probability
distribution")

2.2.2 The Agent

The agent does not have access to the price model but can only observe the current price and the amount in stock. It has to decide how much to buy.

The belief state of the agent is an estimate of the average price of the paper, and the total amount of money the agent has spent.

class TP_agent(Agent):
    def __init__(self, env):
        self.env = env
        self.spent = 0
        percepts = env.initial_percepts()
        self.ave = self.last_price = percepts['price']
        self.instock = percepts['instock']

    def go(self, n):
        """go for n time steps
        ""
        for i in range(n):
            if self.last_price < 0.9 * self.ave and self.instock < 60:
                tobuy = 48
            elif self.instock < 12:
                tobuy = 12
            else:
                tobuy = 0
            self.spent += tobuy * self.last_price
            percepts = env.do({'buy': tobuy})
            self.last_price = percepts['price']
            self.ave = self.ave + (self.last_price - self.ave) * 0.05
            self.instock = percepts['instock']

Set up an environment and an agent. Uncomment the last lines to run the agent for 90 steps, and determine the average amount spent.

def en = TP_env()
ag = TP_agent(env)
#ag.go(90)
#ag.spent/env.time ## average spent per time period

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2.3. Hierarchical Controller

2.2.3 Plotting

The following plots the price and number in stock history:

```python
import matplotlib.pyplot as plt

class Plot_prices(object):
    """Set up the plot for history of price and number in stock""
    def __init__(self, ag, env):
        self.ag = ag
        self.env = env
        plt.ion()
        plt.xlabel("Time")
        plt.ylabel("Number in stock. Price.")

    def plot_run(self):
        """plot history of price and instock""
        num = len(env.stock_history)
        plt.plot(range(num), env.stock_history, label="In stock")
        plt.plot(range(num), env.price_history, label="Price")
        #plt.legend(loc="upper left")
        plt.draw()

# pl = Plot_prices(ag, env)
# ag.go(90); pl.plot_run()
```

2.3 Hierarchical Controller

To run the hierarchical controller, in folder "aipython", load "agentTop.py", using e.g., ipython -i agentTop.py, and copy and paste the commands near the bottom of that file. This requires Python 3 with matplotlib.

In this implementation, each layer, including the top layer, implements the environment class, because each layer is seen as an environment from the layer above.

We arbitrarily divide the environment and the body, so that the environment just defines the walls, and the body includes everything to do with the agent. Note that the named locations are part of the (top-level of the) agent, not part of the environment, although they could have been.

2.3.1 Environment

The environment defines the walls.
import math
from agents import Environment

class Rob_env(Environment):
    def __init__(self, walls = {}):
        """walls is a set of line segments
        where each line segment is of the form ((x0,y0),(x1,y1))
        ""
        self.walls = walls

2.3.2 Body
The body defines everything about the agent body.

import math
from agents import Environment
import matplotlib.pyplot as plt
import time

class Rob_body(Environment):
    def __init__(self, env, init_pos=(0,0,90)):
        """ env is the current environment
        init_pos is a triple of (x-position, y-position, direction)
        direction is in degrees; 0 is to right, 90 is straight-up, etc
        ""
        self.env = env
        self.rob_x, self.rob_y, self.rob_dir = init_pos
        self.turning_angle = 18 # degrees that a left makes
        self.whisker_length = 6 # length of the whisker
        self.whisker_angle = 30 # angle of whisker relative to robot
        self.crashed = False
        # The following control how it is plotted
        self.plotting = True # whether the trace is being plotted
        self.sleep_time = 0.05 # time between actions (for real-time plotting)
        # The following are data structures maintained:
        self.history = [(self.rob_x, self.rob_y)] # history of (x,y) positions
        self.wall_history = [] # history of hitting the wall

    def percepts(self):
        return {'rob_x_pos':self.rob_x, 'rob_y_pos':self.rob_y,
                'rob_dir':self.rob_dir, 'whisker':self.whisker(),
                'crashed':self.crashed}

    initial_percepts = percepts # use percept function for initial percepts too

    def do(self, action):
        """ action is {'steer':direction}
This detects if the whisker and the wall intersect. It’s value is returned as a percept.

```python
def line_segments_intersect(linea, lineb):
    """returns true if the line segments, linea and lineb intersect.
    A line segment is represented as a pair of points.
    A point is represented as a (x,y) pair.
    
    """ line segments intersect
```
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2.3.3 Middle Layer

The middle layer acts like both a controller (for the environment layer) and an environment for the upper layer. It has to tell the environment how to steer. Thus it calls `env.do(·)`. It also is told the position to go to and the timeout. Thus it also has to implement `do(·)`.

```python
from agents import Environment
import math
class Rob_middle_layer(Environment):
    def __init__(self, env):
        self.env = env
        self.percepts = env.initial_percepts()
        self.straight_angle = 11 # angle that is close enough to straight ahead
        self.close_threshold = 2 # distance that is close enough to arrived
        self.close_threshold_squared = self.close_threshold**2 # just compute it once

    def initial_percepts(self):
        return {}

    def do(self, action):
        act = {'go_to': target_pos, 'timeout': timeout}
        target_pos is (x,y) pair
        timeout is the number of steps to try
        returns {'arrived':True} when arrived is true
        or {'arrived':False} if it reached the timeout
```

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2.3. Hierarchical Controller

This determines how to steer depending on whether the goal is to the right or the left of where the robot is facing.

```python
from agentMiddle import Rob_middle_layer

def steer(self, target_pos):
    if self.percepts['whisker']:
        self.display(3, 'whisker on', self.percepts)
        return "left"
    else:
        gx, gy = target_pos
        rx, ry = self.percepts['rob_x_pos'], self.percepts['rob_y_pos']
        goal_dir = math.acos((gx-rx)/math.sqrt((gx-rx)*(gx-rx) + (gy-ry)*(gy-ry)))*180/math.pi
        if ry>gy:
            goal_dir = -goal_dir
            goal_from_rob = (goal_dir - self.percepts['rob_dir'])+540)%360-180
        assert -180 < goal_from_rob <= 180
        if goal_from_rob > self.straight_angle:
            return "left"
        elif goal_from_rob < -self.straight_angle:
            return "right"
        else:
            return "straight"

def close_enough(self, target_pos):
    gx, gy = target_pos
    rx, ry = self.percepts['rob_x_pos'], self.percepts['rob_y_pos']
    return (gx-rx)**2 + (gy-ry)**2 <= self.close_threshold_squared
```

2.3.4 Top Layer

The top layer treats the middle layer as its environment. Note that the top layer is an environment for us to tell it what to visit.

```python
from agentMiddle import Rob_middle_layer
```
from agents import Environment

class Rob_top_layer(Environment):
    def __init__(self, middle, timeout=200, locations = {'mail':(-5,10),
        'o103':(50,10), 'o109':(100,10), 'storage':(101,51)}):
        """middle is the middle layer
        timeout is the number of steps the middle layer goes before giving
        up
        locations is a loc:pos dictionary
        where loc is a named location, and pos is an (x,y) position.
        """
        self.middle = middle
        self.timeout = timeout # number of steps before the middle layer
        should give up
        self.locations = locations

    def do(self,plan):
        """carry out actions.
        actions is of the form {'visit':list_of_locations}
        It visits the locations in turn.
        """
        to_do = plan['visit']
        for loc in to_do:
            position = self.locations[loc]
            arrived = self.middle.do({'go_to':position,
                'timeout':self.timeout})
            self.display(1,"Arrived at",loc,arrived)

2.3.5 Plotting

The following is used to plot the locations, the walls and (eventually) the movement of the robot. It can either plot the movement if the robot as it is going (with the default env.plotting = True), or not plot it as it is going (setting env.plotting = False; in this case the trace can be plotted using pl.plot_run()).

```python
import matplotlib.pyplot as plt
class Plot_env(object):
    def __init__(self, body,top):
        """sets up the plot
        """
        self.body = body
        plt.ion()
        plt.clf()
        plt.axes().set_aspect('equal')
        for wall in body.env.walls:
            ((x0,y0),(x1,y1)) = wall
```

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```
plt.plot([x0,x1],[y0,y1],"-k",linewidth=3)
for loc in top.locations:
    (x,y) = top.locations[loc]
    plt.plot([x],[y],"k<")
    plt.text(x+1.0,y+0.5,loc) # print the label above and to the right
    plt.plot([body.rob_x],[body.rob_y],"go")
plt.draw()

def plot_run(self):
    """plots the history after the agent has finished.
    This is typically only used if body.plotting==False
    """
    xs,ys = zip(*self.body.history)
    plt.plot(xs,ys,"go")
    wxs,wys = zip(*self.body.wall_history)
    plt.plot(wxs,wys,"ro")
    #plt.draw()
```

The following code plots the agent as it acts in the world:

```
from agentEnv import Rob_body, Rob_env
env = Rob_env({((20,0),(30,20)), ((70,-5),(70,25))})
body = Rob_body(env)
middle = Rob_middle_layer(body)
top = Rob_top_layer(middle)

# try:
# pl=Plot_env(body,top)
# top.do({'visit':['o109','storage','o103']})
# You can directly control the middle layer:
# middle.do({'go_to':(30,-10), 'timeout':200})
# Can you make it crash?

Exercise 2.1 The following code implements a robot trap. Write a controller that can escape the "trap" and get to the goal. See textbook for hints.

```
# Robot Trap for which the current controller cannot escape:
trap_env = Rob_env({((10,-21),(10,0)), ((10,10),(10,31)),
                    ((30,-10),(30,0)),
                    ((30,10),(30,20)), ((50,-21),(50,31)),
                    ((10,-21),(50,-21)),
                    ((10,0),(30,0)), ((10,10),(30,10)), ((10,31),(50,31)))
trap_body = Rob_body(trap_env, init_pos=(-1,0,90))
trap_middle = Rob_middle_layer(trap_body)
trap_top = Rob_top_layer(trap_middle, locations={'goal':(71,0)})
```

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# pl=Plot_env(trap_body,trap_top)
# trap_top.do({'visit': ['goal']})
3.1 Representing Search Problems

A search problem consists of:

- a start node
- a neighbors function that given a node, returns an enumeration of the arcs from the node
- a specification of a goal in terms of a Boolean function that takes a node and returns true if the node is a goal
- a (optional) heuristic function that, given a node, returns a non-negative real number. The heuristic function defaults to zero.

As far as the searcher is concerned a node can be anything. If multiple-path pruning is used, a node must be hashable. In the simple examples, it is a string, but in more complicated examples (in later chapters) it can be a tuple, a frozen set, or a Python object.

In the following code `raise NotImplementedError()` is a way to specify that this is an abstract method that needs to be overridden to define an actual search problem.
The methods must be overridden to define a search problem.

```python
def start_node(self):
    """returns start node""
    raise NotImplementedError("start_node") # abstract method

def is_goal(self,node):
    """is True if node is a goal""
    raise NotImplementedError("is_goal") # abstract method

def neighbors(self,node):
    """returns a list of the arcs for the neighbors of node""
    raise NotImplementedError("neighbors") # abstract method

def heuristic(self,n):
    """Gives the heuristic value of node n.
    Returns 0 if not overridden.""
    return 0
```

The `neighbors` is a list of arcs. A (directed) arc consists of a `from_node` node and a `to_node` node. The arc is the pair `from_node, to_node`, but can also contain a non-negative `cost` (which defaults to 1) and can be labeled with an `action`.

```python
class Arc(object):
    """An arc has a from_node and a to_node node and a (non-negative)
    cost""
    def __init__(self, from_node, to_node, cost=1, action=None):
        assert cost >= 0, ("Cost cannot be negative for"+
                            str(from_node)+"->"+str(to_node)+", cost:
                            "+str(cost))
        self.from_node = from_node
        self.to_node = to_node
        self.action = action
        self.cost = cost

    def __repr__(self):
        """string representation of an arc""
        if self.action:
            return str(self.from_node)+" --"+str(self.action)+"--> "+str(self.to_node)
        else:
            return str(self.from_node)+" --> "+str(self.to_node)

3.1.1 Explicit Representation of Search Graph

The first representation of a search problem is from an explicit graph (as opposed to one that is generated as needed).

An explicit graph consists of

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3.1. Representing Search Problems

- a list or set of nodes
- a list or set of arcs
- a start node
- a list or set of goal nodes
- (optionally) a dictionary that maps a node to a heuristic value for that node

To define a search problem, we need to define the start node, the goal predicate, the neighbors function and the heuristic function.

```python
class Search_problem_from_explicit_graph(Search_problem):
    """A search problem consists of:
    * a list or set of nodes
    * a list or set of arcs
    * a start node
    * a list or set of goal nodes
    * a dictionary that maps each node into its heuristic value.
    * a dictionary that maps each node into its (x,y) position
    ""

    def __init__(self, nodes, arcs, start=None, goals=set(), hmap={},
                 positions={}):
        self.neighs = {}
        self.nodes = nodes
        for node in nodes:
            self.neighs[node] = []
        self.arcs = arcs
        for arc in arcs:
            self.neighs[arc.from_node].append(arc)
        self.start = start
        self.goals = goals
        self.hmap = hmap
        self.positions = positions

    def start_node(self):
        """returns start node""
        return self.start

    def is_goal(self, node):
        """is True if node is a goal""
        return node in self.goals

    def neighbors(self, node):
        """returns the neighbors of node""
        return self.neighs[node]
```

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def heuristic(self,node):
    """Gives the heuristic value of node n.
    Returns 0 if not overridden in the hmap."""
    if node in self.hmap:
        return self.hmap[node]
    else:
        return 0

def __repr__(self):
    """returns a string representation of the search problem"""
    res=""
    for arc in self.arcs:
        res += str(arc)+". "
    return res

The following is used for the depth-first search implementation below.

def neighbor_nodes(self,node):
    """returns an iterator over the neighbors of node"""
    return (path.to_node for path in self.neighs[node])

3.1.2 Paths

A searcher will return a path from the start node to a goal node. A Python list
is not a suitable representation for a path, as many search algorithms consider
multiple paths at once, and these paths should share initial parts of the path.
If we wanted to do this with Python lists, we would need to keep copying the
list, which can be expensive if the list is long. An alternative representation is
used here in terms of a recursive data structure that can share subparts.

A path is either:

- a node (representing a path of length 0) or

- a path, initial and an arc, where the from_node of the arc is the node at the
dead end of initial.

These cases are distinguished in the following code by having arc = None if the
path has length 0, in which case initial is the node of the path. Python yield is
used for enumerations only

class Path(object):
    """A path is either a node or a path followed by an arc"""
    def __init__(self,initial,arc=None):
        """initial is either a node (in which case arc is None) or
        a path (in which case arc is an object of type Arc)"""
        self.initial = initial
3.1. Representing Search Problems

```python
self.arc = arc
if arc is None:
    self.cost = 0
else:
    self.cost = initial.cost + arc.cost

def end(self):
    """returns the node at the end of the path"""
    if self.arc is None:
        return self.initial
    else:
        return self.arc.to_node

def nodes(self):
    """enumerates the nodes for the path.
    This starts at the end and enumerates nodes in the path backwards."""
    current = self
    while current.arc is not None:
        yield current.arc.to_node
        current = current.initial
        yield current.initial

def initial_nodes(self):
    """enumerates the nodes for the path before the end node.
    This starts at the end and enumerates nodes in the path backwards.""
    if self.arc is not None:
        yield from self.initial.nodes()

def __repr__(self):
    """returns a string representation of a path"""
    if self.arc is None:
        return str(self.initial)
    elif self.arc.action:
        return (str(self.initial) + "\n --" + str(self.arc.action) + "--> " + str(self.arc.to_node))
    else:
        return str(self.initial) + " --> " + str(self.arc.to_node)

3.1.3 Example Search Problems

The first search problem is one with 5 nodes where the least-cost path is one with many arcs. See Figure 3.1. Note that this example is used for the unit tests, so the test (in searchGeneric) will need to be changed if this is changed.

```
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The second search problem is one with 8 nodes where many paths do not lead to the goal. See Figure 3.2.

```python
problem2 = Search_problem_from_explicit_graph(
    {'a', 'b', 'c', 'd', 'e', 'g', 'h', 'j'},
    [Arc('a', 'b', 1), Arc('b', 'c', 3), Arc('b', 'd', 1), Arc('d', 'e', 3),
     Arc('d', 'g', 1), Arc('a', 'h', 3), Arc('h', 'j', 1)],
    start = 'a',
    goals = {'g'},
    positions={'a': (0, 0), 'b': (1, 1), 'c': (0, 1), 'd': (1, 2), 'g': (2, 2)})
```

The third search problem is a disconnected graph (contains no arcs), where the start node is a goal node. This is a boundary case to make sure that weird cases work.

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```
problem3 = Search_problem_from_explicit_graph(
    {'a','b','c','d','e','g','h','j'},
    [],
    start = 'g',
    goals = {'k','g'})

The acyclic_delivery_problem is the delivery problem described in Example 3.4 and shown in Figure 3.2 of the textbook.
```

```
acyclic_delivery_problem = Search_problem_from_explicit_graph(
    {'mail','ts','o103','o109','o111','b1','b2','b3','b4','c1','c2','c3',
     'o125','o123','o119','r123','storage'},
    [Arc('ts','mail',6),
     Arc('o103','ts',8),
     Arc('o103','b3',4),
     Arc('o103','o109',12),
     Arc('o109','o119',16),
     Arc('o109','o111',4),
     Arc('b1','c2',3),
     Arc('b1','b2',6),
     Arc('b2','b4',3),
     Arc('b3','b1',4),
     Arc('b3','b4',7),
     Arc('b4','o109',7),
     Arc('c1','c3',8),
     Arc('c2','c3',6),
     Arc('c2','c1',4),
     Arc('o123','o125',4),
     Arc('o123','r123',4),
     Arc('o119','o123',9),
     Arc('o119','storage',7)],
    start = 'o103',
    goals = {'r123'},
    hmap = {
        'mail' : 26,
        'ts' : 23,
        'o103' : 21,
        'o109' : 24,
        'o111' : 27,
        'o119' : 11,
        'o123' : 4,
        'o125' : 6,
        'r123' : 0,
        'b1' : 13,
        'b2' : 15,
        'b3' : 17,
        'b4' : 18,
        'c1' : 6,
        'c2' : 10,
    }
```
The cyclic_delivery_problem is the delivery problem described in Example 3.8 and shown in Figure 3.6 of the textbook. This is the same as acyclic_delivery_problem, but almost every arc also has its inverse.

cyclic_delivery_problem = Search_problem_from_explicit_graph(
    {'mail','ts','o103','o109','o111','b1','b2','b3','b4','c1','c2','c3','o125','o123','o119','r123','storage'},
    [ Arc('ts','mail',6), Arc('mail','ts',6),
        Arc('o103','ts',8), Arc('ts','o103',8),
        Arc('o103','b3',4),
        Arc('o103','o109',12), Arc('o109','o103',12),
        Arc('o109','o119',16), Arc('o119','o109',16),
        Arc('o109','o111',4), Arc('o111','o109',4),
        Arc('b1','c2',3),
        Arc('b1','b2',6), Arc('b2','b1',6),
        Arc('b2','b4',3), Arc('b4','b2',3),
        Arc('b3','b1',4), Arc('b1','b3',4),
        Arc('b3','b4',7), Arc('b4','b3',7),
        Arc('b4','o109',7),
        Arc('c1','c3',8), Arc('c3','c1',8),
        Arc('c2','c3',6), Arc('c3','c2',6),
        Arc('c2','c1',4), Arc('c1','c2',4),
        Arc('c2','o123',4), Arc('o123','c2',4),
        Arc('c2','o125',4), Arc('o125','c2',4),
        Arc('c1','o119',9), Arc('o119','c1',9),
        Arc('c1','storage',7), Arc('storage','c1',7)],
    start = 'o103',
    goals = {'r123'},
    hmap = {
        'mail': 26,
        'ts': 23,
        'o103': 21,
        'o109': 24,
        'o111': 27,
        'o119': 11,
        'o123': 4,
        'o125': 6,
        'r123': 0,
        'b1': 13,
        'b2': 15,
        'b3': 17,
        'b4': 18,
        'c1': 6,
        'c2': 10,
        'c3': 12,
3.2 Generic Searcher and Variants

To run the search demos, in folder "aipython", load "searchGeneric.py", using e.g., ipython -i searchGeneric.py, and copy and paste the example queries at the bottom of that file. This requires Python 3.

3.2.1 Searcher

A Searcher for a problem can be asked repeatedly for the next path. To solve a problem, we can construct a Searcher object for the problem and then repeatedly ask for the next path using search. If there are no more paths, None is returned.
Returns None if no path exists.

```python
while not self.empty_frontier():
    path = self.frontier.pop()
    self.display(2, "Expanding:", path, "(cost:", path.cost, ")")
    self.num_expanded += 1
    if self.problem.is_goal(path.end()): # solution found
        self.display(1, self.num_expanded, "paths have been expanded
        and",
        len(self.frontier), "paths remain in the
        frontier")
        self.solution = path # store the solution found
        return path
    else:
        neighs = self.problem.neighbors(path.end())
        self.display(3,"Neighbors are", neighs)
        for arc in reversed(list(neighs)):
            self.add_to_frontier(Path(path, arc))
        self.display(3,"Frontier:",self.frontier)
        self.display(1,"No (more) solutions. Total of",
        self.num_expanded,"paths expanded.")
```

Note that this reverses the neighbours so that it implements depth-first search in
an intutive manner (expanding the first neighbor first), and list is needed if the
neighbour are generated. Reversing the neighbours might not be required for
other methods. The calls to reversed and list can be removed, and the algothihm
still implements depth-fist search.

**Exercise 3.1** When it returns a path, the algorithm can be used to find another
path by calling `search()` again. However, it does not find other paths that go
through one goal node to another. Explain why, and change the code so that it
can find such paths when `search()` is called again.

### 3.2.2 Frontier as a Priority Queue

In many of the search algorithms, such as `A*` and other best-first searchers, the
frontier is implemented as a priority queue. Here we use the Python’s built-in
priority queue implementations, `heapq`.

Following the lead of the Python documentation [http://docs.python.org/3.3/library/heapq.html](http://docs.python.org/3.3/library/heapq.html), a frontier is a list of triples. The first element of each
triple is the value to be minimized. The second element is a unique index which
specifies the order when the first elements are the same, and the third element
is the path that is on the queue. The use of the unique index ensures that the
priority queue implementation does not compare paths; whether one path is
less than another is not defined. It also lets us control what sort of search (e.g.,
depth-first or breadth-first) occurs when the value to be minimized does not
give a unique next path.

[http://aipython.org](http://aipython.org)
The variable `frontier_index` is the total number of elements of the frontier that have been created. As well as being used as a unique index, it is useful for statistics, particularly in conjunction with the current size of the frontier.

The following methods are used for finding and printing information about the frontier.
def __len__(self):
    """length of the frontier""
    return len(self.frontierpq)

def __iter__(self):
    """iterate through the paths in the frontier""
    for (_,_,path) in self.frontierpq:
        yield path

3.2.3 A* Search

For an A* Search the frontier is implemented using the FrontierPQ class.

class AStarSearcher(Searcher):
    """returns a searcher for a problem.
    Paths can be found by repeatedly calling search().
    """
    def __init__(self, problem):
        super().__init__(problem)

    def initialize_frontier(self):
        self.frontier = FrontierPQ()

    def empty_frontier(self):
        return self.frontier.empty()

    def add_to_frontier(self,path):
        """add path to the frontier with the appropriate cost""
        value = path.cost+self.problem.heuristic(path.end())
        self.frontier.add(path, value)

Code should always be tested. The following provides a simple unit test, using problem1 as the the default problem.

import searchProblem as searchProblem

def test(SearchClass, problem=searchProblem.problem1,
    solutions=[['g','d','b','c','a']]):
    """Unit test for aiPython searching algorithms.
    SearchClass is a class that takes a problem and implements search()
    problem is a search problem
    solutions is a list of optimal solutions
    """
    print("Testing problem 1:")
    schr1 = SearchClass(problem)
    path1 = schr1.search()
Exercise 3.2 Change the code so that it implements (i) best-first search and (ii) lowest-cost-first search. For each of these methods compare it to $A^*$ in terms of the number of paths expanded, and the path found.

Exercise 3.3 In the `add` method in `FrontierPQ` what does the “-” in front of `frontier_index` do? When there are multiple paths with the same $f$-value, which search method does this act like? What happens if the “-” is removed? When there are multiple paths with the same value, which search method does this act like? Does it work better with or without the “-”? What evidence did you base your conclusion on?

Exercise 3.4 The searcher acts like a Python iterator, in that it returns one value (here a path) and then returns other values (paths) on demand, but does not implement the iterator interface. Change the code so it implements the iterator interface. What does this enable us to do?

3.2.4 Multiple Path Pruning

The following implements $A^*$ with multiple-path pruning. It overrides `search()` in `Searcher`.

```python
searchMPP.py — Searcher with multiple-path pruning

from searchGeneric import AStarSearcher, visualize
from searchProblem import Path

http://aipython.org
```
class SearcherMPP(AStarSearcher):
    """returns a searcher for a problem.
    Paths can be found by repeatedly calling search().
    """
    def __init__(self, problem):
        super().__init__(problem)
        self.explored = set()

    @visualize
    def search(self):
        """returns next path from an element of problem's start nodes
to a goal node.
        Returns None if no path exists.
        """
        while not self.empty_frontier():
            path = self.frontier.pop()
            if path.end() not in self.explored:
                self.display(2, "Expanding:", path, "(cost:", path.cost, ")")
                self.explored.add(path.end())
                self.num_expanded += 1
                if self.problem.is_goal(path.end()):
                    self.display(1, self.num_expanded, "paths have been
expanded and",
                    len(self.frontier), "paths remain in the
frontier")
                    self.solution = path # store the solution found
                    return path
                else:
                    neighs = self.problem.neighbors(path.end())
                    self.display(3, "Neighbors are", neighs)
                    for arc in neighs:
                        self.add_to_frontier(Path(path, arc))
                        self.display(3, "Frontier:", self.frontier)
                    self.display(1, "No (more) solutions. Total of",
                    self.num_expanded, "paths expanded.")

    from searchGeneric import test
    if __name__ == "__main__":
        test(SearcherMPP)

import searchProblem
# searcherMPPcdp = SearcherMPP(searchProblem.cyclic_delivery_problem)
# print(searcherMPPcdp.search()) # find first path

Exercise 3.5 Implement a searcher that implements cycle pruning instead of
multiple-path pruning. You need to decide whether to check for cycles when paths
are added to the frontier or when they are removed. (Hint: either method can be
implemented by only changing one or two lines in SearcherMPP. Hint: there is a
cyle if path.end() in path.initial_nodes() ) Compare no pruning, multiple path
3.3. Branch-and-bound Search

To run the demo, in folder “aipython”, load “searchBranchAndBound.py”, and copy and paste the example queries at the bottom of that file.

Depth-first search methods do not need an a priority queue, but can use a list as a stack. In this implementation of branch-and-bound search, we call search to find an optimal solution with cost less than bound. This uses depth-first search to find a path to a goal that extends path with cost less than the bound. Once a path to a goal has been found, that path is remembered as the best_path, the bound is reduced, and the search continues.

```python
from searchProblem import Path
from searchGeneric import Searcher
from display import Displayable, visualize

class DF_branch_and_bound(Searcher):
    """returns a branch and bound searcher for a problem.
    An optimal path with cost less than bound can be found by calling search()
    """
    def __init__(self, problem, bound=float("inf")):  
        """creates a searcher than can be used with search() to find an optimal path.
        bound gives the initial bound. By default this is infinite meaning there is no initial pruning due to depth bound""
        super().__init__(problem)
        self.best_path = None
        self.bound = bound

    @visualize
    def search(self):
        """returns an optimal solution to a problem with cost less than bound.
        returns None if there is no solution with cost less than bound.""
        self.frontier = [Path(self.problem.start_node())]
        self.num_expanded = 0
        while self.frontier:
            path = self.frontier.pop()
            if path.cost+self.problem.heuristic(path.end()) < self.bound:
                # if path.end() not in path.initial_nodes(): # for cycle pruning
```

pruning and cycle pruning for the cyclic delivery problem. Which works better in terms of number of paths expanded, computational time or space?
Searching for Solutions

```
self.display(3, "Expanding:", path, "cost:", path.cost)
self.num_expanded += 1
if self.problem.is_goal(path.end()):
    self.best_path = path
    self.bound = path.cost
    self.display(2, "New best path:", path, " cost:", path.cost)
else:
    neighs = self.problem.neighbors(path.end())
    self.display(3, "Neighbors are", neighs)
    for arc in reversed(list(neighs)):
        self.add_to_frontier(Path(path, arc))
    self.display(1, "Number of paths expanded:", self.num_expanded,
        "(optimal if self.best_path else "no", "solution found")")
self.solution = self.best_path
return self.best_path
```

Note that this code used `reversed` in order to expand the neighbors of a node in the left-to-right order one might expect. It does this because `pop()` removes the rightmost element of the list. The call to `list` is there because `reversed` only works on lists and tuples, but the neighbours can be generated.

Here is a unit test and some queries:

```
from searchGeneric import test
if __name__ == "__main__":
    test(DF_branch_and_bound)

# Example queries:
import searchProblem
# searcherb1 = DF_branch_and_bound(searchProblem.acyclic_delivery_problem)
# print(searcherb1.search()) # find optimal path
# searcherb2 = DF_branch_and_bound(searchProblem.cyclic_delivery_problem,
#    bound=100)
# print(searcherb2.search()) # find optimal path
```

Exercise 3.6 Implement a branch-and-bound search uses recursion. Hint: you don’t need an explicit frontier, but can do a recursive call for the children.

Exercise 3.7 After the branch-and-bound search found a solution, Sam ran search again, and noticed a different count. Sam hypothesized that this count was related to the number of nodes that an A* search would use (either expand or be added to the frontier). Or maybe, Sam thought, the count for a number of nodes when the bound is slightly above the optimal path case is related to how A* would work. Is there relationship between these counts? Are there different things that it could count so they are related? Try to find the most specific statement that is true, and explain why it is true.

To test the hypothesis, Sam wrote the following code, but isn’t sure it is helpful:
3.3. **Branch-and-bound Search**

```python
from searchGeneric import Searcher, AStarSearcher
from searchBranchAndBound import DF_branch_and_bound
from searchMPP import SearcherMPP

DF_branch_and_bound.max_display_level = 1
Searcher.max_display_level = 1

def run(problem, name):
    print("\n\n*******", name)

    print("\nA*:")
    asearcher = AStarSearcher(problem)
    print("Path found:", asearcher.search(), " cost=", asearcher.solution.cost)
    print("there are", asearcher.frontier.count(asearcher.solution.cost),
          "elements remaining on the queue with
          f-value=", asearcher.solution.cost)

    print("\nA* with MPP:")
    msearcher = SearcherMPP(problem)
    print("Path found:", msearcher.search(), " cost=", msearcher.solution.cost)
    print("there are", msearcher.frontier.count(msearcher.solution.cost),
          "elements remaining on the queue with
          f-value=", msearcher.solution.cost)

    bound = asearcher.solution.cost+0.01
    print("\nBranch and bound (with too-good initial bound of", bound, ")")
    tbb = DF_branch_and_bound(problem, bound) # cheating!!!!
    print("Path found:", tbb.search(), " cost=", tbb.solution.cost)
    print("Rerunning B&B")
    print("Path found:", tbb.search())

    bbound = asearcher.solution.cost*2+10
    print("\nBranch and bound (with not-very-good initial bound of",
          bbound, ")")
    tbb2 = DF_branch_and_bound(problem, bbound) # cheating!!!!
    print("Path found:", tbb2.search(), " cost=", tbb2.solution.cost)
    print("Rerunning B&B")
    print("Path found:", tbb2.search())

    print("\nDepth-first search: (Use ^C if it goes on forever)")
    tsearcher = Searcher(problem)
    print("Path found:", tsearcher.search(), " cost=", tsearcher.solution.cost)

import searchProblem
from searchTest import run
if __name__ == '__main__':
    run(searchProblem.problem1, "Problem 1")
    # run(searchProblem.acyclic_delivery_problem, "Acyclic Delivery")
    # run(searchProblem.cyclic_delivery_problem, "Cyclic Delivery")
```

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# also test some graphs with cycles, and some with multiple least-cost paths
Chapter 4

Reasoning with Constraints

4.1 Constraint Satisfaction Problems

4.1.1 Variables

A variable consists of a name, a domain and an optional (x,y) position (for displaying). The domain of a variable is a list or a tuple, as the ordering will matter in the representation of constraints.

```python
import random
import matplotlib.pyplot as plt

class Variable(object):
    '''A random variable.
    name (string) - name of the variable
    domain (list) - a list of the values for the variable.
    Variables are ordered according to their name.
    '''
    def __init__(self, name, domain, position=None):
        '''Variable
        name a string
        domain a list of printable values
        position of form (x,y)
        '''
        self.name = name # string
        self.domain = domain # list of values
        self.position = position if position else (random.random(),
            random.random())
        self.size = len(domain)
```

```csp Problem.py — Representations of a Constraint Satisfaction Problem
```
4. Reasoning with Constraints

4.1.2 Constraints

A constraint consists of:

- A tuple (or list) of variables is called the scope.
- A condition is a Boolean function that takes the same number of arguments as there are variables in the scope. The condition must have a \_\_name\_ property that gives a printable name of the function; built-in functions and functions that are defined using \texttt{def} have such a property; for other functions you may need to define this property.
- An optional name
- An optional \((x,y)\) position

```python
class Constraint(object):
    """A Constraint consists of
    * scope: a tuple of variables
    * condition: a Boolean function that can applied to a tuple of values
    for variables in scope
    * string: a string for printing the constraints. All of the strings
    must be unique.
    ""
    def \_\_init\_(self, scope, condition, string=None, position=None):
        self.scope = scope
        self.condition = condition
        if string is None:
            self.string = self.condition.__name__ + str(self.scope)
        else:
            self.string = string
        self.position = position
    def \_\_repr\_(self):
        return self.string
```

An assignment is a \texttt{variable:value} dictionary.

If \texttt{con} is a constraint, \texttt{con.holds(assignment)} returns True or False depending on whether the condition is true or false for that assignment. The assignment \texttt{assignment} must assigns a value to every variable in the scope of the constraint \texttt{con} (and could also assign values other variables); \texttt{con.holds} gives an error if

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4.1. Constraint Satisfaction Problems

not all variables in the scope of \textit{con} are assigned in the assignment. It ignores variables in \textit{assignment} that are not in the scope of the constraint.

In Python, the \texttt{*} notation is used for unpacking a tuple. For example, \(F(*\{1,2,3\})\) is the same as \(F(1,2,3)\). So if \(t\) has value \((1,2,3)\), then \(F(*t)\) is the same as \(F(1,2,3)\).

```
--- cspProblem.py — (continued) ---
def can_evaluate(self, assignment):
    ""
    assignment is a variable:value dictionary
    returns True if the constraint can be evaluated given assignment
    ""
    return all(v in assignment for v in self.scope)
def holds(self, assignment):
    """returns the value of Constraint con evaluated in assignment.
    precondition: all variables are assigned in assignment, ie
    self.can_evaluate(assignment) is true
    """
    return self.condition(*tuple(assignment[v] for v in self.scope))
```

4.1.3 CSPs

A constraint satisfaction problem (CSP) requires:

- \textit{variables}: a list or set of variables

- \textit{constraints}: a set or list of constraints.

Other properties are inferred from these:

- \textit{variables} is the set of variables.

- \textit{\texttt{var_to_const}} is a mapping from variables to set of constraints, such that \(\texttt{var_to_const}[\texttt{var}]\) is the set of constraints with \texttt{var} in the scope.

```
--- cspProblem.py — (continued) ---
class CSP(object):
    """A CSP consists of
    * a title (a string)
    * variables, a set of variables
    * constraints, a list of constraints
    * \texttt{var_to_const}, a variable to set of constraints dictionary
    """
def __init__(self, title, variables, constraints):
    """title is a string
    variables is set of variables
    constraints is a list of constraints
```

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```python
self.title = title
self.variables = variables
self.constraints = constraints
self.var_to_const = {var: set() for var in self.variables}
for con in self.constraints:
    for var in con.scope:
        self.var_to_const[var].add(con)

def __str__(self):
    """string representation of CSP""
    return str(self.title)

def __repr__(self):
    """more detailed string representation of CSP""
    return f"CSP({self.title}, {self.variables}, {([str(c) for c in self.constraints])})"

csp consistent(assignment) returns true if the assignment is consistent with each of the constraints in csp (i.e., all of the constraints that can be evaluated evaluate to true). Note that this is a local consistency with each constraint; it does not imply the CSP is consistent or has a solution.

def consistent(self, assignment):
    """assignment is a variable:value dictionary
    returns True if all of the constraints that can be evaluated
    evaluate to True given assignment.
    """
    return all(con.holds(assignment)
               for con in self.constraints
               if con.can_evaluate(assignment))

The show method uses matplotlib to show the graphical structure of a constraint network.

```
4.1. Constraint Satisfaction Problems

```python
for i in range(2):
    bbox = dict(boxstyle="square,pad=1.0",color="green")
    for var in con.scope:
        ax.annotate(con.string, var.position, xytext=con.position,
                    arrowprops={'arrowstyle':"-"},bbox=con_bbox,
                    ha='center')
    for var in self.variables:
        x,y = var.position
        plt.text(x,y,var.name,bbox=var_bbox,ha='center')
```

4.1.4 Examples

In the following code `ne_`, when given a number, returns a function that is true when its argument is not that number. For example, if \( f = ne_3 \), then \( f(2) \) is True and \( f(3) \) is False. That is, \( ne_3(\cdot)(\cdot) \) is true when \( x \neq y \). Allowing a function of multiple arguments to use its arguments one at a time is called currying, after the logician Haskell Curry. Functions used as conditions in constraints require names (so they can be printed).

```python
def ne_(val):
    """not equal value""
    # nev = lambda x: x != val # alternative definition
    # nev = partial(neq,val)  # another alternative definition
    def nev(x):
        return val != x
    nev.__name__ = str(val)+"!=" # name of the function
    return nev
```

Similarly \( is_\cdot(-) \) is true when \( x = y \).

```python
def is_(val):
    """is a value""
    # isv = lambda x: x == val # alternative definition
    # isv = partial(eq,val) # another alternative definition
    def isv(x):
        return val == x
    isv.__name__ = str(val)+"==" # name of the function
    return isv
```

The CSP, \( csp_0 \) has variables \( X, Y \) and \( Z \), each with domain \( \{1,2,3\} \). The constraints are \( X < Y \) and \( Y < Z \).
The CSP, csp1 has variables $A$, $B$ and $C$, each with domain \{1, 2, 3, 4\}. The constraints are $A < B$, $B \neq 2$ and $B < C$. This is slightly more interesting than csp0 as it has more solutions. This example is used in the unit tests, and so if it is changed, the unit tests need to be changed.

The next CSP, csp2 is Example 4.9 of the textbook; the domain consistent network (after applying the unary constraints) is shown in Figure ?? in the textbook. Note that we use the same variables as the previous example and add two more.
The following example is another scheduling problem (but with multiple answers). This is the same as scheduling 2 in the original AIspace.org consistency app.
The following example is another abstract scheduling problem. What are the solutions?

def adjacent(x,y):
    """True when x and y are adjacent numbers"""
    return abs(x-y) == 1

csp4 = CSP("csp4", {A,B,C,D,E},
    [Constraint([A,B], adjacent, "adjacent(A,B)")],
    Constraint([B,C], adjacent, "adjacent(B,C)")],
    Constraint([C,D], adjacent, "adjacent(C,D)")],
    Constraint([D,E], adjacent, "adjacent(D,E)")],
    Constraint([A,C], ne, "A != C"),
    Constraint([B,D], ne, "B != D"),
    Constraint([C,E], ne, "C != E")])

The following examples represent the crossword shown in Figure 4.5.

In the first representation, the variables represent words. The constraint imposed by the crossword is that where two words intersect, the letter at the intersection must be the same. The method meet_at is used to test whether two words intersect with the same letter. For example, the constraint meet_at(2,0)
4.1. Constraint Satisfaction Problems

Figure 4.4: csp4.show()

Figure 4.5: crossword1: a crossword puzzle to be solved

Words:
ant, big, bus, car, has, book, buys, hold, lane, year, ginger, search, symbol, syntax.

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Figure 4.6: crossword1.show()

means that the third letter (at position 2) of the first argument is the same as the first letter of the second argument. This is shown in Figure 4.6.

def meet_at(p1,p2):
    """returns a function of two words that is true
    when the words intersect at positions p1, p2.
     The positions are relative to the words; starting at position 0.
    meet_at(p1,p2)(w1,w2) is true if the same letter is at position p1 of
    word w1
    and at position p2 of word w2.
    """
    def meets(w1,w2):
        return w1[p1] == w2[p2]
    meets.__name__ = "meet_at("+str(p1)+','+str(p2)+')'" 
    return meets

one_across = Variable('one_across', {'ant', 'big', 'bus', 'car', 'has'},
position=(0.3,0.9))
one_down = Variable('one_down', {'book', 'buys', 'hold', 'lane', 'year'},
position=(0.1,0.7))
two_down = Variable('two_down', {'ginger', 'search', 'symbol', 'syntax'},
position=(0.9,0.8))
three_across = Variable('three_across', {'book', 'buys', 'hold', 'land',
'year'}, position=(0.1,0.3))
four_across = Variable('four_across', {'ant', 'big', 'bus', 'car', 'has'},
position=(0.7,0.0))

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In an alternative representation of a crossword (the “dual” representation),
the variables represent letters, and the constraints are that adjacent sequences
of letters form words. This is shown in Figure 4.7.

crossword1 = CSP("crossword1",
    {one_across, one_down, two_down, three_across,
        four_across},
    [Constraint([one_across,one_down], meet_at(0,0)),
        Constraint([one_across,two_down], meet_at(2,0)),
        Constraint([three_across,two_down], meet_at(2,2)),
        Constraint([three_across,one_down], meet_at(0,2)),
        Constraint([four_across,two_down], meet_at(0,4))])

words = {'ant', 'big', 'bus', 'car', 'has', 'book', 'buys', 'hold',
    'lane', 'year', 'ginger', 'search', 'symbol', 'syntax'}

def is_word(*letters, words=words):
    """is true if the letters concatenated form a word in words"""
    return ''.join(letters) in words

letters = {'a', 'b', 'c', 'd', 'e', 'f', 'g', 'h', 'i', 'j', 'k', 'l',
    'm', 'n', 'o', 'p', 'q', 'r', 's', 't', 'u', 'v', 'w', 'x', 'y',
    'z'}
"z"

# pij is the variable representing the letter i from the left and j down (starting from 0)
p00 = Variable('p00', letters, position=(0.1,0.85))
p10 = Variable('p10', letters, position=(0.3,0.85))
p20 = Variable('p20', letters, position=(0.5,0.85))
p01 = Variable('p01', letters, position=(0.1,0.7))
p21 = Variable('p21', letters, position=(0.5,0.7))
p02 = Variable('p02', letters, position=(0.1,0.55))
p12 = Variable('p12', letters, position=(0.3,0.55))
p22 = Variable('p22', letters, position=(0.5,0.55))
p32 = Variable('p32', letters, position=(0.7,0.55))
p03 = Variable('p03', letters, position=(0.1,0.4))
p23 = Variable('p23', letters, position=(0.5,0.4))
p24 = Variable('p24', letters, position=(0.5,0.25))
p34 = Variable('p34', letters, position=(0.7,0.25))
p44 = Variable('p44', letters, position=(0.9,0.25))
p25 = Variable('p25', letters, position=(0.5,0.1))
crossword1d = CSP("crossword1d",
    {p00, p10, p20, # first row
     p01, p21, # second row
     p02, p12, p22, p32, # third row
     p03, p23, #fourth row
     p24, p34, p44, # fifth row
     p25 # sixth row
    },
    [Constraint([p00, p10, p20], is_word, position=(0.3,0.95)), #1-across
     Constraint([p00, p01, p02, p03], is_word, position=(0,0.625)), # 1-down
     Constraint([p02, p12, p22, p32], is_word, position=(0.3,0.625)), # 3-across
     Constraint([p20, p21, p22, p23, p24, p25], is_word, position=(0.45,0.475)), # 2-down
     Constraint([p24, p34, p44], is_word, position=(0.7,0.325)) # 4-across
    ])

Exercise 4.1 How many assignments of a value to each variable are there for each of the representations of the above crossword? Do you think an exhaustive enumeration will work for either one?

The queens problem is a puzzle on a chess board, where the idea is to place a queen on each column so the queens cannot take each other: there are no two queens on the same row, column or diagonal. The \texttt{n-queens problem} is a generalization where the size of the board is an $n \times n$, and $n$ queens have to be placed.
4.1. Constraint Satisfaction Problems

Here is a representation of the n-queens problem, where the variables are the columns and the values are the rows in which the queen is placed. The original queens problem on a standard (8 × 8) chess board is \( n\_\text{queens}(8) \)

```python
def queens(ri,rj):
    """ri and rj are different rows, return the condition that the queens
cannot take each other""
    def no_take(ci,cj):
        """is true if queen at (ri,ci) cannot take a queen at (rj,cj)""
        return ci != cj and abs(ri-ci) != abs(rj-cj)
    return no_take

def n_queens(n):
    """returns a CSP for n-queens""
    columns = list(range(n))
    variables = [Variable(f"R{i}",columns) for i in range(n)]
    return CSP("n-queens",
                variables,
                [Constraint([variables[i], variables[j]], queens(i,j))
                 for i in range(n) for j in range(n) if i != j])
```

Exercise 4.2 How many constraints does this representation of the n-queens problem produce? Can it be done with fewer constraints? Either explain why it can’t be done with fewer constraints, or give a solution using fewer constraints.

Unit tests

The following defines a unit test for csp solvers, by default using example csp1.

```python
def test_csp(CSP_solver, csp=csp1,
            solutions=[{(A: 1, B: 3, C: 4), (A: 2, B: 3, C: 4)}]):
    """CSP_solver is a solver that takes a csp and returns a solution
    csp is a constraint satisfaction problem
    solutions is the list of all solutions to csp
    This tests whether the solution returned by CSP_solver is a solution.
    """
    print("Testing csp with",CSP_solver.__doc__)
    sol0 = CSP_solver(csp)
    print("Solution found:",sol0)
    assert sol0 in solutions, "Solution not correct for "+str(csp)
    print("Passed unit test")
```

Exercise 4.3 Modify test so that instead of taking in a list of solutions, it checks whether the returned solution actually is a solution.
Exercise 4.4  Propose a test that is appropriate for CSPs with no solutions. Assume that the test designer knows there are no solutions. Consider what a CSP solver should return if there are no solutions to the CSP.

Exercise 4.5  Write a unit test that checks whether all solutions (e.g., for the search algorithms that can return multiple solutions) are correct, and whether all solutions can be found.

4.2  A Simple Depth-first Solver

The first solver searches through the space of partial assignments. This takes in a CSP problem and an optional variable ordering, which is a list of the variables in the CSP. It returns a generator of the solutions (see Python documentation on yield for enumerations).

```python
from cspExamples import csp1,csp2,test_csp, crossword1, crossword1d

def dfs_solver(constraints, context, var_order):
    """generator for all solutions to csp.
    context is an assignment of values to some of the variables.
    var_order is a list of the variables in csp that are not in context.
    ""
    to_eval = {c for c in constraints if c.can_evaluate(context)}
    if all(c.holds(context) for c in to_eval):
        if var_order == []:
            yield context
        else:
            rem_cons = [c for c in constraints if c not in to_eval]
            var = var_order[0]
            for val in var.domain:
                yield from dfs_solver(rem_cons, context|{var:val}, var_order[1:])

def dfs_solve_all(csp, var_order=None):
    """depth-first CSP solver to return a list of all solutions to csp.
    ""
    if var_order == None: # use an arbitrary variable order
        var_order = list(csp.variables)
    return list(dfs_solver(csp.constraints, {}, var_order))

def dfs_solve1(csp, var_order=None):
    """depth-first CSP solver to find single solution or None if there are no solutions.
    ""
    if var_order == None: # use an arbitrary variable order
        var_order = list(csp.variables)
    gen = dfs_solver(csp.constraints, {}, var_order)
    try:  # Python generators raise an exception if there are no more elements.
        yield next(gen)
    except StopIteration:
        return None

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Converting CSPs to Search Problems

Exercise 4.6 Instead of testing all constraints at every node, change it so each constraint is only tested when all of it variables are assigned. Given an elimination ordering, it is possible to determine when each constraint needs to be tested. Implement this. Hint: create a parallel list of sets of constraints, where at each position \( i \) in the list, the constraints at position \( i \) can be evaluated when the variable at position \( i \) has been assigned.

Exercise 4.7 Estimate how long \( \text{dfs}\_\text{solve}\_\text{all}(\text{crossword1d}) \) will take on your computer. To do this, reduce the number of variables that need to be assigned, so that the simplifies problem can be solved in a reasonable time (between 0.1 second and 10 seconds). This can be done by reducing the number of variables in \text{var}\_\text{order}, as the program only splits on these. How much more time will it take if the number of variables is increased by 1? (Try it!) Then extrapolate to all of the variables. See Section 1.6.1 for how to time your code. Would making the code 100 times faster or using a computer 100 times faster help?

4.3 Converting CSPs to Search Problems

The next solver constructs a search space that can be solved using the search methods of the previous chapter. This takes in a CSP problem and an optional variable ordering, which is a list of the variables in the CSP. In this search space:

- A node is a \text{variable} : \text{value} dictionary which does not violate any constraints (so that dictionaries that violate any contraints are not added).

- An arc corresponds to an assignment of a value to the next variable. This assumes a static ordering; the next variable chosen to split does not depend on the context. If no variable ordering is given, this makes no attempt to choose a good ordering.
4. Reasoning with Constraints

```python
from cspProblem import CSP, Constraint
from searchProblem import Arc, Search_problem
from utilities import dict_union

class Search_from_CSP(Search_problem):
    """A search problem directly from the CSP."
    A node is a variable:value dictionary"
    def __init__(self, csp, variable_order=None):
        self.csp = csp
        if variable_order:
            assert set(variable_order) == set(csp.variables)
            assert len(variable_order) == len(csp.variables)
            self.variables = variable_order
        else:
            self.variables = list(csp.variables)

def is_goal(self, node):
    """returns whether the current node is a goal for the search"
    """
    return len(node) == len(self.csp.variables)

def start_node(self):
    """returns the start node for the search"
    """
    return {}

    The neighbors(node) method uses the fact that the length of the node, which
is the number of variables already assigned, is the index of the next variable to
split on. Note that we do no need to check whether there are no more variables
to split on, as the nodes are all consistent, by construction, and so when there
are no more variables we have a solution, and so don’t need the neighbours.

def neighbors(self, node):
    """returns a list of the neighboring nodes of node."
    """
    var = self.variables[len(node)]  # the next variable
    res = []
    for val in var.domain:
        new_env = dict_union(node, {var: val})  # dictionary union
        if self.csp.consistent(new_env):
            res.append(Arc(node, new_env))
    return res

    The unit tests relies on a solver. The following procedure creates a solver
using search that can be tested.
```

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from searchGeneric import Searcher

def solver_from_searcher(csp):
    """depth-first search solver""
    path = Searcher(Search_from_CSP(csp)).search()
    if path is not None:
        return path.end()
    else:
        return None

if __name__ == "__main__":
    test_csp(solver_from_searcher)

### Test Solving CSPs with Search:
searcher1 = Searcher(Search_from_CSP(csp1))
# print(searcher1.search()) # get next solution
searcher2 = Searcher(Search_from_CSP(csp2))
# print(searcher2.search()) # get next solution
searcher3 = Searcher(Search_from_CSP(crossword1))
# print(searcher3.search()) # get next solution
searcher4 = Searcher(Search_from_CSP(crossword1d))
# print(searcher4.search()) # get next solution (warning: slow)

Exercise 4.8 What would happen if we constructed the new assignment by assigning node[var] = val (with side effects) instead of using dictionary union? Give an example of where this could give a wrong answer. How could the algorithm be changed to work with side effects? (Hint: think about what information needs to be in a node).

Exercise 4.9 Change neighbors so that it returns an iterator of values rather than a list. (Hint: use yield.)

4.4 Consistency Algorithms

A ConSolver is used to simplify a CSP using arc consistency.

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* kwargs is the keyword arguments for Displayable superclass

    self.csp = csp
    super().__init__(**kwargs)  # Or Displayable.__init__(self,**kwargs)

The following implementation of arc consistency maintains the set to_do of
(variable, constraint) pairs that are to be checked. It takes in a domain dicti-
nary and returns a new domain dictionary. It needs to be careful to avoid side
effects (by copying the domains dictionary and the to_do set).

def make_arc_consistent(self, orig_domains=None, to_do=None):
    """Makes this CSP arc-consistent using generalized arc consistency
    orig_domains is the original domains
to_do is a set of (variable,constraint) pairs
returns the reduced domains (an arc-consistent variable:domain
dictionary)
    """
    if orig_domains is None:
        orig_domains = {var:var.domain for var in self.csp.variables}
    if to_do is None:
        to_do = {(var, const) for const in self.csp.constraints
                   for var in const.scope}
    else:
        to_do = to_do.copy()  # use a copy of to_do
    domains = orig_domains.copy()
    self.display(2, "Performing AC with domains", domains)
    while to_do:
        var, const = self.select_arc(to_do)
        self.display(3, "Processing arc (", var, ",", const, ")")
        other_vars = [ov for ov in const.scope if ov != var]
        new_domain = {val for val in domains[var]
                      if self.any_holds(domains, const, {var: val},
                                      other_vars)}
        if new_domain != domains[var]:
            self.display(4, "Arc: (", var, ",", const, ") is
                        inconsistent")
            self.display(3, "Domain pruned", "dom("', var, ") =",
                        new_domain,
                        " due to ", const)
            domains[var] = new_domain
            add_to_do = self.new_to_do(var, const) - to_do
            to_do |= add_to_do    # set union
            self.display(3, " adding", add_to_do if add_to_do else
                         "nothing", "to to_do.")
            self.display(4, "Arc: (", var, ",", const, ") now consistent")
            self.display(2, "AC done. Reduced domains", domains)
            return domains

    def new_to_do(self, var, const):
        """returns new elements to be added to to_do after assigning
variable var in constraint const.

```python
return {(nvar, nconst) for nconst in self.csp.var_to_const[var]
    if nconst != const
    for nvar in nconst.scope
    if nvar != var}
```

The following selects an arc. Any element of `to_do` can be selected. The selected element needs to be removed from `to_do`. The default implementation just selects which ever element `pop` method for sets returns. A user interface could allow the user to select an arc. Alternatively a more sophisticated selection could be employed (or just a stack or a queue).

```python
def select_arc(self, to_do):
    """Selects the arc to be taken from to_do .
    * to_do is a set of arcs, where an arc is a (variable,constraint) pair
    the element selected must be removed from to_do.
    """
    return to_do.pop()
```

The value of `new_domain` is the subset of the domain of `var` that is consistent with the assignment to the other variables. It might be easier to understand the following code, which treats unary (with no other variables in the constraint) and binary (with one other variables in the constraint) constraints as special cases (this can replace the assignment to `new_domain` in the above code):

```python
if len(other_vars)==0:  # unary constraint
    new_domain = {val for val in domains[var]
        if const.holds({var:val})}
elif len(other_vars)==1:  # binary constraint
    other = other_vars[0]
    new_domain = {val for val in domains[var]
        if any(const.holds({var: val,other:other_val})
            for other_val in domains[other])
    else:
        # general case
        new_domain = {val for val in domains[var]
            if self.any_holds(domains, const, {var: val}, other_vars)}
```

`any_holds` is a recursive function that tries to finds an assignment of values to the other variables (`other_vars`) that satisfies constraint `const` given the assignment in `env`. The integer variable `ind` specifies which index to `other_vars` needs to be checked next. As soon as one assignment returns `True`, the algorithm returns `True`. Note that it has side effects with respect to `env`; it changes the values of the variables in `other_vars`. It should only be called when the side effects have no ill effects.
def any_holds(self, domains, const, env, other_vars, ind=0):
    """returns True if Constraint const holds for an assignment
    that extends env with the variables in other_vars[ind:]
    env is a dictionary
    Warning: this has side effects and changes the elements of env
    """
    if ind == len(other_vars):
        return const.holds(env)
    else:
        var = other_vars[ind]
        for val in domains[var]:
            # env = dict_union(env,{var:val}) # no side effects!
            env[var] = val
            if self.any_holds(domains, const, env, other_vars, ind + 1):
                return True
        return False

4.4.1 Direct Implementation of Domain Splitting

The following is a direct implementation of domain splitting with arc consistency that uses recursion. It finds one solution if one exists or returns False if there are no solutions.

def solve_one(self, domains=None, to_do=None):
    """return a solution to the current CSP or False if there are no solutions
    to_do is the list of arcs to check
    """
    new_domains = self.make_arc_consistent(domains, to_do)
    if any(len(new_domains[var]) == 0 for var in new_domains):
        return False
    elif all(len(new_domains[var]) == 1 for var in new_domains):
        self.display(2, "solution:", {var: select(new_domains[var]) for var in new_domains})
        return {var: select(new_domains[var]) for var in new_domains}
    else:
        var = self.select_var(x for x in self.csp.variables if len(new_domains[x]) > 1)
        if var:
            dom1, dom2 = partition_domain(new_domains[var])
            self.display(3, "...splitting", var, "into", dom1, "and", dom2)
            new_doms1 = copy_with_assign(new_domains, var, dom1)
            new_doms2 = copy_with_assign(new_domains, var, dom2)
            to_do = self.new_to_do(var, None)
            self.display(3, " adding", to_do if to_do else "nothing", " to to_do.")
            return self.solve_one(new_doms1, to_do) or
            self.solve_one(new_doms2, to_do)
4.4. Consistency Algorithms

```python
def select_var(self, iter_vars):
    """return the next variable to split"""
    return select(iter_vars)

def partition_domain(dom):
    """partitions domain dom into two. """
    split = len(dom) // 2
    dom1 = set(list(dom)[:split])
    dom2 = dom - dom1
    return dom1, dom2
```

The domains are implemented as a dictionary that maps each variables to
its domain. Assigning a value in Python has side effects which we want to
avoid. `copy_with_assign` takes a copy of the domains dictionary, perhaps al-
lowing for a new domain for a variable. It creates a copy of the CSP with an
(optional) assignment of a new domain to a variable. Only the domains are
copied.

```python
def copy_with_assign(domains, var=None, new_domain={True, False}):
    """create a copy of the domains with an assignment var=new_domain
    if var==None then it is just a copy. """
    newdoms = domains.copy()
    if var is not None:
        newdoms[var] = new_domain
    return newdoms
```

```python
def select(iterable):
    """select an element of iterable. Returns None if there is no such
    element."
    for e in iterable:
        return e  # returns first element found
```

**Exercise 4.10** Implement of `solve_all` that is like `solve_one` but returns the set of all solutions.

**Exercise 4.11** Implement `solve_enum` that enumerates the solutions. It should use Python’s `yield` (and perhaps `yield from`).

Unit test:

[http://aipython.org](http://aipython.org)
4.4.2 Domain Splitting as an interface to graph searching

An alternative implementation is to implement domain splitting in terms of the search abstraction of Chapter 3.

A node is a CSP dictionary.

```python
from cspExamples import test_csp
def ac_solver(csp):
    "arc consistency (solve_one)"
    return Con_solver(csp).solve_one()
if __name__ == "__main__":
    test_csp(ac_solver)
```
4.5. Solving CSPs using Stochastic Local Search

Exercise 4.12 When splitting a domain, this code splits the domain into half, approximately in half (without any effort to make a sensible choice). Does it work better to split one element from a domain?

Unit test:

Testing:

4.5 Solving CSPs using Stochastic Local Search

To run the demo, in folder "aipython", load "cspSLS.py", and copy and paste the commented-out example queries at the bottom of that file. This assumes Python 3. Some of the queries require matplotlib.

This implements both the two-stage choice, the any-conflict algorithm and a random choice of variable (and a probabilistic mix of the three).
Given a CSP, the stochastic local searcher (SLSearcher) creates the data structures:

- **variables_to_select** is the set of all of the variables with domain-size greater than one. For a variable not in this set, we cannot pick another value from that variable.

- **var_to_constraints** maps from a variable into the set of constraints it is involved in. Note that the inverse mapping from constraints into variables is part of the definition of a constraint.

```python
cspSLS.py — Stochastic Local Search for Solving CSPs

from cspProblem import CSP, Constraint
from searchProblem import Arc, Search_problem
from display import Displayable
import random
import heapq

class SLSearcher(Displayable):
    """A search problem directly from the CSP."""
    def __init__(self, csp):
        self.csp = csp
        self.variables_to_select = {var for var in self.csp.variables if len(var.domain) > 1}
        # Create assignment and conflicts set
        self.current_assignment = None # this will trigger a random restart
        self.number_of_steps = 0 # number of steps after the initialization

    def restart(self):
        """creates a new total assignment and the conflict set """
        self.current_assignment = {var:random_choice(var.domain) for var in self.csp.variables}
        self.display(2,"Initial assignment",self.current_assignment)
        self.conflicts = set()
        for con in self.csp.constraints:
            if not con.holds(self.current_assignment):
                self.conflicts.add(con)
        self.display(2,"Number of conflicts",len(self.conflicts))
        self.variable_pq = None
```

**restart** creates a new total assignment, and constructs the set of conflicts (the constraints that are false in this assignment).

The **search** method is the top-level searching algorithm. It can either be used to start the search or to continue searching. If there is no current assignment,
it must create one. Note that, when counting steps, a restart is counted as one step.

This method selects one of two implementations. The argument prob_best is the probability of selecting a best variable (one involving the most conflicts). When the value of prob_best is positive, the algorithm needs to maintain a priority queue of variables and the number of conflicts (using search_with_var_pq). If the probability of selecting a best variable is zero, it does not need to maintain this priority queue (as implemented in search_with_any_conflict).

The argument prob_anycon is the probability that the any-conflict strategy is used (which selects a variable at random that is in a conflict), assuming that it is not picking a best variable. Note that for the probability parameters, any value less than zero acts like probability zero and any value greater than 1 acts like probability 1. This means that when prob_anycon = 1.0, a best variable is chosen with probability prob_best, otherwise a variable in any conflict is chosen. A variable is chosen at random with probability 1 − prob_anycon − prob_best as long as that is positive.

This returns the number of steps needed to find a solution, or None if no solution is found. If there is a solution, it is in self.current_assignment.

```python
def search(self, max_steps, prob_best=0, prob_anycon=1.0):
    ""
    returns the number of steps or None if there is no solution.
    If there is a solution, it can be found in self.current_assignment
    max_steps is the maximum number of steps it will try before giving up
    prob_best is the probability that a best variable (one in most
    conflict) is selected
    prob_anycon is the probability that a variable in any conflict is
    selected (otherwise a variable is chosen at random)
    ""
    if self.current_assignment is None:
        self.restart()
        self.number_of_steps += 1
        if not self.conflicts:
            self.display(1,"Solution found:", self.current_assignment,
                        "after restart")
            return self.number_of_steps
    if prob_best > 0: # we need to maintain a variable priority queue
        return self.search_with_var_pq(max_steps, prob_best,
                                        prob_anycon)
    else:
        return self.search_with_any_conflict(max_steps, prob_anycon)
```

Exercise 4.13 This does an initial random assignment but does not do any random restarts. Implement a searcher that takes in the maximum number of walk
steps (corresponding to existing \texttt{max\_steps}) and the maximum number of restarts, and returns the total number of steps for the first solution found. (As in \texttt{search}, the solution found can be extracted from the variable \texttt{self.current\_assignment}).

### 4.5.1 Any-conflict

If the probability of picking a best variable is zero, the implementation need to keeps track of which variables are in conflicts.

```python
def search_with_any_conflict(self, max_steps, prob_anycon=1.0):
    """Searches with the any\_conflict heuristic.
    This relies on just maintaining the set of conflicts;
    it does not maintain a priority queue
    ""
    self.variable\_pq = None # we are not maintaining the priority queue.
    # This ensures it is regenerated if
    # we call search_with_var\_pq.
    for i in range(max_steps):
        self.number\_of\_steps +=1
        if random.random() < prob_anycon:
            con = random\_choice(self.conflicts) # pick random conflict
            var = random\_choice(con.scope) # pick variable in conflict
        else:
            var = random\_choice(self.variables\_to\_select)
        if len(var\_domain) > 1:
            val = random\_choice([val for val in var\_domain
                if val is not self.current\_assignment[var]])
            self.display(2,self.number\_of\_steps,"Assigning","="
            self.current\_assignment[var]=val
            for varcon in self.csp.var\_to\_const[var]:
                if varcon.holds(self.current\_assignment):
                    if varcon in self.conflicts:
                        self.conflicts.remove(varcon)
                else:
                    if varcon not in self.conflicts:
                        self.conflicts.add(varcon)
            self.display(2," Number of conflicts",len(self.conflicts))
        if not self.conflicts:
            return self.number\_of\_steps
        self.display(1,"No solution in",self.number\_of\_steps,"steps",
        len(self.conflicts),"conflicts remain")
    return None
```

**Exercise 4.14** This makes no attempt to find the best alternative value for a variable. Modify the code so that after selecting a variable it selects a value the reduces
the number of conflicts by the most. Have a parameter that specifies the probability that the best value is chosen.

### 4.5.2 Two-Stage Choice

This is the top-level searching algorithm that maintains a priority queue of variables ordered by (the negative of) the number of conflicts, so that the variable with the most conflicts is selected first. If there is no current priority queue of variables, one is created.

The main complexity here is to maintain the priority queue. This uses the dictionary `var_differential` which specifies how much the values of variables should change. This is used with the updatable queue (page 79) to find a variable with the most conflicts.

```python
def search_with_var_pq(self, max_steps, prob_best=1.0, prob_anycon=1.0):
    
    # search with a priority queue of variables.
    # This is used to select a variable with the most conflicts.
    
    if not self.variable_pq:
        self.create_pq()
    pick_best_or_con = prob_best + prob_anycon
    
    for i in range(max_steps):
        self.number_of_steps += 1
        randnum = random.random()

        if randnum < prob_best: # pick best variable
            var, oldval = self.variable_pq.top()
        elif randnum < pick_best_or_con: # pick a variable in a conflict
            con = random_choice(self.conflicts)
            var = random_choice(con.scope)
        else: # pick any variable that can be selected
            var = random_choice(self.variables_to_select)

        if len(var.domain) > 1: # var has other values
            val = random_choice([val for val in var.domain if val is not
                                  self.current_assignment[var]])
        self.display(2, "Assigning", var, val)

        var_differential = {}
        self.current_assignment[var] = val
        for varcon in self.csp.var_to_const[var]:
            self.display(3, "Checking", varcon)
            if varcon.holds(self.current_assignment):
                if varcon in self.conflicts: # was incons, now consis
                    self.display(3, "Became consistent", varcon)
                    self.conflicts.remove(varcon)
                for v in varcon.scope: # v is in one fewer conflicts
```
4. Reasoning with Constraints

```python
var_differential[v] =
    var_differential.get(v,0)-1

else:
    if varcon not in self.conflicts: # was consis, not now
        self.display(3,"Became inconsistent",varcon)
        self.conflicts.add(varcon)
        for v in varcon.scope: # v is in one more conflicts
            var_differential[v] =
                var_differential.get(v,0)+1
        self.variable_pq.update_each_priority(var_differential)
    self.display(2,"Number of conflicts",
                len(self.conflicts))
    self.conflicts.add(varcon)
    for v in varcon.scope: # v is in one more conflicts
        var_differential[v] =
            var_differential.get(v,0)+1
    self.variable_pq.update_each_priority(var_differential)

if not self.conflicts: # no conflicts, so solution found
    self.display(1,"Solution found:",
                self.current_assignment,"in",
                self.number_of_steps,"steps")
    return self.number_of_steps

return self.display(1,"No solution in",self.number_of_steps,"steps",
                        len(self.conflicts),"conflicts remain")
```

cspSLS.py — (continued)

```
def random_choice(st):
    """selects a random element from set st.
    It will be more efficient to convert to a tuple or list only once.""
    return random.choice(tuple(st))
```

`create_pq` creates an updatable priority queue of the variables, ordered by the number of conflicts they participate in. The priority queue only includes variables in conflicts and the value of a variable is the negative of the number of conflicts the variable is in. This ensures that the priority queue, which picks the minimum value, picks a variable with the most conflicts.

```python
def create_pq(self):
    """Create the variable to number-of-conflicts priority queue.
    This is needed to select the variable in the most conflicts.
    ""
    self.variable_pq = Updatable_priority_queue()
    var_to_number_conflicts = {}
    for con in self.conflicts:
        for var in con.scope:
            var_to_number_conflicts[var] =
                var_to_number_conflicts.get(var,0)+1
        for var,num in var_to_number_conflicts.items():
            if num>0:
                self.variable_pq.add(var,-num)
```

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**Exercise 4.15** This makes no attempt to find the best alternative value for a variable. Modify the code so that after selecting a variable it selects a value the reduces the number of conflicts by the most. Have a parameter that specifies the probability that the best value is chosen.

**Exercise 4.16** These implementations always select a value for the variable selected that is different from its current value (if that is possible). Change the code so that it does not have this restriction (so it can leave the value the same). Would you expect this code to be faster? Does it work worse (or better)?

### 4.5.3 Updatable Priority Queues

An updatable priority queue is a priority queue, where key-value pairs can be stored, and the pair with the smallest key can be found and removed quickly, and where the values can be updated. This implementation follows the idea of [http://docs.python.org/3.5/library/heapq.html](http://docs.python.org/3.5/library/heapq.html) where the updated elements are marked as removed. This means that the priority queue can be used unmodified. However, this might be expensive if changes are more common than popping (as might happen if the probability of choosing the best is close to zero).

In this implementation, the equal values are sorted randomly. This is achieved by having the elements of the heap being \([\text{val}, \text{rand}, \text{elt}]\) triples, where the second element is a random number. Note that Python requires this to be a list, not a tuple, as the tuple cannot be modified.

```python
class Updatable_priority_queue(object):
    """A priority queue where the values can be updated. Elements with the same value are ordered randomly."

    This code is based on the ideas described in http://docs.python.org/3.3/library/heapq.html
    It could probably be done more efficiently by shuffling the modified element in the heap.
    ""
    def __init__(self):
        self.pq = [] # priority queue of [val,rand,elt] triples
        self.elt_map = {} # map from elt to [val,rand,elt] triple in pq
        self.REMOVED = "*removed*" # a string that won't be a legal element
        self.max_size=0

    def add(self,elt,val):
        """adds elt to the priority queue with priority=val."
        ""
        assert val <= 0, val
        assert elt not in self.elt_map, elt
        new_triple = [val, random.random(), elt]
        heap.heappush(self.pq, new_triple)
        self.elt_map[elt] = new_triple
```

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```python
def remove(self, elt):
    """remove the element from the priority queue"""
    if elt in self.elt_map:
        self.elt_map[elt][2] = self.REMOVED
        del self.elt_map[elt]

def update_each_priority(self, update_dict):
    """update values in the priority queue by subtracting the values in
    update_dict from the priority of those elements in priority queue.
    """
    for elt, incr in update_dict.items():
        if incr != 0:
            newval = self.elt_map.get(elt, [0])[0] - incr
            assert newval <= 0,
            str(elt)+"+"+str(newval+incr)+"-"+str(incr)
            self.remove(elt)
            if newval != 0:
                self.add(elt, newval)

def pop(self):
    """Removes and returns the (elt, value) pair with minimal value.
    If the priority queue is empty, IndexError is raised.
    """
    self.max_size = max(self.max_size, len(self.pq))  # keep statistics
    triple = heapq.heappop(self.pq)
    while triple[2] == self.REMOVED:
        triple = heapq.heappop(self.pq)
    del self.elt_map[triple[2]]
    return triple[2], triple[0]  # elt, value

def top(self):
    """Returns the (elt, value) pair with minimal value, without
    removing it.
    If the priority queue is empty, IndexError is raised.
    """
    self.max_size = max(self.max_size, len(self.pq))  # keep statistics
    triple = self.pq[0]
    while triple[2] == self.REMOVED:
        heapq.heappop(self.pq)
    triple = self.pq[0]
    return triple[2], triple[0]  # elt, value

def empty(self):
    """returns True iff the priority queue is empty"""
    return all(triple[2] == self.REMOVED for triple in self.pq)
```

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4.5.4 Plotting Runtime Distributions

Runtime distribution uses matplotlib to plot runtime distributions. Here the runtime is a misnomer as we are only plotting the number of steps, not the time. Computing the runtime is non-trivial as many of the runs have a very short runtime. To compute the time accurately would require running the same code, with the same random seed, multiple times to get a good estimate of the runtime. This is left as an exercise.

```python
cspSlS.py — (continued)

import matplotlib.pyplot as plt
class Runtime_distribution(object):
    def __init__(self, csp, xscale='log'):
        """Sets up plotting for csp
        xscale is either 'linear' or 'log'
        ""
        self.csp = csp
        plt.ion()
        plt.xlabel("Number of Steps")
        plt.ylabel("Cumulative Number of Runs")
        plt.xscale(xscale) # Makes a 'log' or 'linear' scale

    def plot_runs(self,num_runs=100,max_steps=1000, prob_best=1.0, prob_anycon=1.0):
        """Plots num_runs of SLS for the given settings.
        ""
        stats = []
        SLSearcher.max_display_level, temp_mdl = 0,
        SLSearcher.max_display_level # no display
        for i in range(num_runs):
            searcher = SLSearcher(self.csp)
            num_steps = searcher.search(max_steps, prob_best, prob_anycon)
            if num_steps:
                stats.append(num_steps)
                stats.sort()
            if prob_best >= 1.0:
                label = "P(best)=1.0"
            else:
                p_ac = min(prob_anycon, 1-prob_best)
                label = "P(best)=%.2f, P(ac)=%.2f" % (prob_best, p_ac)
            plt.plot(stats, range(len(stats)), label=label)
            plt.legend(loc="upper left")
        SLSearcher.max_display_level= temp_mdl #restore display
```

4.5.5 Testing
import test_csp

def sls_solver(csp, prob_best=0.7):
    """stochastic local searcher (prob_best=0.7)""
    se0 = SLSearcher(csp)
    se0.search(1000, prob_best)
    return se0.current_assignment

def any_conflict_solver(csp):
    """stochastic local searcher (any-conflict)""
    return sls_solver(csp, 0)

if __name__ == "__main__":
    test_csp(sls_solver)
    test_csp(any_conflict_solver)

# Test Solving CSPs with Search:
# se1 = SLSearcher(csp1); print(se1.search(100))
# se2 = SLSearcher(csp2); print(se2.search(1000, 1.0)) # greedy
# se2 = SLSearcher(csp2); print(se2.search(1000, 0)) # any_conflict
# se2 = SLSearcher(csp2); print(se2.search(1000, 0.7)) # 70% greedy; 30%
# any_conflict
# SLSearcher.max_display_level=2 #more detailed display
# se3 = SLSearcher(crossword1); print(se3.search(100), 0.7)
# p = Runtime_distribution(csp2)
# p.plot_runs(1000, 1000, 0) # any_conflict
# p.plot_runs(1000, 1000, 1.0) # greedy
# p.plot_runs(1000, 1000, 0.7) # 70% greedy; 30% any_conflict

Exercise 4.17 Modify this to plot the runtime, instead of the number of steps.
To measure runtime use timeit (https://docs.python.org/3.5/library/timeit.html).
Small runtimes are inaccurate, so timeit can run the same code multiple times.
Stochastic local algorithms give different runtimes each time called.
To make the timing meaningful, you need to make sure the random seed is the
same for each repeated call (see random.getstate and random.setstate in https://
docs.python.org/3.5/library/random.html). Because the runtime for different
seeds can vary a great deal, for each seed, you should start with 1 iteration and
multiplying it by, say 10, until the time is greater than 0.2 seconds. Make sure you
plot the average time for each run. Before you start, try to estimate the total run-
time, so you will be able to tell if there is a problem with the algorithm stopping.

4.6 Discrete Optimization

A SoftConstraint is a constraint, but where the condition is a real-valued function.
Because we did not force the condition to be Boolean, we can make just
reuse the Constraint class.
4.6. Discrete Optimization

```python
class SoftConstraint(Constraint):
    """A Constraint consists of
    * scope: a tuple of variables
    * function: a real-valued function that can applied to a tuple of values
    * string: a string for printing the constraints. All of the strings
    must be unique.
    for the variables
    """
    def __init__(self, scope, function, string=None, position=None):
        Constraint.__init__(self, scope, function, string, position)
    def value(self, assignment):
        return self.holds(assignment)

cspSoft.py — (continued)

A = Variable('A', {1,2}, position=(0.2,0.9))
B = Variable('B', {1,2,3}, position=(0.8,0.9))
C = Variable('C', {1,2}, position=(0.5,0.5))
D = Variable('D', {1,2}, position=(0.8,0.1))
def c1fun(a,b):
    if a==1: return (5 if b==1 else 2)
    else: return (0 if b==1 else 4 if b==2 else 3)
c1 = SoftConstraint([A,B],c1fun,"c1")
def c2fun(b,c):
    if b==1: return (5 if c==1 else 2)
    elif b==2: return (0 if c==1 else 4)
    else: return (2 if c==1 else 0)
c2 = SoftConstraint([B,C],c2fun,"c2")
def c3fun(b,d):
    if b==1: return (3 if d==1 else 0)
    elif b==2: return 2
    else: return (2 if d==1 else 4)
c3 = SoftConstraint([B,D],c3fun,"c3")
def penalty_if_same(pen):
    "returns a function that gives a penalty of pen if the arguments are
    the same"
    return lambda x,y: (pen if (x==y) else 0)
c4 = SoftConstraint([C,A],penalty_if_same(3),"c4")
scsp1 = CSP("scsp1", {A,B,C,D}, [c1,c2,c3,c4])
### The second soft CSP has an extra variable, and 2 constraints
E = Variable('E', {1,2}, position=(0.1,0.1))
c5 = SoftConstraint([C,E],penalty_if_same(3),"c5")
c6 = SoftConstraint([D,E],penalty_if_same(2),"c6")
scsp2 = CSP("scsp1", {A,B,C,D,E}, [c1,c2,c3,c4,c5,c6])
```

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4.6.1 Branch-and-bound Search

Here we specialize the branch-and-bound algorithm (Section 3.3 on page 47).

```python
from display import Displayable, visualize
import math
class DF_branch_and_bound_opt(Displayable):
    """returns a branch and bound searcher for a problem.
    An optimal assignment with cost less than bound can be found by calling
    search()
    """
    def __init__(self, csp, bound=math.inf):
        """creates a searcher than can be used with search() to find an
        optimal path.
        bound gives the initial bound. By default this is infinite -
        meaning there
        is no initial pruning due to depth bound
        """
        super().__init__()
        self.csp = csp
        self.best_asst = None
        self.bound = bound

    def optimize(self):
        """returns an optimal solution to a problem with cost less than
        bound.
        returns None if there is no solution with cost less than bound."""
        self.num_expanded=0
        self.cbsearch({}, 0, self.csp.constraints)
        self.display(1,"Number of paths expanded: ",self.num_expanded)
        return self.best_asst, self.bound

    def cbsearch(self, asst, cost, constraints):
        """finds the optimal solution that extends path and is less the
        bound"
        self.display(2,"cbsearch:",asst,cost,constraints)
        can_eval = [c for c in constraints if c.can_evaluate(asst)]
        rem_cons = [c for c in constraints if c not in can_eval]
        newcost = cost + sum(c.value(asst) for c in can_eval)
        self.display(2,"Evaluating:",can_eval,"cost:",newcost)
        if newcost < self.bound:
            self.num_expanded += 1
            if rem_cons==[]:
                self.best_asst = asst
                self.bound = newcost
                self.display(1,"New best assignment:",asst," cost:",newcost)
            else:
                var = next(var for var in self.csp.variables if var not in asst)
```

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for val in var.domain:
    self.cbsearch({var:val}|asst, newcost, rem_cons)

# bnb = DF_branch_and_bound_opt(scsp1)
# bnb.max_display_level=3 # show more detail
# bnb.optimize()
5.1 Representing Knowledge Bases

A clause consists of a head (an atom) and a body. A body is represented as a list of atoms. Atoms are represented as strings.

```python
class Clause(object):
    """A definite clause""

    def __init__(self, head, body=[]):
        """clause with atom head and lost of atoms body""
        self.head = head
        self.body = body

    def __str__(self):
        """returns the string representation of a clause."
        if self.body:
            return self.head + " <- " + " & ".join(self.body) + "."
        else:
            return self.head + "."
```

An askable atom can be asked of the user. The user can respond in English or French or just with a “y”.

```python
class Askable(object):
    """An askable atom""

    def __init__(self, atom):
        """clause with atom head and lost of atoms body""
```

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```python
self.atom=atom

def __str__(self):
    """returns the string representation of a clause."""
    return "askable " + self.atom + "."

def yes(ans):
    """returns true if the answer is yes in some form"""
    return ans.lower() in ['yes', 'yes.', 'oui', 'oui.', 'y', 'y.'] # bilingual

A knowledge base is a list of clauses and askables. In order to make top-down inference faster, this creates a dictionary that maps each atom into the set of clauses with that atom in the head.

```
from display import Displayable

class KB(Displayable):
    """A knowledge base consists of a set of clauses.
    This also creates a dictionary to give fast access to the clauses with
    an atom in head.
    """
    def __init__(self, statements=[]):
        self.statements = statements
        self.clauses = [c for c in statements if isinstance(c, Clause)]
        self.askables = [c.atom for c in statements if isinstance(c, Askable)]
        self.atom_to_clauses = {} # dictionary giving clauses with atom as head
        for c in self.clauses:
            if c.head in self.atom_to_clauses:
                self.atom_to_clauses[c.head].add(c)
            else:
                self.atom_to_clauses[c.head] = {c}

def clauses_for_atom(self,a):
    """returns set of clauses with atom a as the head""
    if a in self.atom_to_clauses:
        return self.atom_to_clauses[a]
    else:
        return set()

def __str__(self):
    """returns a string representation of this knowledge base."""
    return '\n'.join([str(c) for c in self.statements])

Here is a trivial example (I think therefore I am) using in the unit tests:

```
5.1. Representing Knowledge Bases

Clause('i_am', ['i_think']),
Clause('i_think'),
Clause('i_smell', ['i_exist'])
]

Here is a representation of the electrical domain of the textbook:

elect = KB([Clause('live_l1'),
Clause('live_l2'),
Clause('ok_l1'),
Clause('ok_l2'),
Clause('ok_cb1'),
Clause('ok_cb2'),
Clause('live_outside'),
Clause('live_l1', ['live_w0']),
Clause('live_w0', ['up_s2', 'live_w1']),
Clause('live_w0', ['down_s2', 'live_w2']),
Clause('live_w1', ['up_s1', 'live_w3']),
Clause('live_w2', ['down_s1', 'live_w3']),
Clause('live_l2', ['live_w4']),
Clause('live_w4', ['up_s3', 'live_w3']),
Clause('live_p_1', ['live_w3']),
Clause('live_w3', ['live_w5', 'ok_cb1']),
Clause('live_p_2', ['live_w6']),
Clause('live_w5', ['live_w5', 'ok_cb2']),
Clause('live_w5', ['live_outside']),
Clause('lit_l1', ['light_l1', 'live_l1', 'ok_l1']),
Clause('lit_l2', ['light_l2', 'live_l2', 'ok_l2']),
Askable('up_s1'),
Askable('down_s1'),
Askable('up_s2'),
Askable('down_s2'),
Askable('up_s3'),
Askable('down_s2')])

# print(kb)

The following knowledge base is false of the intended interpretation. One of the clauses is wrong; can you see which one? We will show how to debug it.

elect_bug = KB([Clause('light_l2'),
Clause('ok_l1'),
Clause('ok_l2'),
Clause('ok_cb1'),
Clause('ok_cb2'),
Clause('live_outside'),
Clause('live_p_2', ['live_w6']),
Clause('lit_l1', ['light_l1', 'live_l1', 'ok_l1']),
Clause('lit_l2', ['light_l2', 'live_l2', 'ok_l2'])])

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5.2 Bottom-up Proofs (with askables)

`fixed_point` computes the fixed point of the knowledge base `kb`.

```python
from logicProblem import yes
def fixed_point(kb):
    """Returns the fixed point of knowledge base kb."
```

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```python
fp = ask_askables(kb)
added = True
while added:
    added = False  # added is true when an atom was added to fp this iteration
    for c in kb.clauses:
        if c.head not in fp and all(b in fp for b in c.body):
            fp.add(c.head)
            added = True
            kb.display(2,c.head,"added to fp due to clause",c)
    return fp

def ask_askables(kb):
    return {at for at in kb.askables if yes(input("Is "+at+" true? "))}
```

The following provides a trivial **unit test**, by default using the knowledge base `triv_KB`:

```python
from logicProblem import triv_KB
def test(kb=triv_KB, fixedpt = {'i_am','i_think'}):
    fp = fixed_point(kb)
    assert fp == fixedpt, "kb gave result "+str(fp)
    print("Passed unit test")
    if __name__ == "__main__":
        test()

from logicProblem import elect
# elect.max_display_level=3 # give detailed trace
# fixed_point(elect)
```

**Exercise 5.1** It is not very user-friendly to ask all of the askables up-front. Implement ask-the-user so that questions are only asked if useful, and are not re-asked. For example, if there is a clause \( h \leftarrow a \land b \land c \land d \land e \), where \( c \) and \( e \) are askable, \( c \) and \( e \) only need to be asked if \( a, b, d \) are all in \( fp \) and they have not been asked before. Askable \( e \) only needs to be asked if the user says “yes” to \( c \). Askable \( c \) doesn’t need to be asked if the user previously replied “no” to \( e \).

This form of ask-the-user can ask a different set of questions than the top-down interpreter that asks questions when encountered. Give an example where they ask different questions (neither set of questions asked is a subset of the other).

**Exercise 5.2** This algorithm runs in time \( O(n^2) \), where \( n \) is the number of clauses, for a bounded number of elements in the body; each iteration goes through each of the clauses, and in the worst case, it will do an iteration for each clause. It is possible to implement this in time \( O(n) \) time by creating an index that maps an atom to the set of clauses with that atom in the body. Implement this. What is its complexity as a function of \( n \) and \( b \), the maximum number of atoms in the body of a clause?

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Exercise 5.3 It is possible to be asymptotically more efficient (in terms of the number of elements in a body) than the method in the previous question by noticing that each element of the body of clause only needs to be checked once. For example, the clause \( a \leftarrow b \land c \land d \), needs only be considered when \( b \) is added to \( fp \). Once \( b \) is added to \( fp \), if \( c \) is already in \( pf \), we know that \( a \) can be added as soon as \( d \) is added. Implement this. What is its complexity as a function of \( n \) and \( b \), the maximum number of atoms in the body of a clause?

5.3 Top-down Proofs (with askables)

prove\((kb, goal)\) is used to prove \( goal \) from a knowledge base, \( kb \), where a \( goal \) is a list of atoms. It returns \( True \) if \( kb \models goal \). The \( indent \) is used when displaying the code (and doesn’t need to have a non-default value).

```python
from logicProblem import yes
def prove(kb, ans_body, indent=""):  
  """returns True if kb |- ans_body
  ans_body is a list of atoms to be proved
  """
  kb.display(2,indent,'yes <-', ' & '.join(ans_body))
  if ans_body:
    selected = ans_body[0]  # select first atom from ans_body
    if selected in kb.askables:
      return (yes(input("Is "+selected+" true? "))
      and prove(kb,ans_body[1:],indent=" "))
    else:
      return any(prove(kb,cl.body+ans_body[1:],indent=" ")
      for cl in kb.clauses_for_atom(selected))
  else:
    return True  # empty body is true
```

The following provides a simple unit test that is hard wired for \( triv_KB \):

```python
from logicProblem import triv_KB
def test():
  a1 = prove(triv_KB,['i_am'])
  assert a1, "triv_KB proving i_am gave "+str(a1)
  a2 = prove(triv_KB,['i_smell'])
  assert not a2, "triv_KB proving i_smell gave "+str(a2)
  print("Passed unit tests")
if __name__ == "__main__":
  test()
```

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5.4 Debugging and Explanation

Exercise 5.4 This code can re-ask a question multiple times. Implement this code so that it only asks a question once and remembers the answer. Also implement a function to forget the answers.

Exercise 5.5 What search method is this using? Implement the search interface so that it can use A∗ or other searching methods. Define an admissible heuristic that is not always 0.

5.4 Debugging and Explanation

Here we modify the top-down procedure to build a proof tree than can be traversed for explanation and debugging.

prove_atom(kb, atom) returns a proof for atom from a knowledge base kb, where a proof is a pair of the atom and the proofs for the elements of the body of the clause used to prove the atom. prove_body(kb, body) returns a list of proofs for list body from a knowledge base, kb. The indent is used when displaying the code (and doesn’t need to have a non-default value).

```python
from logicProblem import yes # for asking the user

def prove_atom(kb, atom, indent=""):  
    """returns a pair (atom,proofs) where proofs is the list of proofs  
    of the elements of a body of a clause used to prove atom.  
    """
    kb.display(2,indent,'proving',atom)  
    if atom in kb.askables:  
        if yes(input("Is "+atom+" true? "):  
            return (atom,"answered")  
        else:  
            return "fail"  
    else:  
        for cl in kb.clauses_for_atom(atom):  
            kb.display(2,indent,"trying",atom,'<-', '&' .join(cl.body))  
            pr_body = prove_body(kb, cl.body, indent)  
            if pr_body != "fail":  
                return (atom, pr_body)  
            return "fail"  

def prove_body(kb, ans_body, indent=""):  
    """returns proof tree if kb |- ans_body or "fail" if there is no proof  
    ans_body is a list of atoms in a body to be proved  
    """
    proofs = []  
    for atom in ans_body:  
        proof_at = prove_atom(kb, atom, indent+" ")  
        if proof_at == "fail":  
            return "fail" # fail if any proof fails  
        else:  
```

http://aipython.org
The following provides a simple unit test that is hard wired for triv_KB:

```python
from logicProblem import triv_KB

def test():
a1 = prove_atom(triv_KB,'i_am')
assert a1, "triv_KB proving i_am gave " + str(a1)
a2 = prove_atom(triv_KB,'i_smell')
assert a2 == "fail", "triv_KB proving i_smell gave " + str(a2)
print("Passed unit tests")
if __name__ == "__main__":
test()
```

The interact(kb) provides an interactive interface to explore proofs for knowledge base kb. The user can ask to prove atoms and can ask how an atom was proved.

To ask how, there must be a current atom for which there is a proof. This starts as the atom asked. When the user asks “how n” the current atom becomes the n-th element of the body of the clause used to prove the (previous) current atom. The command “up” makes the current atom the atom in the head of the rule containing the (previous) current atom. Thus “how n” moves down the proof tree and “up” moves up the proof tree, allowing the user to explore the full proof.

```python
helptext = """
  Commands are:
  ask atom  ask is there is a proof for atom (atom should not be in quotes)
  how       show the clause that was used to prove atom
  how n     show the clause used to prove the nth element of the body
  up        go back up proof tree to explore other parts of the proof tree
  kb        print the knowledge base
  quit      quit this interaction (and go back to Python)
  help      print this text
  """

def interact(kb):
going = True
ups = []  # stack for going up
proof="fail"  # there is no proof to start
while going:
    inp = input("logicExplain: ")
inps = inp.split(" ")
```

[http://aipython.org](http://aipython.org)
try:
    command = inps[0]
    if command == "quit":
        going = False
    elif command == "ask":
        proof = prove_atom(kb, inps[1])
        if proof == "fail":
            print("fail")
        else:
            print("yes")
    elif command == "how":
        if proof == "fail":
            print("there is no proof")
        elif len(inps) == 1:
            print_rule(proof)
        else:
            try:
                ups.append(proof)
                proof = proof[1][int(inps[1])]  # nth argument of rule
                print_rule(proof)
            except:
                print('In "how n", n must be a number between 0 and', len(proof[1])-1, "inclusive.")
    elif command == "up":
        if ups:
            proof = ups.pop()
        else:
            print("No rule to go up to.")
            print_rule(proof)
    elif command == "kb":
        print(kb)
    elif command == "help":
        print(helptext)
    else:
        print("unknown command: ", inp)
        print("use help for help")
except:
    print("unknown command: ", inp)
    print("use help for help")

def print_rule(proof):
    (head, body) = proof
    if body == "answered":
        print(head, "was answered yes")
    elif body == []:
        print(head, "is a fact")
    else:
        print(head, "<-")
        for i, a in enumerate(body):
            print(i, ":", a[0])
The following shows an interaction for the knowledge base `elect`:

```python
>>> interact(elect)
logicExplain: ask lit_l1
Is up_s2 true? no
Is down_s2 true? yes
Is down_s1 true? yes
yes
logicExplain: how
lit_l1 <-
0 : light_l1
1 : live_l1
2 : ok_l1
logicExplain: how 1
live_l1 <-
0 : live_w0
logicExplain: how 0
live_w0 <-
0 : down_s2
1 : live_w2
logicExplain: how 0
down_s2 was answered yes
logicExplain: up
live_w0 <-
0 : down_s2
1 : live_w2
logicExplain: how 1
live_w2 <-
0 : down_s1
1 : live_w3
logicExplain: quit
```  

**Exercise 5.6** The above code only ever explores one proof – the first proof found. Change the code to enumerate the proof trees (by returning a list all proof trees, or preferably using `yield`). Add the command “retry” to the user interface to try another proof.
5.5 Assumables

Atom $a$ can be made assumable by including $Assumable(a)$ in the knowledge base. A knowledge base that can include assumables is declared with $KBA$.

```
from logicProblem import Clause, Askable, KB, yes

class Assumable(object):
    """An askable atom""
    def __init__(self, atom):
        """clause with atom head and list of atoms body""
        self.atom = atom
    def __str__(self):
        """returns the string representation of a clause."
        return "assumable " + self.atom + "."

class KBA(KB):
    """A knowledge base that can include assumables""
    def __init__(self, statements):
        self.assumables = [c.atom for c in statements if isinstance(c, Assumable)]
        KB.__init__(self, statements)

The top-down Horn clause interpreter, $prove\_all\_ass$ returns a list of the sets of assumables that imply $ans\_body$. This list will contain all of the minimal sets of assumables, but can also find non-minimal sets, and repeated sets, if they can be generated with separate proofs. The set $assumed$ is the set of assumables already assumed.
```

def prove_all_ass(self, ans_body, assumed=set()):
    """returns a list of sets of assumables that extends assumed
    to imply ans_body from self.
    ans_body is a list of atoms (it is the body of the answer clause).
    assumed is a set of assumables already assumed"
    if ans_body:
        selected = ans_body[0] # select first atom from ans_body
        if selected in self.askables:
            if yes(input("Is " + selected + " true? ")):  
                return self.prove_all_ass(ans_body[1:], assumed)
            else:
                return [] # no answers
        elif selected in self.assumables:  
            return self.prove_all_ass(ans_body[1:], assumed) | {selected}
        else:
            return [ass
```
5. Propositions and Inference

```python
for cl in self.clauses_for_atom(selected)
    for ass in
        self.prove_all_ass(cl.body+ans_body[1:],assumed)
            # union of answers for each clause with
            head=selected
else:
    # empty body
    return [assumed] # one answer

def conflicts(self):
    """returns a list of minimal conflicts""
    return minsets(self.prove_all_ass(['false']))

Given a list of sets, `minsets` returns a list of the minimal sets in the list. For example, `minsets([{2,3,4},{2,3},{6,2,3},{2,3},{2,4,5}])` returns `[{2,3},{2,4,5}]`.

```python
def minsets(ls):
    """ls is a list of sets
    returns a list of minimal sets in ls
    """
    ans = [] # elements known to be minimal
    for c in ls:
        if not any(c1<=c for c1 in ls) and not any(c1 <= c for c1 in ans):
            ans.append(c)
    return ans

# minsets([{2, 3, 4}, {2, 3}, {6, 2, 3}, {2, 3}, {2, 4, 5}])

Warning: `minsets` works for a list of sets or for a set of (frozen) sets, but it does not work for a generator of sets. For example, try to predict and then test:

`minsets(e for e in [{2, 3, 4}, {2, 3}, {6, 2, 3}, {2, 3}, {2, 4, 5}])`

The diagnoses can be constructed from the (minimal) conflicts as follows. This also works if there are non-minimal conflicts, but is not as efficient.

```python
def diagnoses(cons):
    """cons is a list of (minimal) conflicts.
    returns a list of diagnoses.""
    if cons == []:
        return [set()]
    else:
        return minsets([(e)|d) # | is set union
                        for e in cons[0]
                        for d in diagnoses(cons[1:]))

Test cases:

electa = KBA(
    Clause('light_l1'),
)"""
5.5. Assumables

Clause('light_l2'),
Assumable('ok_l1'),
Assumable('ok_l2'),
Assumable('ok_s1'),
Assumable('ok_s2'),
Assumable('ok_s3'),
Assumable('ok_cb1'),
Assumable('ok_cb2'),
Assumable('live_outside'),
Clause('live_l1', ['live_w0']),
Clause('live_w0', ['up_s2', 'ok_s2', 'live_w1']),
Clause('live_w0', ['down_s2', 'ok_s2', 'live_w2']),
Clause('live_w1', ['up_s1', 'ok_s1', 'live_w3']),
Clause('live_w2', ['down_s1', 'ok_s1', 'live_w3']),
Clause('live_w3', ['live_w4', 'live_w5', 'ok_cb1']),
Clause('live_w4', ['live_w5', 'up_s3', 'ok_s3', 'live_w3']),
Clause('live_w5', ['live_w6', 'live_w5', 'ok_cb2']),
Clause('live_w6', ['live_w5', 'live_w6', 'live_outside']),
Clause('lit_l1', ['light_l1', 'live_l1', 'ok_l1']),
Clause('lit_l2', ['light_l2', 'live_l2', 'ok_l2']),
Askable('up_s1'),
Askable('down_s1'),
Askable('up_s2'),
Askable('down_s2'),
Askable('up_s3'),
Askable('down_s3'),
Askable('dark_l1'),
Askable('dark_l2'),
Clause('false', ['dark_l1', 'lit_l1']),
Clause('false', ['dark_l2', 'lit_l2'])
)
# electa.prove_all_ass(['false'])
# cs=electa.conflicts()
# print(cs)
# diagnoses(cs)  # diagnoses from conflicts

Exercise 5.7 To implement a version of conflicts that never generates non-minimal conflicts, modify prove_all_ass to implement iterative deepening on the number of assumables used in a proof, and prune any set of assumables that is a superset of a conflict.

Exercise 5.8 Implement explanations(self, body), where body is a list of atoms, that returns the a list of the minimal explanations of the body. This does not require modification of prove_all_ass.

Exercise 5.9 Implement explanations, as in the previous question, so that it never generates non-minimal explanations. Hint: modify prove_all_ass to implement iter-
ative deepening on the number of assumptions, generating conflicts and explanations together, and pruning as early as possible.
Chapter 6

Planning with Certainty

6.1 Representing Actions and Planning Problems

The STRIPS representation of an action consists of:

- the name of the action

- preconditions: a dictionary of feature:value pairs that specifies that the feature must have this value for the action to be possible

- effects: a dictionary of feature:value pairs that are made true by this action. In particular, a feature in the dictionary has the corresponding value (and not its previous value) after the action, and a feature not in the dictionary keeps its old value.

class Strips(object):
    def __init__(self, name, preconds, effects, cost=1):
        """
        defines the STRIPS representation for an action:
        * name is the name of the action
        * preconds, the preconditions, is feature:value dictionary that
          must hold
          for the action to be carried out
        * effects is a feature:value map that this action makes
          true. The action changes the value of any feature specified
          here, and leaves other features unchanged.
        * cost is the cost of the action
        """
A STRIPS domain consists of:

- A set of actions.
- A dictionary that maps each feature into a set of possible values for the feature.
- A list of the actions

A planning problem consists of a planning domain, an initial state, and a goal. The goal does not need to fully specify the final state.

6.1.1 Robot Delivery Domain

The following specifies the robot delivery domain of Section 6.1, shown in Figure 6.1.
6.1. Representing Actions and Planning Problems

Features to describe states
- \( R_{Loc} \) – Rob’s location
- \( R_{HC} \) – Rob has coffee
- \( S_{WC} \) – Sam wants coffee
- \( M_{W} \) – Mail is waiting
- \( R_{HM} \) – Rob has mail

Actions
- \( m_{c} \) – move clockwise
- \( m_{c-c} \) – move counterclockwise
- \( p_{uc} \) – pickup coffee
- \( d_{c} \) – deliver coffee
- \( p_{um} \) – pickup mail
- \( d_{m} \) – deliver mail

Figure 6.1: Robot Delivery Domain
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6.1.2 Blocks World

The blocks world consist of blocks and a table. Each block can be on the table or on another block. A block can only have one other block on top of it. Figure 6.2 shows 3 states with some of the actions between them.

A state is defined by the two features:

- **on** where \( on(x) = y \) when block \( x \) is on block or table \( y \)
- **clear** where \( clear(x) = True \) when block \( x \) has nothing on it.

There is one parameterized action

- **move**\((x, y, z)\) move block \( x \) from \( y \) to \( z \), where \( y \) and \( z \) could be a block or the table.

```python
def problem0 = Planning_problem(delivery_domain,
        {'RLoc':'lab', 'MW':True, 'SWC':True, 'RHC':False,
            'RHM':False},
        {'RLoc':'off'})
def problem1 = Planning_problem(delivery_domain,
        {'RLoc':'lab', 'MW':True, 'SWC':True, 'RHC':False,
            'RHM':False},
        {'SWC':False})
def problem2 = Planning_problem(delivery_domain,
        {'RLoc':'lab', 'MW':True, 'SWC':True, 'RHC':False,
            'RHM':False},
        {'SWC':False, 'MW':False, 'RHM':False})
```
To handle parameterized actions (which depend on the blocks involved), the actions and the features are all strings, created for the all combinations of the blocks. Note that we treat moving to a block separately from moving to the table, because the blocks needs to be clear, but the table always has room for another block.

```python
def move(x,y,z):
    return 'move_+'+x+'_from_+='+y+'_to_+='+z

def on(x):
    return x+'_is_on'

def clear(x):
    return 'clear_'+x

blocks_and_table = blocks | {'table'}

stmap = {Strips(move(x,y,z), {on(x):y, clear(x):True, clear(z):True},
                      {on(x):z, clear(y):True, clear(z):False})
             for x in blocks
             for y in blocks_and_table
             for z in blocks
             if x!=y and y!=z and z!=x}

stmap.update({Strips(move(x,y,'table'), {on(x):y, clear(x):True},
                                       {on(x):'table', clear(y):True})
               for x in blocks
               for y in blocks
               if x!=y})

feature_domain_dict = {on(x):blocks_and_table-{x} for x in blocks}

feature_domain_dict.update({clear(x):boolean for x in blocks_and_table})

return STRIPS_domain(feature_domain_dict, stmap)
```

The problem `blocks1` is a classic example, with 3 blocks, and the goal consists of two conditions. See Figure 6.3 Note that this example is challenging because we can’t achieve one of the goals and then the other; whichever one we achieve first has to be undone to achieve the second.

```python
blocks1dom = create_blocks_world({'a','b','c'})
b1 = Planning_problem(blocks1dom,
                      {on('a'):'table', clear('a'):# initial state
                      on('b'):'c', clear('b'):
                      on('c'):'table', clear('c'):False}, # initial state
                      on('a'):'b', on('c'):'a')) # goal
```

The problem `blocks2` is one to invert a tower of size 4.
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![Figure 6.3: Blocks problem blocks1](image)

```
tower4 = {clear('a'):True, on('a'):'b',
          clear('b'):False, on('b'):'c',
          clear('c'):False, on('c'):'d',
          clear('d'):False, on('d'):'table'}
blocks2 = Planning_problem(blocks2dom,
                          tower4, # initial state
                          {on('d'):'c', on('c'):'b', on('b'):'a'}) # goal

The problem blocks3 is to move the bottom block to the top of a tower of size 4.
```

```
blocks3 = Planning_problem(blocks2dom,
                          tower4, # initial state
                          {on('d'):'a', on('a'):'b', on('b'):'c'}) # goal
```

**Exercise 6.1** Represent the problem of given a tower of 4 blocks (a on b on c on d on table), the goal is to have a tower with the previous top block on the bottom (b on c on d on a). Do not include the table in your goal (the goal does not care whether a is on the table). [Before you run the program, estimate how many steps it will take to solve this.] How many steps does an optimal planner take?

**Exercise 6.2** Represent the domain so that on(x, y) is a Boolean feature that is True when x is on y. Does the representation of the state need to not include negative on facts? Why or why not? (Note that this may depend on the planner; write your answer with respect to particular planners.)

**Exercise 6.3** It is possible to write the representation of the problem without using clear, where clear(x) means nothing is on x. Change the definition of the blocks world so that it does not use clear but uses on being false instead. Does this work better for any of the planners?

### 6.2 Forward Planning

To run the demo, in folder "aipython", load "stripsForwardPlanner.py", and copy and paste the commented-out example queries at the bottom of that file.

[http://aipython.org](http://aipython.org)  
Version 0.9.3  
December 15, 2021
6.2. Forward Planning

In a forward planner, a node is a state. A state consists of an assignment, which is a variable:value dictionary. In order to be able to do multiple-path pruning, we need to define a hash function, and equality between states.

```python
from searchProblem import Arc, Search_problem
from stripsProblem import Strips, STRIPS_domain

class State(object):
    def __init__(self, assignment):
        self.assignment = assignment
        self.hash_value = None
    def __hash__(self):
        if self.hash_value is None:
            self.hash_value = hash(frozenset(self.assignment.items()))
        return self.hash_value
    def __eq__(self, st):
        return self.assignment == st.assignment
    def __str__(self):
        return str(self.assignment)

In order to define a search problem (page 33), we need to define the goal condition, the start nodes, the neighbours, and (optionally) a heuristic function. Here zero is the default heuristic function.

```python
def zero(*args, **nargs):
    """always returns 0""
    return 0

class Forward_STRIPS(Search_problem):
    """A search problem from a planning problem where:
    * a node is a state object.
    * the dynamics are specified by the STRIPS representation of actions
    """
    def __init__(self, planning_problem, heur=zero):
        """creates a forward search space from a planning problem.
        heur(state,goal) is a heuristic function,
        an underestimate of the cost from state to goal, where
        both state and goals are feature:value dictionaries.
        """
        self.prob_domain = planning_problem.prob_domain
        self.initial_state = State(planning_problem.initial_state)
        self.goal = planning_problem.goal
        self.heur = heur

    def is_goal(self, state):
        """is True if node is a goal.
        Every goal feature has the same value in the state and the goal.""
        return all(state.assignment[prop] == self.goal[prop]
```
6. Planning with Certainty

```python
for prop in self.goal):

def start_node(self):
    """returns start node""
    return self.initial_state

def neighbors(self, state):
    """returns neighbors of state in this problem""
    return [Arc(state, self.effect(act, state.assignment), act.cost, act)
        for act in self.prob_domain.actions
        if self.possible(act, state.assignment)]

def possible(self, act, state_assst):
    """True if act is possible in state.
    act is possible if all of its preconditions have the same value in
    the state""
    return all(state_assst[pre] == act.preconds[pre]
        for pre in act.preconds)

def effect(self, act, state_assst):
    """returns the state that is the effect of doing act given
    state_assst
    Python 3.9: return state_assst | act.effects"
    new_state_assst = state_assst.copy()
    new_state_assst.update(act.effects)
    return State(new_state_assst)

def heuristic(self, state):
    """in the forward planner a node is a state.
    the heuristic is an (under)estimate of the cost
    of going from the state to the top-level goal.
    ""
    return self.heur(state.assignment, self.goal)
```

Here are some test cases to try.

```python
from searchBranchAndBound import DF_branch_and_bound
from searchMPP import SearcherMPP
from stripsProblem import problem0, problem1, problem2, blocks1, blocks2, blocks3

# SearcherMPP(Forward_STRIPS(problem1)).search() #A* with MPP
# DF_branch_and_bound(Forward_STRIPS(problem1),10).search() #B&B
# To find more than one plan:
# s1 = SearcherMPP(Forward_STRIPS(problem1)) #A*
# s1.search() #find another plan
```

http://aipython.org
6.2. Forward Planning

6.2.1 Defining Heuristics for a Planner

Each planning domain requires its own heuristics. If you change the actions, you will need to reconsider the heuristic function, as there might then be a lower-cost path, which might make the heuristic non-admissible.

Here is an example of defining a (not very good) heuristic for the coffee delivery planning domain.

First we define the distance between two locations, which is used for the heuristics.

```python
def dist(loc1, loc2):
    """returns the distance from location loc1 to loc2"
    if loc1==loc2:
        return 0
    if {loc1,loc2} in [{'cs','lab'},{'mr','off'}]:
        return 2
    else:
        return 1
```

Note that the current state is a complete description; there is a value for every feature. However the goal need not be complete; it does not need to define a value for every feature. Before checking the value for a feature in the goal, a heuristic needs to define whether the feature is defined in the goal.

```python
def h1(state,goal):
    """ the distance to the goal location, if there is one"
    if 'RLoc' in goal:
        return dist(state['RLoc'], goal['RLoc'])
    else:
        return 0

def h2(state,goal):
    """ the distance to the coffee shop plus getting coffee and delivering it
    if the robot needs to get coffee"
    if ('SWC' in goal and goal['SWC']==False
        and state['SWC']==True
        and state['RHC']==False):
        return dist(state['RLoc'],'cs')+3
    else:
        return 0
```

The maximum of the values of a set of admissible heuristics is also an admissible heuristic. The function maxh takes a number of heuristic functions as arguments, and returns a new heuristic function that takes the maximum of the values of the heuristics. For example, h1 and h2 are heuristic functions and so maxh(h1,h2) is also. maxh can take an arbitrary number of arguments.
6. Planning with Certainty

stripsHeuristic.py — (continued)

```python
def maxh(*heuristics):
    """Returns a new heuristic function that is the maximum of the
    functions in heuristics. heuristics is the list of arguments which must be heuristic functions.
    """
    # return lambda state,goal: max(h(state,goal) for h in heuristics)
    def newh(state,goal):
        return max(h(state,goal) for h in heuristics)
    return newh
```

The following runs the example with and without the heuristic.

```python
##### Forward Planner #####
from searchMPP import SearcherMPP
from stripsForwardPlanner import Forward_STRIPS
from stripsProblem import problem0, problem1, problem2, blocks1, blocks2, blocks3

def test_forward_heuristic(thisproblem=problem1):
    print("\n***** FORWARD NO HEURISTIC")
    print(SearcherMPP(Forward_STRIPS(thisproblem)).search())

    print("\n***** FORWARD WITH HEURISTIC h1")
    print(SearcherMPP(Forward_STRIPS(thisproblem,h1)).search())

    print("\n***** FORWARD WITH HEURISTIC h2")
    print(SearcherMPP(Forward_STRIPS(thisproblem,h2)).search())

    print("\n***** FORWARD WITH HEURISTICs h1 and h2")
    print(SearcherMPP(Forward_STRIPS(thisproblem,maxh(h1,h2))).search())

if __name__ == "__main__":
    test_forward_heuristic()
```

Exercise 6.4 Try the forward planner with a heuristic function of just \(h_1\), with just \(h_2\) and with both. Explain how each one prunes or doesn’t prune the search space.

Exercise 6.5 Create a better heuristic than \(maxh(h_1,h_2)\). Try it for a number of different problems. In particular, try and include the following costs:

i) \(h_3\) is like \(h_2\) but also takes into account the case when \(Rloc\) is in goal.

ii) \(h_4\) uses the distance to the mail room plus getting mail and delivering it if the robot needs to get need to deliver mail.

iii) \(h_5\) is for getting mail when goal is for the robot to have mail, and then getting to the goal destination (if there is one).

Exercise 6.6 Create an admissible heuristic for the blocks world.

http://aipython.org Version 0.9.3 December 15, 2021
6.3 Regression Planning

To run the demo, in folder "aipython", load "stripsRegressionPlanner.py", and copy and paste the commented-out example queries at the bottom of that file.

In a regression planner a node is a subgoal that need to be achieved. A Subgoal object consists of an assignment, which is variable:value dictionary. We make it hashable so that multiple path pruning can work. The hash is only computed when necessary (and only once).

A regression search has subgoals as nodes. The initial node is the top-level goal of the planner. The goal for the search (when the search can stop) is a subgoal that holds in the initial state.

```
from searchProblem import Arc, Search_problem

class Subgoal(object):
    def __init__(self, assignment):
        self.assignment = assignment
        self.hash_value = None
    def __hash__(self):
        if self.hash_value is None:
            self.hash_value = hash(frozenset(self.assignment.items()))
        return self.hash_value
    def __eq__(self, st):
        return self.assignment == st.assignment
    def __str__(self):
        return str(self.assignment)

A regression search has subgoals as nodes. The initial node is the top-level goal of the planner. The goal for the search (when the search can stop) is a subgoal that holds in the initial state.

```

```
from stripsForwardPlanner import zero

class Regression_STRIPS(Search_problem):
    """A search problem where:
    * a node is a goal to be achieved, represented by a set of propositions.
    * the dynamics are specified by the STRIPS representation of actions
    """
    def __init__(self, planning_problem, heur=zero):
        """creates a regression search space from a planning problem.
        heur(state,goal) is a heuristic function;
        an underestimate of the cost from state to goal, where
        both state and goals are feature:value dictionaries
        """
        self.prob_domain = planning_problem.prob_domain
        self.top_goal = Subgoal(planning_problem.goal)
        self.initial_state = planning_problem.initial_state
        self.heur = heur

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def is_goal(self, subgoal):
    """if subgoal is true in the initial state, a path has been found""
    goal_asst = subgoal.assignment
    return all(self.initial_state[g]==goal_asst[g]
                for g in goal_asst)

def start_node(self):
    """the start node is the top-level goal""
    return self.top_goal

def neighbors(self, subgoal):
    """returns a list of the arcs for the neighbors of subgoal in this
    problem""
    goal_asst = subgoal.assignment
    return [ Arc(subgoal, self.weakest_precond(act,goal_asst),
                 act.cost, act)
              for act in self.prob_domain.actions
              if self.possible(act,goal_asst)]

def possible(self, act, goal_asst):
    """True if act is possible to achieve goal_asst.

    the action achieves an element of the effects and
    the action doesn't delete something that needs to be achieved and
    the preconditions are consistent with other subgoals that need to
    be achieved"
    return ( any(goal_asst[prop] == act.effects[prop]
                  for prop in act.effects if prop in goal_asst)
            and all(goal_asst[prop] == act.effects[prop]
                    for prop in act.effects if prop in goal_asst)
            and all(goal_asst[prop]== act.preconds[prop]
                    for prop in act.preconds if prop not in act.effects
                                          and prop in goal_asst)
        )

def weakest_precond(self, act, goal_asst):
    """returns the subgoal that must be true so goal_asst holds after
    act
    should be:  act.preconds | (goal_asst - act.effects)"
    new_asst = act.preconds.copy()
    for g in goal_asst:
        if g not in act.effects:
            new_asst[g] = goal_asst[g]
    return Subgoal(new_asst)

def heuristic(self, subgoal):
    """in the regression planner a node is a subgoal."
6.3. Regression Planning

the heuristic is an (under)estimate of the cost of going from the
initial state to subgoal.

return self.heur(self.initial_state, subgoal.assignment)

Exercise 6.7 Multiple path pruning could be used to prune more than the current
code. In particular, if the current node contains more conditions than a previously
visited node, it can be pruned. For example, if \{a : True, b : False\} has been visited,
then any node that is a superset, e.g., \{a : True, b : False, d : True\}, need not be
expanded. If the simpler subgoal does not lead to a solution, the more complicated
one won’t either. Implement this more severe pruning. (Hint: This may require
modifications to the searcher.)

Exercise 6.8 It is possible that, as knowledge of the domain, that some assignment
of values to variables can never be achieved. For example, the robot
cannot be holding mail when there is mail waiting (assuming it isn’t holding
mail initially). An assignment of values to (some of the) variables is incompatible
if no possible (reachable) state can include that assignment. For example,
\{‘MW’ : True, ‘RHM’ : True\} is an incompatible assignment. This information may
be useful information for a planner; there is no point in trying to achieve these
together. Define a subclass of STRIPS domain that can accept a list of incompatible
assignments. Modify the regression planner code to use such a list of incompatible
assignments. Give an example where the search space is smaller.

Exercise 6.9 After completing the previous exercise, design incompatible assignments for the blocks world. (This should result in dramatic search improvements.)

6.3.1 Defining Heuristics for a Regression Planner

The regression planner can use the same heuristic function as the forward planner.
However, just because a heuristic is useful for a forward planner does
not mean it is useful for a regression planner, and vice versa. you should experiment with whether the same heuristic works well for both a regression
planner and a forward planner.

The following runs the same example as the forward planner with and
without the heuristic defined for the forward planner:
def test_regression_heuristic(thisproblem=problem1):
    print("\n***** REGRESSION NO HEURISTIC")
    print(SearcherMPP(Regression_STRIPS(thisproblem)).search())
    print("\n***** REGRESSION WITH HEURISTICs h1 and h2")
    print(SearcherMPP(Regression_STRIPS(thisproblem,maxh(h1,h2))).search())

if __name__ == "__main__":
    test_regression_heuristic()

Exercise 6.10  Try the regression planner with a heuristic function of just \(h_1\) and with just \(h_2\) (defined in Section 6.2.1). Explain how each one prunes or doesn’t prune the search space.

Exercise 6.11  Create a better heuristic than \textit{heuristic fun} defined in Section 6.2.1

6.4 Planning as a CSP

To run the demo, in folder "aipython", load "stripsCSPPlanner.py", and copy and paste the commented-out example queries at the bottom of that file. This assumes Python 3.

Here we implement the CSP planner assuming there is a single action at each step. This creates a CSP that can use any of the CSP algorithms to solve (e.g., stochastic local search or arc consistency with domain splitting).

This assumes the same action representation as before; we do not consider factored actions (action features), nor do we implement state constraints.

```python
from cspProblem import Variable, CSP, Constraint

class CSP_from_STRIPS(CSP):
    """A CSP where:
    * CSP variables are constructed for each feature and time, and each
      action and time
    * the dynamics are specified by the STRIPS representation of actions
    """
    def __init__(self, planning_problem, number_stages=2):
        prob_domain = planning_problem.prob_domain
        initial_state = planning_problem.initial_state
        goal = planning_problem.goal
        # self.action_vars[t] is the action variable for time t
        self.action_vars = [Variable(f"Action{t}", prob_domain.actions)
          for t in range(number_stages)]
        # feat_time_var[f][t] is the variable for feature f at time t
        feat_time_var = {feat: [Variable(f"{feat}_{t}", dom)
          for t in range(number_stages+1))
```

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for (feat, dom) in prob_domain.feature_domain_dict.items()}

# initial state constraints:
constraints = [Constraint((feat_time_var[feat][0],), is_(val))
    for (feat, val) in initial_state.items()]

# goal constraints on the final state:
constraints += [Constraint((feat_time_var[feat][number_stages],),
    is_(val))
    for (feat, val) in goal.items()]

# precondition constraints:
constraints += [Constraint((feat_time_var[feat][t],
    self.action_vars[t]),
    if_((val, act)) # feat@t==val if action@t==act
    for act in prob_domain.actions
    for (feat, val) in act.preconds.items()
    for t in range(number_stages)]

# effect constraints:
constraints += [Constraint((feat_time_var[feat][t+1],
    self.action_vars[t]),
    if_((val, act)) # feat@t+1==val if action@t==act
    for act in prob_domain.actions
    for feat, val in act.effects.items()
    for t in range(number_stages)]

# frame constraints:
constraints += [Constraint((feat_time_var[feat][t],
    self.action_vars[t], feat_time_var[feat][t+1]),
    eq_if_not_in_({act for act in prob_domain.actions
        if feat in act.effects}))
    for feat in prob_domain.feature_domain_dict
    for t in range(number_stages) ]

variables = set(self.action_vars) | {feat_time_var[feat][t]
    for feat in prob_domain.feature_domain_dict
    for t in range(number_stages+1)}

CSP.__init__(self, variables, constraints)

    def extract_plan(self, soln):
        return [soln[a] for a in self.action_vars]

The following methods return methods which can be applied to the particular environment.

For example, is_(3) returns a function that when applied to 3, returns True
and when applied to any other value returns False. So is_(3)(3) returns True
and \texttt{is\_}3(7) returns \texttt{False}.

Note that the underscore ('_') is part of the name; here we use it as the convention that it is a function that returns a function. This uses two different styles to define \texttt{is\_} and \texttt{if\_}; returning a function defined by \texttt{lambda} is equivalent to returning the embedded function, except that the embedded function has a name. The embedded function can also be given a docstring.

```python
def is_(val):
    """returns a function that is true when it is applied to val."
    
    #return lambda x: x == val
    def is_fun(x):
        return x == val
    is_fun.__name__ = "value_is_" + str(val)
    return is_fun

def if_(v1, v2):
    """if the second argument is v2, the first argument must be v1""
    #return lambda x1, x2: x1==v1 if x2==v2 else True
    def if_fun(x1, x2):
        return x1==v1 if x2==v2 else True
    if_fun.__name__ = "if x2 is " + str(v2) + " then x1 is " + str(v1)
    return if_fun

def eq_if_not_in_(actset):
    """first and third arguments are equal if action is not in actset"
    # return lambda x1, a, x2: x1==x2 if a not in actset else True
    def eq_if_not_fun(x1, a, x2):
        return x1==x2 if a not in actset else True
    eq_if_not_fun.__name__ = "first and third arguments are equal if action is not in " + str(actset)
    return eq_if_not_fun
```

Putting it together, this returns a list of actions that solves the problem \texttt{prob} for a given horizon. If you want to do more than just return the list of actions, you might want to get it to return the solution. Or even enumerate the solutions (by using \texttt{Search\_with\_AC\_from\_CSP}).

```python
def con_plan(prob, horizon):
    """finds a plan for problem prob given horizon."
    
    csp = CSP\_from\_STRIPS(prob, horizon)
    sol = Con\_solver(csp).solve\_one()
    return csp.extract\_plan(sol) if sol else sol
```

The following are some example queries.

```python
from searchGeneric import Searcher
```

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from stripsProblem import delivery_domain
from cspConsistency import Search_with_AC_from_CSP, Con_solver
from stripsProblem import Planning_problem, problem0, problem1, problem2,
blocks1, blocks2, blocks3

# Problem 0
# con_plan(problem0, 1) # should it succeed?
# con_plan(problem0, 2) # should it succeed?
# con_plan(problem0, 3) # should it succeed?
# To use search to enumerate solutions
# searcher0a = Searcher(Search_with_AC_from_CSP(CSP_from_STRIPS(problem0, 1)))
# print(searcher0a.search()) # returns path to solution

## Problem 1
# con_plan(problem1, 5) # should it succeed?
# con_plan(problem1, 4) # should it succeed?
## To use search to enumerate solutions:
# searcher15a = Searcher(Search_with_AC_from_CSP(CSP_from_STRIPS(problem1, 5)))
# print(searcher15a.search()) # returns path to solution

## Problem 2
# con_plan(problem2, 6) # should fail??
# con_plan(problem2, 7) # should succeed???

## Example 6.13
problem3 = Planning_problem(delivery_domain,
    {'SWC':True, 'RHC':False}, {'SWC':False})
# con_plan(problem3, 2) # Horizon of 2
# con_plan(problem3, 3) # Horizon of 3

problem4 = Planning_problem(delivery_domain,{'SWC':True},
    {'SWC':False, 'MW':False, 'RHM':False})

# For the stochastic local search:
# from cspSLS import SLSearcher, Runtime_distribution
# cspplanning15 = CSP_from_STRIPS(problem1, 5) # should succeed
# se0 = SLSearcher(cspplanning15); print(se0.search(100000,0.5))
# p = Runtime_distribution(cspplanning15)
# p.plot_runs(1000, 1000, 0.7) # warning will take a few minutes

6.5 Partial-Order Planning

To run the demo, in folder "aipython", load "stripsPOP.py", and copy and paste the commented-out example queries at the bottom of that file.

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A partial order planner maintains a partial order of action instances. An action instance consists of a name and an index. We need action instances because the same action could be carried out at different times.

```python
from searchProblem import Arc, Search_problem
import random

class Action_instance(object):
    next_index = 0
    def __init__(self, action, index=None):
        if index is None:
            index = Action_instance.next_index
            Action_instance.next_index += 1
        self.action = action
        self.index = index

def __str__(self):
    return str(self.action) + "#" + str(self.index)

__repr__ = __str__ # __repr__ function is the same as the __str__ function
```

A node (as in the abstraction of search space) in a partial-order planner consists of:

- **actions**: a set of action instances.

- **constraints**: a set of \((a_1, a_2)\) pairs, where \(a_1\) and \(a_2\) are action instances, which represents that \(a_1\) must come before \(a_2\) in the partial order. There are a number of ways that this could be represented. Here we represent the set of pairs that are in transitive closure of the before relation. This lets us quickly determine whether some before relation is consistent with the current constraints.

- **agenda**: a list of \((s, a)\) pairs, where \(s\) is a \((\text{var}, \text{val})\) pair and \(a\) is an action instance. This means that variable \text{var} must have value \text{val} before \(a\) can occur.

- **causal links**: a set of \((a_0, g, a_1)\) triples, where \(a_1\) and \(a_2\) are action instances and \(g\) is a \((\text{var}, \text{val})\) pair. This holds when action \(a_0\) makes \(g\) true for action \(a_1\).
6.5. Partial-Order Planning

* actions is a set of action instances
* constraints a set of \((a_0,a_1)\) pairs, representing \(a_0 < a_1\), closed under transitivity
* agenda list of \((\text{subgoal},\text{action})\) pairs to be achieved, where
  subgoal is a \((\text{variable},\text{value})\) pair
* causal_links is a set of \((a_0, g, a_1)\) triples,
  where \(a_i\) are action instances, and \(g\) is a \((\text{variable},\text{value})\) pair

```python
self.actions = actions # a set of action instances
self.constraints = constraints # a set of \((a_0,a_1)\) pairs
self.agenda = agenda # list of \((\text{subgoal},\text{action})\) pairs to be achieved
self.causal_links = causal_links # set of \((a_0,g,a_1)\) triples
```

def __str__(self):
    return 
    "actions: "+
    str({str(a) for a in self.actions})+
    "constraints: "+
    str({(str(a1), str(a2)) for (a1, a2) in self.constraints})+
    "\nagenda: "+
    str({(str(s), str(a)) for (s, a) in self.agenda})+
    "\ncausal_links:"+
    str({(str(a0), str(g), str(a2)) for (a0, g, a2) in self.causal_links})
```

`extract_plan` constructs a total order of action instances that is consistent with the partial order.

```python
def extract_plan(self):
    """returns a total ordering of the action instances consistent with the constraints.
    raises IndexError if there is no choice.
    """
    sorted_acts = []
    other_acts = set(self.actions)
    while other_acts:
        a = random.choice([a for a in other_acts if
                           all(((a1, a) not in self.constraints) for a1 in
                           other_acts)])
        sorted_acts.append(a)
        other_acts.remove(a)
    return sorted_acts
```

`POP_search_from_STRIPS` is an instance of a search problem. As such, we need to define the start nodes, the goal, and the neighbors of a node.

```python
from display import Displayable
class POP_search_from_STRIPS(Search_problem, Displayable):
    def __init__(self, planning_problem):
```

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```python
Search_problem.__init__(self)
self.planning_problem = planning_problem
self.start = Action_instance("start")
self.finish = Action_instance("finish")

def is_goal(self, node):
    return node.agenda == []

def start_node(self):
    constraints = {(self.start, self.finish)}
    agenda = [(g, self.finish) for g in
               self.planning_problem.goal.items()]
    return POP_node([self.start,self.finish], constraints, agenda, [] )
```

The `neighbors` method is a coroutine that enumerates the neighbors of a given node.

```python
def neighbors(self, node):
    """enumerates the neighbors of node""
    self.display(3,"finding neighbors of
    
    if node.agenda:
        subgoal,act1 = node.agenda[0]
        self.display(2,"selecting",subgoal,"for",act1)
        new_agenda = node.agenda[1:]  
        for act0 in node.actions:
            if (self.achieves(act0, subgoal) and
                self.possible((act0,act1),node.constraints)):  
                self.display(2," reusing",act0)
                consts1 = 
                self.add_constraint((act0,act1),node.constraints)
                new_clink = (act0,subgoal,act1)
                new_cls = node.causal_links + [new_clink]

                for consts2 in 
                    self.protect_cl_for_actions(node.actions,consts1,new_clink):
                        yield Arc(node,  
                                   POP_node(node.actions,consts2,new_agenda,new_cls),
                                   cost=0)

        for a0 in self.planning_problem.prob_domain.actions: #a0 is an action
            if self.achieves(a0, subgoal):
                #a0 acheives subgoal
                new_a = Action_instance(a0)
                self.display(2," using new action",new_a)
                new_actions = node.actions + [new_a]

                consts1 = 
                self.add_constraint((self.start,new_a),node.constraints)
                consts2 = self.add_constraint((new_a,act1),consts1)
                new_agenda1 = new_agenda + [(pre,new_a) for pre in
                                             a0.preconds.items()]

                new_clink = (new_a,subgoal,act1)
```

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Given a causal link \((a_0, \text{subgoal}, a_1)\), the following method protects the causal link from each action in \(\text{actions}\). Whenever an action deletes \(\text{subgoal}\), the action needs to be before \(a_0\) or after \(a_1\). This method enumerates all constraints that result from protecting the causal link from all actions.

```python
def protect_cl_for_actions(self, actions, constrs, clink):
    """yields constraints that extend constrs and protect causal link \((a_0, \text{subgoal}, a_1)\) for each action in actions"
    if actions:
        a = actions[0]
        rem_actions = actions[1:]
        a0, subgoal, a1 = clink
        if a != a0 and a != a1 and self.deletes(a,subgoal):
            if self.possible((a,a0),constrs):
                new_const = self.add_constraint((a,a0),constrs)
                for e in self.protect_cl_for_actions(rem_actions,new_const,clink):
                    yield e # could be "yield from"
            if self.possible((a1,a),constrs):
                new_const = self.add_constraint((a1,a),constrs)
                for e in self.protect_cl_for_actions(rem_actions,new_const,clink):
                    yield e
        else:
            for e in self.protect_cl_for_actions(rem_actions,constrs,clink):
                yield e
    else:
        yield constrs
```

Given an action \(\text{act}\), the following method protects all the causal links in \(\text{clinks}\) from \(\text{act}\). Whenever \(\text{act}\) deletes \(\text{subgoal}\) from some causal link \((a_0, \text{subgoal}, a_1)\), the action \(\text{act}\) needs to be before \(a_0\) or after \(a_1\). This method enumerates all constraints that result from protecting the causal links from \(\text{act}\).

```python
def protect_all_cls(self, clinks, act, constrs):
    """yields constraints that protect all causal links from act"
    if clinks:
```
6. Planning with Certainty

(a0, cond, a1) = clinks[0]  # select a causal link
rem_clinks = clinks[1:]  # remaining causal links
if act != a0 and act != a1 and self.deletes(act, cond):
    if self.possible((act, a0), constrs):
        new_const = self.add_constraint((act, a0), constrs)
        for e in self.protect_all_cls(rem_clinks, act, new_const):
            yield e
    if self.possible((a1, act), constrs):
        new_const = self.add_constraint((a1, act), constrs)
        for e in self.protect_all_cls(rem_clinks, act, new_const):
            yield e
    else:
        for e in self.protect_all_cls(rem_clinks, act, constrs):
            yield e
else:
    yield constrs

The following methods check whether an action (or action instance) achieves or deletes some subgoal.

def achieves(self, action, subgoal):
    var, val = subgoal
    return var in self.effects(action) and self.effects(action)[var] == val

def deletes(self, action, subgoal):
    var, val = subgoal
    return var in self.effects(action) and self.effects(action)[var] != val

def effects(self, action):
    """returns the variable:value dictionary of the effects of action. works for both actions and action instances""
    if isinstance(action, Action_instance):
        action = action.action
    if action == "start":
        return self.planning_problem.initial_state
    elif action == "finish":
        return {}
    else:
        return action.effects

The constraints are represented as a set of pairs closed under transitivity. Thus if (a, b) and (b, c) are the list, then (a, c) must also be in the list. This means that adding a new constraint means adding the implied pairs, but querying whether some order is consistent is quick.
6.5. Partial-Order Planning

```python
return const
todo = [pair]
newconst = const.copy()
while todo:
x0,x1 = todo.pop()
newconst.add((x0,x1))
for x,y in newconst:
    if x==x1 and (x0,y) not in newconst:
        todo.append((x0,y))
    if y==x0 and (x,x1) not in newconst:
        todo.append((x,x1))
return newconst

def possible(self,pair,constraint):
    (x,y) = pair
    return (y,x) not in constraint
```

Some code for testing:

```python
from searchBranchAndBound import DF_branch_and_bound
from searchMPP import SearcherMPP
from stripsProblem import problem0, problem1, problem2, blocks1, blocks2, blocks3

rplanning0 = POP_search_from_STRIPS(problem0)
rplanning1 = POP_search_from_STRIPS(problem1)
rplanning2 = POP_search_from_STRIPS(problem2)
searcher0 = DF_branch_and_bound(rplanning0,5)
searcher0a = SearcherMPP(rplanning0)
searcher1 = DF_branch_and_bound(rplanning1,10)
searcher1a = SearcherMPP(rplanning1)
searcher2 = DF_branch_and_bound(rplanning2,10)
searcher2a = SearcherMPP(rplanning2)

# Try one of the following searchers
# a = searcher0.search()
# a = searcher0a.search()
# a = searcher1.search()
# a = searcher1a.search()
# a = searcher2.search()
# a = searcher2a.search()
```

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This chapter is the first on machine learning. It covers the following topics:

- Data: how to load it, training and test sets
- Features: many of the features come directly from the data. Sometimes it is useful to construct features, e.g. $height > 1.9m$ might be a Boolean feature constructed from the real-values feature $height$. The next chapter is about how to learn these features; in this chapter we construct them by hand, in what is often known a feature engineering.
- Learning with no input features: this is the base case of many methods. What should we predict if we have no input features? This is basic knowledge that everyone doing machine learning should know.
- Decision tree learning: one of the classic and simplest learning algorithms, which is the basis of many other algorithms.
- Cross validations and parameter tuning: methods to prevent overfitting.
- Linear regression and classification: other classic and simple techniques that often work well (particularly combined with feature learning or engineering).
- Boosting: combining simpler learning methods to make even better learners.

A good source of classic datasets is the UCI machine Learning Repository [Lichman, 2013]. The SPECT and car datasets are from this repository.
7.1 Representations of Data and Predictions

The code uses the following definitions and conventions:

- A **data set** is an enumeration of examples.

- An **example** is a list (or tuple) of feature values. The feature values can be numbers or strings.

- A **feature** is a function from examples into the range of the feature. We assume each feature has a variable `frange` that gives the range of the feature.

  A **Boolean feature** is a function from the examples into `{False, True}`. So, if `f` is a Boolean feature, `f.frange == [False, True]`, and if `e` is an example, `f(e)` is either `True` or `False`.

  The `__doc__` variable of the function contains the docstring, a string description of the function.

```python
import math, random, statistics
import csv
from display import Displayable
from utilities import argmax

boolean = [False, True]
```

When creating a data set, we partition the data into a training set (`train`) and a test set (`test`). The target feature is the feature that we are making a prediction of.

```python
class Data_set(Displayable):
    """A data set consists of a list of training data and a list of test data.
    """
    def __init__(self, train, test=None, prob_test=0.30, target_index=0, header=None, seed=None): #12345):
        """A dataset for learning.
        train is a list of tuples representing the training examples
        test is the list of tuples representing the test examples
        if test is None, a test set is created by selecting each example with probability prob_test
        target_index is the index of the target. If negative, it counts from right.
        If target_index is larger than the number of properties,
        there is no target (for unsupervised learning)
        header is a list of names for the features
```
7.1. Representations of Data and Predictions

seed is for random number; make it None for a different test set each time

```python
if seed:  # given seed makes the partition consistent from run-to-run
    random.seed(seed)
if test is None:
    train, test = partition_data(train, prob_test)
self.train = train
self.test = test
```

```python
self.display(1, f"Training set has {len(train)} examples. Number of columns in ", {len(e) for e in train})
self.display(1, f"Test set has {len(test)} examples. Number of columns in ", {len(e) for e in test})
```

```python
self.prob_test = prob_test
self.num_properties = len(self.train[0])
if target_index < 0:  # allows for -1, -2, etc.
    self.target_index = self.num_properties + target_index
else:
    self.target_index = target_index
self.header = header
self.domains = [set() for i in range(self.num_properties)]
for example in self.train:
    for ind, val in enumerate(example):
        self.domains[ind].add(val)
self.create_features()  # Info is already contained in self.domains
self.display(1, "There are", len(self.input_features), "input features")
```

```python
def __str__(self):
    if self.train and len(self.train) > 0:
        return "Data: " + str(len(self.train)) + " training examples, " + str(len(self.test)) + " test examples, " + str(len(self.train[0])) + " features."
    else:
        return "Data: " + str(len(self.train)) + " training examples, " + str(len(self.test)) + " test examples."
```

A feature is a function that takes an example and returns a value in the range of the feature. Each feature has a range, which gives the range of the feature, and an ftype that gives the type, one of "boolean", "numeric" or "categorical".

```python
def create_features(self):
    """create the set of features """
    self.target = None
    self.input_features = []
    for i in range(self.num_properties):
```

```
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```
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```python
def feat(e, index=i):
    return e[index]

if self.header:
    feat.__doc__ = self.header[i]
else:
    feat.__doc__ = "e["+str(i)+"]"
feat.frange = list(self.domains[i])
featftype = self.infer_type(feat.frange)
if i == self.target_index:
    self.target = feat
else:
    self.input_features.append(feat)

def infer_type(self, domain):
    """Infers the type of a feature with domain
    """
    if all(v in {True, False} for v in domain):
        return "boolean"
    if all(isinstance(v, (float, int)) for v in domain):
        return "numeric"
    else:
        return "categorical"
```

7.1.1 Evaluating Predictions

A **predictor** is a function that takes an example and makes a prediction on the values of the target features.

An error measure takes a prediction and the actual value and returns a non-negative real number, such that the error for a dataset is the mean of the errors for each example. We assume that a lower error is better.

The function `evaluate_dataset` returns the average error for each example, where the error for each example depends on the evaluation criteria. Here we consider three evaluation criteria, the squared error (average of the square of the difference between the actual and predicted values), absolute errors (average of the absolute difference between the actual and predicted values) and the logloss (the average negative log-likelihood, which can be interpreted as the number of bits to describe an example using a code based on the prediction treated as a probability).

```python
def evaluate_dataset(self, data, predictor, error_measure):
    """Evaluates predictor on data according to the error_measure
    predictor is a function that takes an example and returns a
    prediction for the target features.
    error_measure(prediction, actual) -> non-negative real
    """
    if data:
        try:
```

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```
error = statistics.mean(error_measure(predictor(e),
    self.target(e))
    for e in data)
except ValueError:
    return float("inf") # infinity
return error
```

The following evaluation criteria are defined. (Please keep the __doc__ strings a consistent length as they are used in tables.) The prediction is either a real value or a `{value : probability}` dictionary or a list. The actual is either a real number or a key of the prediction.

```
def squared_error(prediction, actual):
    "squared error"
    if isinstance(prediction, (list,dict)):
        return (1-prediction[actual])**2 # the correct value is 1
    else:
        return (prediction-actual)**2

def absolute_error(prediction, actual):
    "absolute error"
    if isinstance(prediction, (list,dict)):
        return abs(1-prediction[actual]) # the correct value is 1
    else:
        return abs(prediction-actual)

def log_loss(prediction, actual):
    "logloss"
    try:
        if isinstance(prediction, (list,dict)):
            return -math.log2(prediction[actual])
        else:
            return -math.log2(prediction) if actual==1 else -math.log2(1-prediction)
    except ValueError:
        return float("inf") # infinity

def accuracy(prediction, actual):
    "accuracy"
    if isinstance(prediction, dict):
        prev_val = prediction[actual]
        return 1 if all(prev_val >= v for v in prediction.values()) else 0
    if isinstance(prediction, list):
        prev_val = prediction[actual]
        return 1 if all(prev_val >= v for v in prediction) else 0
    else:
        return 1 if abs(actual-prediction) <= 0.5 else 0

evaluation_criteria = [squared_error, absolute_error, log_loss, accuracy]
```
7.1.2 Creating Test and Training Sets

The following method partitions the data into a training set and a test set. Note that this does not guarantee that the test set will contain exactly a proportion of the data equal to \( \text{prob\_test} \).

[An alternative is to use \texttt{random.sample()} which can guarantee that the test set will contain exactly a particular proportion of the data. However this would require knowing how many elements are in the data set, which we may not know, as \texttt{data} may just be a generator of the data (e.g., when reading the data from a file).]

```python
def partition_data(data, prob_test=0.30):
    """partitions the data into a training set and a test set, where
    prob_test is the probability of each example being in the test set.
    """
    train = []
    test = []
    for example in data:
        if random.random() < prob_test:
            test.append(example)
        else:
            train.append(example)
    return train, test
```

7.1.3 Importing Data From File

A data set is typically loaded from a file. The default here is that it loaded from a CSV (comma separated values) file, although the default separator can be changed. This assumes that all lines that contain the separator are valid data (so we only include those data items that contain more than one element). This allows for blank lines and comment lines that do not contain the separator. However, it means that this method is not suitable for cases where there is only one feature.

Note that \texttt{data\_all} and \texttt{data\_tuples} are generators. \texttt{data\_all} is a generator of a list of list of strings. This version assumes that CSV files are simple. The standard \texttt{csv} package, that allows quoted arguments, can be used by uncommenting the line for \texttt{data\_all} and commenting out the following line. \texttt{data\_tuples} contains only those lines that contain the delimiter (others lines are assumed to be empty or comments), and tries to convert the elements to numbers whenever possible.

This allows for some of the columns to be included; specified by \texttt{include\_only}. Note that if \texttt{include\_only} is specified, the target index is the index in the included columns.
7.1. Representations of Data and Predictions

```python
def __init__(self, file_name, separator=',', num_train=None, prob_test=0.3,
             has_header=False, target_index=0, boolean_features=True,
             categorical=[], include_only=None, seed=None): #seed=12345):
    """create a dataset from a file
    separator is the character that separates the attributes
    num_train is a number specifying the first num_train tuples are
    training, or None
    prob_test is the probability an example should in the test set (if
    num_train is None)
    has_header is True if the first line of file is a header
    target_index specifies which feature is the target
    boolean_features specifies whether we want to create Boolean
    features
    (if False, it uses the original features).
    categorical is a set (or list) of features that should be treated
    as categorical
    include_only is a list or set of indexes of columns to include
    """
    self.boolean_features = boolean_features
    with open(file_name,'r',newline='') as csvfile:
        # data_all = csv.reader(csvfile,delimiter=separator) # for more
        # complicated CSV files
        data_all = (line.strip().split(separator) for line in csvfile)
        if include_only is not None:
            data_all = ([v for (i,v) in enumerate(line) if i in
                         include_only]
                      for line in data_all)
        if has_header:
            header = next(data_all)
        else:
            header = None
        data_tuples = (interpret_elements(d) for d in data_all if
                        len(d)>1)
        if num_train is not None:
            # training set is divided into training then test examples
            # the file is only read once, and the data is placed in
            # appropriate list
            train = []
            for i in range(num_train): # will give an error if
                insuficient examples
                train.append(next(data_tuples))
            test = list(data_tuples)
            Data_set.__init__(self,train, test=test,
                               target_index=target_index, header=header)
        else:  # randomly assign training and test examples
            Data_set.__init__(self,data_tuples, test=None,
                               prob_test=prob_test,
                               target_index=target_index, header=header,
                               seed=seed)
```

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The following class is used for datasets where the training and test are in different files

```python
class Data_from_files(Data_set):
    def __init__(self, train_file_name, test_file_name, separator=':',
                 has_header=False, target_index=0, boolean_features=True,
                 categorical=[], include_only=None):
        """create a dataset from separate training and file
        separator is the character that separates the attributes
        num_train is a number specifying the first num_train tuples are
        training, or None
        prob_test is the probability an example should in the test set (if
        num_train is None)
        has_header is True if the first line of file is a header
        target_index specifies which feature is the target
        boolean_features specifies whether we want to create Boolean
        features
        (if False, it uses the original features).
        categorical is a set (or list) of features that should be treated
        as categorical
        include_only is a list or set of indexes of columns to include
        ""
        self.boolean_features = boolean_features
        with open(train_file_name, 'r', newline='') as train_file:
            with open(test_file_name, 'r', newline='') as test_file:
                # data_all = csv.reader(csvfile, delimiter=separator) # for more
                # complicated CSV files
                train_data = (line.strip().split(separator) for line in
                              train_file)
                test_data = (line.strip().split(separator) for line in
                             test_file)
                if include_only is not None:
                    train_data = ([v for (i, v) in enumerate(line) if i in
                                   include_only]
                                   for line in train_data)
                    test_data = ([v for (i, v) in enumerate(line) if i in
                                  include_only]
                                 for line in test_data)
                if has_header: # this assumes the training file has a header
                    header = next(train_data)
                else:
                    header = None
                train_tuples = [interpret_elements(d) for d in train_data if
                                 len(d)>1]
                test_tuples = [interpret_elements(d) for d in test_data if
                               len(d)>1]
                Data_set.__init__(self, train_tuples, test_tuples,
                                   target_index=target_index, header=header)
```

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When reading from a file all of the values are strings. This next method tries to convert each values into a number (an int or a float) or Boolean, if it is possible.

```
def interpret_elements(str_list):
    """make the elements of string list str_list numerical if possible.
    Otherwise remove initial and trailing spaces.
    """
    res = []
    for e in str_list:
        try:
            res.append(int(e))
        except ValueError:
            try:
                res.append(float(e))
            except ValueError:
                se = e.strip()
                if se in ["True","true","TRUE"]:  
                    res.append(True)
                elif se in ["False","false","FALSE"]: 
                    res.append(False)
                else:
                    res.append(e.strip())
    return res
```

### 7.1.4 Binarized Features

Some of the algorithms require Boolean features or features with range \{0, 1\}. In order to be able to use these algorithms on datasets that allow for arbitrary domains of input variables, we construct binary features from the attributes.

There are 3 cases:

- When the range only has two values, we designate one to be the “true” value.

- When the values are all numeric, we assume they are ordered (as opposed to just being some classes that happen to be labelled with numbers) and construct Boolean features for splits of the data. That is, the feature is \(e[ind] < cut\) for some value \(cut\). We choose a number of \(cut\) values, up to a maximum number of cuts, given by \(max\_num\_cuts\).

- When the values are not all numeric, we assume they are unordered, and create an indicator function for each value. An indicator function for a value returns true when that value is given and false otherwise. Note that we can’t create an indicator function for values that appear in the test set but not in the training set because we haven’t seen the test set. For the examples in the test set with a value that doesn’t appear in the training set for that feature, the indicator functions all return false.
class Binarized_Data_Set(Data_set):
    def __init__(self, data_set, max_num_cuts=8):
        """creates a new dataset like data_set but where all input features are boolean
        max_num_cuts is the maximum number of binary variables
        to split a numerical feature into."
        self.train = data_set.train
        self.test = data_set.test
        self.num_properties = data_set.num_properties
        self.target_index = data_set.target_index
        self.target = data_set.target
        self.header = data_set.header
        self.domains = data_set.domains

        self.input_features = []
        for ind, frange in enumerate(self.domains):
            if ind != self.target_index and len(frange) > 1:
                if len(frange) == 2:
                    # two values, the feature is equality to one of them.
                    true_val = list(frange)[1] # choose one as true
                    def feat(e, i=ind, tv=true_val):
                        return e[i] == tv
                    if self.header:
                        feat.__doc__ = self.header[ind] + "==" + str(true_val)
                    else:
                        feat.__doc__ = "e[" + str(ind) + "] == " + str(true_val)
                    feat.frange = boolean
                    feat.ftype = "boolean"
                    self.input_features.append(feat)
                elif all(isinstance(val, (int, float)) for val in frange):
                    # all numeric, create cuts of the data
                    sorted_frange = sorted(frange)
                    num_cuts = min(max_num_cuts, len(frange))
                    cut_positions = [len(frange) * i // num_cuts for i in range(1, num_cuts)]
                    for cut in cut_positions:
                        cutat = sorted_frange[cut]
                        def feat(e, ind_=ind, cutat=cutat):
                            return e[ind_] < cutat
                        if self.header:
                            feat.__doc__ = self.header[ind] + "<" + str(cutat)
                        else:
                            feat.__doc__ = "e[" + str(ind) + "] < " + str(cutat)
                        feat.frange = boolean
                        feat.ftype = "boolean"
                        self.input_features.append(feat)
        else:
7.1. Representations of Data and Predictions

Exercise 7.1 Change the code so that it splits using $e[ind] \leq cut$ instead of $e[ind] < cut$. Check boundary cases, such as 3 elements with 2 cuts. As a test case, make sure that when the range is the 30 integers from 100 to 129, and you want 2 cuts, the resulting Boolean features should be $e[ind] \leq 109$ and $e[ind] \leq 119$ to make sure that each of the resulting domains is of equal size.

Exercise 7.2 This splits on whether the feature is less than one of the values in the training set. Sam suggested it might be better to split between the values in the training set, and suggested using

$$cut_{at} = \frac{\text{sorted.frange}[cut] + \text{sorted.frange}[cut - 1]}{2}$$

Why might Sam have suggested this? Does this work better? (Try it on a few data sets).

7.1.5 Augmented Features

Sometimes we want to augment the features with new features computed from the old features (e.g. the product of features). Here we allow the creation of a new dataset from an old dataset but with new features. Note that these are sometimes called kernels; mapping the original feature space into a new space, from which we can use standard learning tools. For those interested in the mathematics, read about support vector machines, which have neat way to do learning in the augmented space (the “kernel trick”) that is beyond the scope of AIPython (currently).

A feature is a function of examples. A unary feature constructor takes a feature and returns a new feature. A binary feature combiner takes two features and returns a new feature.
The following are useful unary feature constructors and binary feature combiner.

```python
def square(f):
    """a unary feature constructor to construct the square of a feature
    """
    def sq(e):
        return f(e)**2
    sq.__doc__ = f.__doc__+'**2'
    return sq

def power_feat(n):
    """given n returns a unary feature constructor to construct the nth power of a feature.
    e.g., power_feat(2) is the same as square, defined above
    """
    def fn(f,n=n):
        def pow(e,n=n):
            return f(e)**n
        pow.__doc__ = f.__doc__+'**'+str(n)
        return pow
    return fn

def prod_feat(f1,f2):
    """a new feature that is the product of features f1 and f2
    """
    def feat(e):
        return f1(e) * f2(e)
```

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7.2. Generic Learner Interface

A learner takes a dataset (and possibly other arguments specific to the method). To get it to learn, we call the learn() method. This implements Displayable so that we can display traces at multiple levels of detail (and perhaps with a GUI).

Exercise 7.3 For symmetric properties, such as product, we don’t need both \( f_1 \times f_2 \) as well as \( f_2 \times f_1 \) as extra properties. Allow the user to be able to declare feature constructors as symmetric (by associating a Boolean feature with them). Change construct_features so that it does not create both versions for symmetric combiners.
7.3 Learning With No Input Features

If we make the same prediction for each example, what prediction should we make? This can be used as a naive baseline; if a method does not do better than this, the the input features do not provide any useful information for the prediction. It is also the base case for some methods, such as decision-tree learning.

To run demo to compare different prediction methods on various evaluation criteria, in folder “aipython”, load “learnNoInputs.py”, using e.g., ipython -i learnNoInputs.py, and it prints some test results.

There are a few alternatives as to what could be allowed in a prediction:

- a point prediction, where we are only allowed to predict one of the values of the feature. For example, if the values of the feature are \{0, 1\} we are only allowed to predict 0 or 1 or of the values are ratings in \{1, 2, 3, 4, 5\}, we can only predict one of these integers.

- a point prediction, where we are allowed to predict any value. For example, if the values of the feature are \{0, 1\} we may be allowed to predict 0.3, 1, or even 1.7. For all of the criteria we can imagine, there is no point in predicting a value greater than 1 or less that zero (but that doesn’t mean we can’t), but it is often useful to predict a value between 0 and 1. If the values are ratings in \{1, 2, 3, 4, 5\}, we may want to predict 3.4.

- a probability distribution over the values of the feature. For each value \(v\), we predict a non-negative number \(p_v\), such that the sum over all predictions is 1.

The following code assumes the second of these, where we can make a point prediction of any value (although median will only predict one of the actual values for the feature). The third can be implemented by having multiple indicator functions for the target.

Here are some prediction functions that take in an enumeration of values, a domain, and returns a value or dictionary of \{value : prediction\}. Note that median returns one of middle values when there are an even number of examples. The mode will pick one of the values arbitrarily (here the larger)
when more than one value has the maximum number of elements. This allows the values to be any ordered values, not just numbers. The following computes the mean of an enumeration, with an optional initial sum and initial count. This works for enumerations, even where \texttt{len()} is not defined, and only goes through the enumeration once. The obvious way to compute a mean: \texttt{sum(enum)/len(enum)} works for lists, but does not work for arbitrary enumerations.

```python
from learnProblem import squared_error, absolute_error, log_loss, accuracy
import math, random, collections, statistics
import utilities # argmax for (element,value) pairs

class Predict(object):
    """The class of prediction methods for a list of numbers
    Please make the doc strings the same length, because they are used in
    tables.
    Note that we don't need self argument, as we are creating Predict
    objects,
    To use call Predict.laplace(data) etc.""

    ### The following return a distribution over values (for classification)
    def empirical(data, domain=[0,1], icount=0):
        """empirical dist "
        # returns a distribution over values
        counts = {v:icount for v in domain}
        for e in data:
            counts[e] += 1
        s = sum(counts.values())
        return {k:v/s for (k,v) in counts.items()}

    def bounded_empirical(data, domain=[0,1], bound=0.01):
        """bounded empirical"
        return {k:min(max(v,bound),1-bound) for (k,v) in
                Predict.empirical(data, domain).items()}

    def laplace(data, domain=[0,1]):
        """Laplace " # for categorical data
        return Predict.empirical(data, domain, icount=1)

    def cmode(data, domain=[0,1]):
        """mode " # for categorical data
        md = statistics.mode(data)
        return {v: 1 if v==md else 0 for v in domain}

    def cmedian(data, domain=[0,1]):
        """median " # for categorical data
        md = statistics.median_low(data)
        return {v: 1 if v==md else 0 for v in domain}
```

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### The following return a single prediction (for regression)

```python
def mean(data, domain=[0,1]):
    "mean"
    # returns a real number
    return statistics.mean(data)

def rmean(data, domain=[0,1], mean0=0.5, pseudo_count=2):
    "regularized mean"
    # returns a real number. With defaults, same as laplace for [0,1] data
    # this works for enumerations as well as lists
    sum = mean0 * pseudo_count
    count = pseudo_count
    for e in data:
        sum += e
        count += 1
    return sum/count

def mode(data, domain=[0,1]):
    "mode"
    return statistics.mode(data)

def median(data, domain=[0,1]):
    "median"
    return statistics.median(data)

all = [empirical, mean, rmean, bounded_empirical, laplace, cmode, mode,
       median, cmedian]

# The following suggests appropriate predictions as a function of the target type
select = {"boolean": [empirical, bounded_empirical, laplace, cmode, cmedian],
          "categorical": [empirical, bounded_empirical, laplace, cmode, cmedian],
          "numeric": [mean, rmean, mode, median]}
```

### 7.3.1 Evaluation

To evaluate a point prediction, we first generate some data from a simple (Bernoulli) distribution, where there are two possible values, 0 and 1 for the target feature. Given \( \text{prob} \), a number in the range \([0, 1]\), this generate some training and test data where \( \text{prob} \) is the probability of each example being 1. To generate a 1 with probability \( \text{prob} \), we generate a random number in range \([0,1]\) and return 1 if that number is less than \( \text{prob} \). A prediction is computed by applying the predictor to the training data, which is evaluated on the test set. This is repeated \( \text{num_samples} \) times.
Let’s evaluate the predictions of the possible selections according to the different evaluation criteria, for various training sizes.

```python
def test_no_inputs(error_measures = [squared_error, absolute_error, accuracy, log_loss], num_samples=10000, test_size=10):
    for train_size in [1,2,3,4,5,10,20,100,1000]:
        results = {predictor: {error_measure: 0 for error_measure in error_measures}
                  for predictor in Predict.all}
        for sample in range(num_samples):
            prob = random.random()
            training = [1 if random.random()<prob else 0 for i in range(train_size)]
            test = [1 if random.random()<prob else 0 for i in range(test_size)]
            for predictor in Predict.all:
                prediction = predictor(training)
                for error_measure in error_measures:
                    results[predictor][error_measure] += sum(error_measure(prediction,actual) for actual in test)/test_size
        print(f"For training size {train_size}:")
        print(" Predictor\"","\".join(error_measure.__doc__ for
            error_measure in
            error_measures),sep="\t")
        for predictor in Predict.all:
            print(f"{predictor.__doc__},\"",\".join("{:.7f}".format(results[predictor][error_measure]/num_samples)
            for error_measure in
            error_measures),sep="\t")
    if __name__ == "__main__":
        test_no_inputs()
```

**Exercise 7.4** Which predictor works best for low counts when the error is

(a) Squared error
(b) Absolute error
(c) Log loss

You may need to try this a few times to make sure your answer is supported by the evidence. Does the difference from the other methods get more or less as the number of examples grow?

**Exercise 7.5** Suggest some other predictions that only take the training data. Does your method do better than the given methods? A simple way to get other predictors is to vary the threshold of bounded average, or to change the psedocounts of the Laplace method (use other numbers instead of 1 and 2).

[http://aipython.org](http://aipython.org)
### 7.4 Decision Tree Learning

To run the decision tree learning demo, in folder "aipython", load "learnDT.py", using e.g., ipython -i learnDT.py, and it prints some test results. To try more examples, copy and paste the commented-out commands at the bottom of that file. This requires Python 3 with matplotlib.

The decision tree algorithm does binary splits, and assumes that all input features are binary functions of the examples. It stops splitting if there are no input features, the number of examples is less than a specified number of examples or all of the examples agree on the target feature.

```python
from learnProblem import Learner, squared_error, absolute_error, log_loss, accuracy
from learnNoInputs import Predict
import math

class DT_learner(Learner):
    def __init__(self, dataset,
                 split_to_optimize=log_loss, # to minimize for at each split
                 leaf_prediction=Predict.empirical, # what to use for point prediction at leaves
                 train=None, # used for cross validation
                 min_number_examples=10):
        self.dataset = dataset
        self.target = dataset.target
        self.split_to_optimize = split_to_optimize
        self.leaf_prediction = leaf_prediction
        self.min_number_examples = min_number_examples
        if train is None:
            self.train = self.dataset.train
        else:
            self.train = train

    def learn(self):
        return self.learn_tree(self.dataset.input_features, self.train)
```

The main recursive algorithm, takes in a set of input features and a set of training data. It first decides whether to split. If it doesn’t split, it makes a point prediction, ignoring the input features.

It splits unless:

- there are no more input features
- there are fewer examples than `min_number_examples`,
- all the examples agree on the value of the target, or
• the best split makes all examples in the same partition.

If it splits, it selects the best split according to the evaluation criterion (assuming that is the only split it gets to do), and returns the condition to split on (in the variable split) and the corresponding partition of the examples.

```python
def learn_tree(self, input_features, data_subset):
    """returns a decision tree
    for input_features is a set of possible conditions
    data_subset is a subset of the data used to build this (sub)tree
    ""
    if (input_features and len(data_subset) >=
        self.min_number_examples):
        first_target_val = self.target(data_subset[0])
        allagree = all(self.target(inst)==first_target_val for inst in
                        data_subset)
        if not allagree:
            split, partn = self.select_split(input_features, data_subset)
            if split: # the split succeeded in splitting the data
                false_examples, true_examples = partn
                rem_features = [fe for fe in input_features if fe !=
                                split]
                self.display(2,"Splitting on",split.__doc__,"with
                                examples split",
                                len(true_examples),":",
                                len(false_examples))
                true_tree = self.learn_tree(rem_features,true_examples)
                false_tree = self.learn_tree(rem_features,false_examples)
                def fun(e):
                    if split(e):
                        return true_tree(e)
                    else:
                        return false_tree(e)
                fun.__doc__ = ("if "+split.__doc__+" then
                                ("+true_tree.__doc__+
                                    ") else ("+false_tree.__doc__+")")
                return fun
            # don't expand the trees but return a point prediction
            prediction = self.leaf_prediction((self.target(e) for e in
                                                data_subset), self.target.frange)
            def leaf_fun(e):
                return prediction
            leaf_fun.__doc__ = str(prediction)
            return leaf_fun
```

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def select_split(self, input_features, data_subset):
    """finds best feature to split on.

    input_features is a non-empty list of features.
    returns feature, partition
    where feature is an input feature with the smallest error as
    judged by split_to_optimize or
    feature==None if there are no splits that improve the error
    partition is a pair (false_examples, true_examples) if feature is
    not None
    ""
    best_feat = None  # best feature
    # best_error = float("inf")  # infinity - more than any error
    best_error = training_error(self.dataset.target,
                                self.dataset.target.frange, data_subset,
                                self.split_to_optimize,
                                self.leaf_prediction)

    best_partition = None
    for feat in input_features:
        false_examples, true_examples = partition(data_subset, feat)
        if false_examples and true_examples:  # both partitions are
            non-empty
            err = (training_error(self.dataset.target,
                                  self.dataset.target.frange, false_examples,
                                  self.split_to_optimize,
                                  self.leaf_prediction) +
                      training_error(self.dataset.target,
                                      self.dataset.target.frange, true_examples,
                                      self.split_to_optimize,
                                      self.leaf_prediction))

            self.display(3, "split on", feat.__doc__, "has error=", err,
                        "splits into", len(true_examples), ":", len(false_examples))

            if err < best_error:
                best_feat = feat
                best_error = err
                best_partition = false_examples, true_examples

            self.display(3, "best split is on ", best_feat.__doc__, 
                         "with err=", best_error)

    return best_feat, best_partition

def partition(data_subset, feature):
    """partitions the data_subset by the feature""
    true_examples = []
    false_examples = []
    for example in data_subset:
        if feature(example):
            true_examples.append(example)
        else:
            false_examples.append(example)
7.4. Decision Tree Learning

```
false_examples.append(example)
return false_examples, true_examples

def training_error(target, target_domain, data_subset, eval_critereon, leaf_prediction):
    """returns training error for dataset on the target (with no more splits)
    We make a single prediction using leaf_prediction
    It is evaluated using eval_critereon for each example
    ""
    prediction = leaf_prediction((target(e) for e in data_subset),
                               target_domain)
    error = sum(eval_critereon(prediction, target(e))
                for e in data_subset)
    return error

Test cases:

from learnProblem import Data_set, Data_from_file, Binarized_Data_Set

def testDT(data, print_tree=True, selections = None):
    """Prints errors and the trees for various evaluation criteria and ways to select leaves.
    ""
    if selections == None: # use selections suitable for target type
        selections = Predict.select[data.target.ftype]
    evaluation_criteria = [squared_error, absolute_error, accuracy, log_loss]
    print("Split Choice","Leaf Choice\t",\t'.join(ecrit.__doc__
                     for ecrit in evaluation_criteria),sep="\t")

    for crit in evaluation_criteria:
        for leaf in selections:
            tree = DT_learner(data, split_to_optimize=crit,
                               leaf_prediction=leaf).learn()
            print(crit.__doc__, leaf.__doc__,
                  "\t".join("{:.7f}".format(data.evaluate_dataset(data.test,
                                               tree, ecrit))
                  for ecrit in evaluation_criteria),sep="\t")

    if print_tree:
        print(tree.__doc__)

if __name__ == "__main__":
    # Choose one of the data files
    data=Data_from_file('data/SPECT.csv', target_index=0);
    print("SPECT.csv")
    data=Data_from_file('data/iris.data', target_index=-1);
    print("iris.data")
```
#data = Data_from_file('data/carbool.csv', target_index=-1); print("carbool.csv")
#data = Data_from_file('data/mail_reading.csv', target_index=-1); print("mail_reading.csv")
#data = Data_from_file('data/holiday.csv', num_train=19, target_index=-1); print("holiday.csv")
print("raw data"); testDT(data, print_tree=False)
binarized = Binarized_Data_Set(data)
print("binarized data"); testDT(binarized, print_tree=False)

Note that different runs may provide different values as they split the training and test sets differently. So if you have a hypothesis about what works better, make sure it is true for different runs.

**Exercise 7.6** The current algorithm does not have a very sophisticated stopping criterion. What is the current stopping criterion? (Hint: you need to look at both learn_tree and select_split.)

**Exercise 7.7** Extend the current algorithm to include in the stopping criterion

(a) A minimum child size; don’t use a split if one of the children has fewer elements that this.

(b) A depth-bound on the depth of the tree.

(c) An improvement bound such that a split is only carried out if error with the split is better than the error without the split by at least the improvement bound.

Which values for these parameters make the prediction errors on the test set the smallest? Try it on more than one dataset.

**Exercise 7.8** Without any input features, it is often better to include a pseudo-count that is added to the counts from the training data. Modify the code so that it includes a pseudo-count for the predictions. When evaluating a split, including pseudo counts can make the split worse than no split. Does pruning with an improvement bound and pseudo-counts make the algorithm work better than with an improvement bound by itself?

**Exercise 7.9** Some people have suggested using information gain (which is equivalent to greedy optimization of logloss) as the measure of improvement when building the tree, even in they want to have non-probabilistic predictions in the final tree. Does this work better than myopically choosing the split that is best for the evaluation criteria we will use to judge the final prediction?
7.5 Cross Validation and Parameter Tuning

To run the cross validation demo, in folder "aipython", load "learnCrossValidation.py", using e.g., ipython -i learnCrossValidation.py. Run the examples at the end to produce a graph like Figure 7.15. Note that different runs will produce different graphs, so your graph will not look like the one in the textbook. To try more examples, copy and paste the commented-out commands at the bottom of that file. This requires Python 3 with matplotlib.

The above decision tree overfits the data. One way to determine whether the prediction is overfitting is by cross validation. The code below implements \( k \)-fold cross validation, which can be used to choose the value of parameters to best fit the training data. If we want to use parameter tuning to improve predictions on a particular data set, we can only use the training data (and not the test data) to tune the parameter.

In \( k \)-fold cross validation, we partition the training set into \( k \) approximately equal-sized folds (each fold is an enumeration of examples). For each fold, we train on the other examples, and determine the error of the prediction on that fold. For example, if there are 10 folds, we train on 90% of the data, and then test on remaining 10% of the data. We do this 10 times, so that each example gets used as a test set once, and in the training set 9 times.

The code below creates one copy of the data, and multiple views of the data. For each fold, \( \text{fold} \) enumerates the examples in the fold, and \( \text{fold_complement} \) enumerates the examples not in the fold.

```python
from learnProblem import Data_set, Data_from_file, squared_error, absolute_error, log_loss, accuracy
from learnDT import DT_learner
import matplotlib.pyplot as plt
import random

class K_fold_dataset(object):
    def __init__(self, training_set, num_folds):
        self.data = training_set.train.copy()
        self.target = training_set.target
        self.input_features = training_set.input_features
        self.num_folds = num_folds
        random.shuffle(self.data)
        self.fold_boundaries = [(len(self.data)*i)//num_folds
                               for i in range(0,num_folds+1)]

    def fold(self, fold_num):
        for i in range(self.fold_boundaries[fold_num],
                        self.fold_boundaries[fold_num+1]):
```
```python
yield self.data[i]

def fold_complement(self, fold_num):
    for i in range(0, self.fold_boundaries[fold_num]):
        yield self.data[i]
    for i in range(self.fold_boundaries[fold_num+1], len(self.data)):
        yield self.data[i]

The validation error is the average error for each example, where we test on each fold, and learn on the other folds.

```
7.6 Linear Regression and Classification

Here we give a gradient descent searcher for linear regression and classification.

```python
class Linear_learner(Learner):
    def __init__(self, dataset, train=None,
                 learning_rate=0.1, max_init = 0.2,
                 squashed=True):
        """Creates a gradient descent searcher for a linear classifier.
        The main learning is carried out by learn()
        ""
        self.dataset = dataset
        self.target = dataset.target
        if train==None:
```

Note that different runs for the same data will have the same test error, but different validation error. If you rerun the Data_from_file, you will get the new test and training sets, and so the graph will change.

**Exercise 7.10** Change the error plot so that it can evaluate the stopping criteria of the exercise of Section 7.6. Which criteria makes the most difference?
7. Supervised Machine Learning

```python
self.train = self.dataset.train
else:
    self.train = train
self.learning_rate = learning_rate
self.squashed = squashed
self.input_features = [one]+dataset.input_features # one is defined below
self.weights = {feat:random.uniform(-max_init,max_init)
    for feat in self.input_features}

predictor predicts the value of an example from the current parameter settings. predictor_string gives a string representation of the predictor.

```learnLinear.py — (continued)```

```python
def predictor(self,e):
    """returns the prediction of the learner on example e""
    linpred = sum(w*f(e) for f,w in self.weights.items())
    if self.squashed:
        return sigmoid(linpred)
    else:
        return linpred

def predictor_string(self, sig_dig=3):
    """returns the doc string for the current prediction function
    sig_dig is the number of significant digits in the numbers"
    doc = "\"+\".join(str(round(val,sig_dig)) + "\"*\".+doc._doc_
        for feat,val in self.weights.items())
    if self.squashed:
        return "sigmoid(" + doc +")"
    else:
        return doc

learn is the main algorithm of the learner. It does num_iter steps of stochastic gradient descent with batch size = 1. The other parameters it gets from the class.

```learnLinear.py — (continued)```

```python
def learn(self,num_iter=100):
    for it in range(num_iter):
        self.display(2,"prediction=",self.predictor_string())
        for e in self.train:
            predicted = self.predictor(e)
            error = self.target(e) - predicted
            update = self.learning_rate*error
            for feat in self.weights:
                self.weights[feat] += update*feat(e)
            #self.predictor._doc__ = self.predictor_string()
            #return self.predictor

one is a function that always returns 1. This is used for one of the input properties.
```
sigmoid(x) is the function

\[
\frac{1}{1 + e^{-x}}
\]

The inverse of sigmoid is the logit function

softmax(xs, domain=None):

"""xs is a list of values, and
domain is the domain (a list) or None if the list should be returned
returns a distribution over the domain (a dict)""

m = max(xs) # use of m prevents overflow (and all values underflowing)
exp = [math.exp(x-m) for x in xs]
s = sum(exp)
if domain:
    return {d:v/s for (d,v) in zip(domain,exp)}
else:
    return [v/s for v in exp]

indicator(v, domain):
    return [1 if v==dv else 0 for dv in domain]

The following tests the learner on a data sets. Uncomment the other data sets for different examples.
Supervised Machine Learning

```python
data = Data_from_file('data/SPECT.csv', target_index=0)
# data = Data_from_file('data/mail_reading.csv', target_index=-1)
# data = Data_from_file('data/carbool.csv', target_index=-1)
learner = Linear_learner(data,**args)
learner.learn()
print("function learned is", learner.predictor_string())
for ecrit in evaluation_criteria:
    test_error = data.evaluate_dataset(data.test, learner.predictor, ecrit)
    print(" Average", ecrit.__doc__, "is", test_error)
```

The following plots the errors on the training and test sets as a function of the number of steps of gradient descent.

```python
def plot_steps(learner=None,
               data = None,
               criterion=squared_error,
               step=1,
               num_steps=1000,
               log_scale=True,
               label=""):  
    
    plots the training and test error for a learner.
    data is the
    learner_class is the class of the learning algorithm
    criterion gives the evaluation criterion plotted on the y-axis
    step specifies how many steps are run for each point on the plot
    num_steps is the number of points to plot

    plt.ion()
    plt.xlabel("step")
    plt.ylabel("Average "+criterion.__doc__) 
    if log_scale:
        plt.xscale('log') #plt.semilogx() #Makes a log scale
    else:
        plt.xscale('linear')
    if data is None:
        data = Data_from_file('data/holiday.csv', num_train=19, target_index=-1)
        #data = Data_from_file('data/SPECT.csv', target_index=0)
        # data = Data_from_file('data/mail_reading.csv', target_index=-1)
        # data = Data_from_file('data/carbool.csv', target_index=-1)
        #random.seed(None) # reset seed
    if learner is None:
        learner = Linear_learner(data)
    train_errors = []
test_errors = []
    for i in range(1,num_steps+1,step):

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```

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7.6. Linear Regression and Classification

test_errors.append(data.evaluate_dataset(data.test,
learner.predictor, criterion))
train_errors.append(data.evaluate_dataset(data.train,
learner.predictor, criterion))
learner.display(2, "Train error:", train_errors[-1],
"Test error:", test_errors[-1])
learner.learn(num_iter=step)
plt.plot(range(1,num_steps+1,step),train_errors,ls='-',c='k',label="training")
plt.plot(range(1,num_steps+1,step),test_errors,ls='--',c='r',label="test")
plt.legend()
plt.draw()
learner.display(1, "Train error:", train_errors[-1],
"Test error:", test_errors[-1])

if __name__ == "__main__":
    test()

# This generates the figure
# from learnProblem import Data_set_augmented, prod_feat
# data = Data_from_file('data/SPECT.csv', prob_test=0.5, target_index=0)
# dataplus = Data_set_augmented(data,[],[prod_feat])
# plot_steps(data=data,num_steps=1000)
# plot_steps(data=dataplus,num_steps=1000) # warning very slow

Exercise 7.11  The squashed learner only makes predictions in the range (0, 1).
If the output values are {1, 2, 3, 4} there is no use prediction less than 1 or greater
than 4. Change the squashed learner so that it can learn values in the range (1, 4).
Test it on the file 'data/car.csv'.

The following plots the prediction as a function of the function of the num-
ber of steps of gradient descent. We first define a version of range that allows
for real numbers (integers and floats).

```python

def arange(start, stop, step):
    """returns enumeration of values in the range [start,stop) separated by
step.
like the built-in range(start,stop,step) but allows for integers and
floats.
Note that rounding errors are expected with real numbers. (or use
numpy.arange)
"""
    while start<stop:
        yield start
        start += step

def plot_prediction(data,
    learner = None,
    minx = 0,
    maxx = 5,
    step_size = 0.01, # for plotting
```
181 |   label = "function"):
182 |   plt.ion()
183 |   plt.xlabel("x")
184 |   plt.ylabel("y")
185 |   if learner is None:
186 |       learner = Linear_learner(data, squashed=False)
187 |       learner.learning_rate=0.001
188 |       learner.learn(100)
189 |       learner.learning_rate=0.0001
190 |       learner.learn(1000)
191 |       learner.learning_rate=0.00001
192 |       learner.learn(10000)
193 |       learner.display(1,"function learned is", learner.predictor_string(),
194 |       "error=",data.evaluate_dataset(data.train, learner.predictor,
195 |       squared_error))
196 |       plt.plot([e[0] for e in data.train],[e[-1] for e in
197 |       data.train],"bo",label="data")
198 |       plt.plot(list(arange(minx,maxx,step_size)),[learner.predictor([x])
199 |           for x in
200 |           arange(minx,maxx,step_size)],
201 |           label=label)
202 |   plt.legend()
203 |   plt.draw()

 learnLinear.py — (continued)

204 | from learnProblem import Data_set_augmented, power_feat
205 | def plot_polynomials(data,
206 |   learner_class = Linear_learner,
207 |   max_degree = 5,
208 |   minx = 0,
209 |   maxx = 5,
210 |   num_iter = 100000,
211 |   learning_rate = 0.0001,
212 |   step_size = 0.01, # for plotting
213 |   ):
214 |   plt.ion()
215 |   plt.xlabel("x")
216 |   plt.ylabel("y")
217 |   plt.plot([e[0] for e in data.train],[e[-1] for e in
218 |       data.train],"ko",label="data")
219 |   x_values = list(arange(minx,maxx,step_size))
220 |   line_styles = ['-', '--', '-', ':']
221 |   colors = ['0.5','k','k','k','k']
222 |   for degree in range(max_degree):
223 |       data_aug = Data_set_augmented(data,[power_feat(n) for n in
224 |           range(1,degree+1)],
225 |           include_orig=False)
226 |       learner = learner_class(data_aug,squashed=False)
227 |       learner.learning_rate = learning_rate
228 |       learner.learn(num_iter)
7.6. Linear Regression and Classification

```
learner.display(1, "For degree", degree,
    "function learned is", learner.predictor_string(),
    "error=", data.evaluate_dataset(data.train, 
          learner.predictor, squared_error))
ls = line_styles[degree % len(line_styles)]
col = colors[degree % len(colors)]
plt.plot(x_values, [learner.predictor([x]) for x in x_values],
        linestyle=ls, color=col, 
        label="degree"+str(degree))
plt.legend(loc='upper left')
plt.draw()

# Try:
# data0 = Data_from_file('data/simp_regr.csv', prob_test=0, 
#     boolean_features=False, target_index=-1)
# plot_prediction(data0)
# plot_polynomials(data0)
# datam = Data_from_file('data/mail_reading.csv', target_index=-1)
# plot_prediction(datam)
```

7.6.1 Batched Stochastic Gradient Descent

This implements batched stochastic gradient descent. If the batch size is 1, it can be simplified by not storing the differences in \(d\), but applying them directly; this would be equivalent to the original code!

This overrides the learner `Linear_Learner`. Note that the comparison with regular gradient descent is unfair as the number of updates per step is not the same. (How could it be made more fair?)

```
from learnLinear import Linear_learner
import random, math

class Linear_learner_bsgd(Linear_learner):
    def __init__(self, *args, batch_size=10, **kargs):
        Linear_learner.__init__(self, *args, **kargs)
        self.batch_size = batch_size

    def learn(self, num_iter=None):
        if num_iter is None:
            num_iter = self.number_iterations
            batch_size = min(self.batch_size, len(self.train))
            d = {feat: 0 for feat in self.weights}
            for it in range(num_iter):
                self.display(2, "prediction=", self.predictor_string())
                for e in random.sample(self.train, batch_size):
                    predicted = self.predictor(e)
                    error = self.target(e) - predicted
                    update = self.learning_rate*error
```

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for feat in self.weights:
    d[feat] += update*feat(e)
for feat in self.weights:
    self.weights[feat] += d[feat]
    d[feat]=0

# from learnLinear import plot_steps
# from learnProblem import Data_from_file
# data = Data_from_file('data/holiday.csv', target_index=-1)
# learner = Linear_learner_bsgd(data)
# plot_steps(learner = learner, data=data)
# to plot polynomials with batching (compare to SGD)
# from learnLinear import plot_polynomials
# plot_polynomials(learner_class = Linear_learner_bsgd)

7.7 Deep Neural Network Learning

This provides a modular implementation that implements the layers modularly. Layers can easily be configured in many configurations. A layer needs to implement a function to compute the output values from the inputs and a way to back-propagate the error.

```python
class Layer(object):
    def __init__(self, nn, num_outputs=None):
        """Given a list of inputs, outputs will produce a list of length num_outputs.
        nn is the neural network this layer is part of
        num outputs is the number of outputs for this layer.
        ""
        self.nn = nn
        self.num_inputs = nn.num_outputs # output of nn is the input to this layer
        if num_outputs:
            self.num_outputs = num_outputs
        else:
            self.num_outputs = nn.num_outputs # same as the inputs

    def output_values(self, input_values):
        """Return the outputs for this layer for the given input values.
        input_values is a list of the inputs to this layer (of length num_inputs)
        returns a list of length self.num_outputs"
```
A linear layer maintains an array of weights. `self.weights[o][i]` is the weight between input `i` and output `o`. A 1 is added to the inputs.
self.inputs = input_values + [1]
return [sum(w*val for (w, val) in zip(wts, self.inputs))
    for wts in self.weights]

def backprop(self, errors):
    """Backpropagate the errors, updating the weights and returning the
    error in its inputs."
    input_errors = [0]*(self.num_inputs+1)
    for out in range(self.num_outputs):
        for inp in range(self.num_inputs+1):
            input_errors[inp] += self.weights[out][inp] * errors[out]
            self.delta[out][inp] += self.inputs[inp] * errors[out]
    return input_errors[:-1] # remove the error for the "1"

def update(self):
    """updates parameters after a batch""
    for out in range(self.num_outputs):
        for inp in range(self.num_inputs+1):
            self.weights[out][inp] += self.nn.learning_rate *
            self.delta[out][inp]
            self.delta[out][inp] = 0

class Linear_complete_layer_RMS_Prop(Linear_complete_layer):
    """a completely connected layer"
    def __init__(self, nn, num_outputs, max_init=0.05, rho=0.9, epsilon =
        1e-07):
        """A completely connected linear layer.
        nn is a neural network that the inputs come from
        num_outputs is the number of outputs
        max_init is the maximum value for random initialization of
        parameters"
        Linear_complete_layer.__init__(self, nn, num_outputs, max_init)
        # self.weights[o][i] is the weight between input i and output o
        self.ms = [[0 for inf in range(self.num_inputs+1)]
            for outf in range(self.num_outputs)]
        self.rho = 0.9
        self.epsilon = 1e-07

def update(self):
    """updates parameters after a batch"
    for out in range(self.num_outputs):
        for inp in range(self.num_inputs+1):
            self.ms[out][inp] = self.rho*self.ms[out][inp] + (1-self.rho)
            * self.delta[out][inp]**2
            self.weights[out][inp] +=
            self.nn.learning_rate/(self.ms[out][inp]+self.epsilon)**0.5
7.7. Deep Neural Network Learning

```python
* self.delta[out][inp]
self.delta[out][inp] = 0

class Sigmoid_layer(Layer):
    """sigmoids of the inputs.
The number of outputs is equal to the number of inputs.
Each output is the sigmoid of its corresponding input.
"""
def __init__(self, nn):
    Layer.__init__(self, nn)

def output_values(self,input_values):
    """Returns the outputs for the input values.
It remembers the output values for the backprop.
"""
self.outputs= [sigmoid(inp) for inp in input_values]
return self.outputs

def backprop(self,errors):
    """Returns the derivative of the errors"
    return [e*out*(1-out) for e,out in zip(errors, self.outputs)]

class ReLU_layer(Layer):
    """Rectified linear unit (ReLU) f(z) = max(0, z).
The number of outputs is equal to the number of inputs.
"""
def __init__(self, nn):
    Layer.__init__(self, nn)

def output_values(self,input_values):
    """Returns the outputs for the input values.
It remembers the input values for the backprop.
"""
self.input_values = input_values
self.outputs= [max(0,inp) for inp in input_values]
return self.outputs

def backprop(self,errors):
    """Returns the derivative of the errors"
    return [e if inp>0 else 0 for e,inp in zip(errors, self.input_values)]

class NN(Learner):
    def __init__(self, dataset, validation_proportion = 0.1,
                 learning_rate=0.001):
        """Creates a neural network for a dataset,
layers is the list of layers
```

http://aipython.org
self.dataset = dataset
self.output_type = dataset.target.ftype
self.learning_rate = learning_rate
self.input_features = dataset.input_features
self.num_outputs = len(self.input_features)
validation_num = int(len(self.dataset.train)*validation_proportion)
if validation_num > 0:
    random.shuffle(self.dataset.train)
    self.validation_set = self.dataset.train[-validation_num:]
    self.training_set = self.dataset.train[:-validation_num]
else:
    self.validation_set = []
    self.training_set = self.dataset.train

self.layers = []
self.bn = 0 # number of batches run

def add_layer(self, layer):
    """add a layer to the network.
    Each layer gets number of inputs from the previous layers outputs.
    """
    self.layers.append(layer)
    self.num_outputs = layer.num_outputs


def predictor(self, ex):
    """Predicts the value of the first output for example ex.
    """
    values = [f(ex) for f in self.input_features]
    for layer in self.layers:
        values = layer.output_values(values)
    return sigmoid(values[0]) if self.output_type == "boolean" |
        else softmax(values, self.dataset.target.frange) if 
        self.output_type == "categorical" |
        else values[0]


def predictor_string(self):
    return "not implemented"

The learn method learns a network.

    def learn(self, epochs=5, batch_size=32, num_iter = None):
        """"""Learns parameters for a neural network using stochastic gradient
decent.
epochs is the number of times through the data (on average)
batch_size is the size of each batch (or the training size,
whichever is smaller)
um_iter is the number of iterations over the batches - overrides
epochs if provided (allows for fractions of epochs)
"""
7.7. Deep Neural Network Learning

```python
batch_size = min(batch_size, len(self.training_set))  # don't have
batches bigger than training size
if num_iter is None:
    num_iter = (epochs * len(self.training_set)) // batch_size
#self.display(0,"Batch","\t".join(criterion.__doc__ for criterion
in evaluation_criteria))
for i in range(num_iter):
    batch = random.sample(self.training_set, batch_size)
    for e in batch:
        # compute all outputs
        values = [f(e) for f in self.input_features]
        for layer in self.layers:
            values = layer.output_values(values)
            # backpropagate
        predicted = [sigmoid(v) for v in values] if self.output_type
            == "boolean"
        else softmax(values) if self.output_type ==
            "categorical"
        else values
        actuals = indicator(self.dataset.target(e),
            self.dataset.target.frange) \ 
            if self.output_type == "categorical"
        else [self.dataset.target(e)]
        errors = [obsd-pred for (obsd,pred) in
                zip(actuals,predicted)]
        for layer in reversed(self.layers):
            errors = layer.backprop(errors)
        # Update all parameters in batch
        for layer in self.layers:
            layer.update()
        self.bn+=1
        self.display(0,self.bn,"\t","\t\t".join(\"{:.5f}\".format(
            self.dataset.evaluate_dataset(self.validation_set,
                self.predictor, criterion))
        for criterion in evaluation_criteria), sep="")
```

7.7.1 Examples

The following constructs a neural network with one hidden layer. The hidden
layer has width 2 with a ReLU activation function. The output layer used a
sigmoid

```python
#data = Data_from_file('data/mail_reading.csv', target_index=-1)
#data = Data_from_file('data/mail_reading_consis.csv', target_index=-1)
#data = Data_from_file('data/SPECT.csv', prob_test=0.5, target_index=0)
data = Data_from_file('data/iris.data', prob_test=0.2, target_index=-1)
data = Data_from_file('data/holiday.csv', target_index=-1) #,
um_train=19)
```

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random.seed(None)  
nn1 = NN(data)  "boolean")  
  
nn1.add_layer(Linear_complete_layer_RMS_Prop(nn1,3))  
  #nn1.add_layer(Sigmoid_layer(nn1))  comment this or the next  
  nn1.add_layer(ReLU_layer(nn1))  
  #nn1.add_layer(Linear_complete_layer(nn1,1))  when using  
  output_type="boolean"  
  nn1.add_layer(Linear_complete_layer_RMS_Prop(nn1,3))  # when using  
  output_type="categorical"  
  #nn1.learn(epochs = 100)  
  
  nn2 = NN(data)  "boolean")  
  nn2.add_layer(Linear_complete_layer_RMS_Prop(nn2,2))  
  nn2.add_layer(ReLU_layer(nn2))  
  nn2.add_layer(Linear_complete_layer_RMS_Prop(nn2,3))  # when using  
  output_type="categorical"  
  
  nn3 = NN(data)  "boolean")  
  nn3.add_layer(Linear_complete_layer_RMS_Prop(nn3,5))  
  nn3.add_layer(ReLU_layer(nn3))  
  nn3.add_layer(Linear_complete_layer_RMS_Prop(nn3,3))  # when using  
  output_type="categorical"  

Plotting.  

from learnLinear import plot_steps  
from learnProblem import squared_error, absolute_error, log_loss, accuracy  
  
  # plot_steps(learner = nn1, data = data, criterion=log_loss,  
  # num_steps=2000, log_scale=False)  
  
  # Print some training examples  
  #for eg in random.sample(data.train,10): print(eg,nn1.predictor(eg))  
  
  # Print some test examples  
  #for eg in random.sample(data.test,10): print(eg,nn1.predictor(eg))  
  
  # To see the weights learned in linearal layers  
  # nn1.layers[0].weights  
  # nn1.layers[2].weights  

The following tests on MNIST. The original files are from [http://yann.lecun.com/exdb/mnist/](http://yann.lecun.com/exdb/mnist/). This code assumes you use the csv files from [https://pjreddie.com/projects/mnist-in-csv/](https://pjreddie.com/projects/mnist-in-csv/) and put them in the directory `../MNIST/`. Note that this is very inefficient; you would be better to use Keras or Pytorch.  

# Simplified version: (6000 training instances)  
# data_mnist = Data_from_file('.../MNIST/mnist_train.csv', prob_test=0.8,  
# target_index=0, boolean_features=False)  

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7.8. Boosting

The following code implements functional gradient boosting for regression.

A Boosted dataset is created from a base dataset by subtracting the prediction of the offset function from each example. This does not save the new dataset, but generates it as needed. The amount of space used is constant, independent on the size of the data set.

```python
from learnProblem import Data_set, Learner

class Boosted_dataset(Data_set):
    def __init__(self, base_dataset, offset_fun):
        
```

Exercise 7.12 In the definition of nn1 above, for each of the following, first hypothesize what will happen, then test your hypothesis, then explain whether you testing confirms your hypothesis or not. Test it for more than one data set, and use more than one run for each data set.

(a) Which fits the data better, having a sigmoid layer or a ReLU layer after the first linear layer?
(b) Which is faster, having a sigmoid layer or a ReLU layer after the first linear layer?
(c) What happens if you have both the sigmoid layer and then a ReLU layer after the first linear layer and before the second linear layer?
(d) What happens if you have neither the sigmoid layer nor a ReLU layer after the first linear layer?
(e) What happens if you have a ReLU layer then a sigmoid layer after the first linear layer and before the second linear layer?

Exercise 7.13 Do some

---

7.8 Boosting

The following code implements functional gradient boosting for regression.

A Boosted dataset is created from a base dataset by subtracting the prediction of the offset function from each example. This does not save the new dataset, but generates it as needed. The amount of space used is constant, independent on the size of the data set.
A boosting learner takes in a dataset and a base learner, and returns a new predictor. The base learner, takes a dataset, and returns a Learner object.

```python
class Boosting_learner(Learner):
    def __init__(self, dataset, base_learner_class):
        self.dataset = dataset
        self.base_learner_class = base_learner_class
        mean = sum(self.dataset.target(e)
                   for e in self.dataset.train)/
              len(self.dataset.train)
        self.predictor = lambda e:mean # function that returns mean for each example
        self.predictor.__doc__ = "lambda e:"+str(mean)
        self.offsets = [self.predictor]
        self.errors = [data.evaluate_dataset(data.test, self.predictor, "sum-of-squares")]
        self.display(1,"Predict mean test set error=", self.errors[0] )

    def learn(self, num_ensemble=10):
        """adds num_ensemble learners to the ensemble.
        returns a new predictor.
        """
        for i in range(num_ensemble):
            train_subset = Boosted_dataset(self.dataset, self.predictor)
            learner = self.base_learner_class(train_subset)
            new_offset = learner.learn()
            self.offsets.append(new_offset)
            def new_pred(e, old_pred=self.predictor, off=new_offset):
                return old_pred(e)+off(e)
            self.predictor = new_pred
            self.errors.append(data.evaluate_dataset(data.test, self.predictor, "sum-of-squares"))
            self.display(1,"After Iteration",len(self.offsets)-1,"test set error=", self.errors[-1])
        return self.predictor
```

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For testing, `sp_DT_learner` returns a function that constructs a decision tree learner where the minimum number of examples is a proportion of the number of training examples. The value of 0.9 tends to have one split, and a value of 0.5 tends to have two splits (but test it). Thus this can be used to construct small decision trees that can be used as weak learners.
8.1 Representing Probabilistic Models

A variable consists of a name, a domain and an optional (x,y) position (for displaying). The domain of a variable is a list or a tuple, as the ordering will matter in the representation of factors.

```python
import random
class Variable(object):
    """A random variable.
    name (string) - name of the variable
    domain (list) - a list of the values for the variable.
    Variables are ordered according to their name.
    """
    def __init__(self, name, domain, position=None):
        """Variable
        name a string
        domain a list of printable values
        position of form (x,y)
        """
        self.name = name # string
        self.domain = domain # list of values
        self.position = position if position else (random.random(), random.random())
        self.size = len(domain)
    def __str__(self):
        return self.name
```

Chapter 8

Reasoning Under Uncertainty
8. Reasoning Under Uncertainty

8.2 Representing Factors

A factor is, mathematically, a function from variables into a number; that is given a value for each of its variable, it gives a number. Factors are used for conditional probabilities, utilities in the next chapter, and are explicitly constructed by some algorithms (in particular variable elimination).

A variable assignment, or just assignment, is represented as a \{variable : value\} dictionary. A factor can be evaluated when all of its variables are assigned. The method get_value evaluates the factor for an assignment. The assignment can include extra variables not in the factor. This method needs to be defined for every subclass.

```python
def __repr__(self):
    return self.name # f"Variable({self.name})"
```

The method `__str__` returns a brief definition (like “f7(X,Y,Z)”). The method to_table returns string representations of a table showing all of the assignments of values to variables, and the corresponding value.

```python
def __str__(self):
```

```python
def __str__(self):  
    return self.name # f"Variable({self.name})"
```
8.3. Conditional Probability Distributions

A conditional probability distribution (CPD) is a type of factor that represents a conditional probability. A CPD representing \( P(X \mid Y_1 \ldots Y_k) \) is a type of factor, where given values for \( X \) and each \( Y_i \) returns a number.

```python
class CPD(Factor):
    def __init__(self, child, parents):
        self.parents = parents
        self.child = child
        Factor.__init__(self, parents+[child])
    def __str__(self):
        return f"P({self.child}\{{', '.join(str(p) for p in self.parents)}\})"
```

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else:
    return f"P({self.child})"

__repr__ = __str__

The simplest CPD is the constant that has probability 1 when the child has the value specified.

class ConstantCPD(CPD):
    def __init__(self, variable, value):
        CPD.__init__(self, variable, [])
        self.value = value
    def get_value(self, assignment):
        return 1 if self.value==assignment[self.child] else 0

8.3.1 Logistic Regression

A logistic regression CPD, for Boolean variable \(X\) represents \(P(X=True \mid Y_1 \ldots Y_k)\), using \(k+1\) real-values weights so

\[
P(X=True \mid Y_1 \ldots Y_k) = \text{sigmoid}(w_0 + \sum_i w_i Y_i)
\]

where for Boolean \(Y_i\), True is represented as 1 and False as 0.

class LogisticRegression(CPD):
    def __init__(self, child, parents, weights):
        """A logistic regression representation of a conditional probability.
        child is the Boolean (or 0/1) variable whose CPD is being defined
        parents is the list of parents
        weights is list of parameters, such that weights[i+1] is the weight
        for parents[i]
        """
        assert len(weights) == 1+len(parents)
        CPD.__init__(self, child, parents)
        self.weights = weights
    def get_value(self, assignment):
        assert self.can_evaluate(assignment)
        prob = sigmoid(self.weights[0])
        + sum(self.weights[i+1]*assignment[self.parents[i]]
               for i in range(len(self.parents))))
        if assignment[self.child]: #child is true
            return prob
        else:
            return (1-prob)

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8.3. Conditional Probability Distributions

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8.3.2 Noisy-or
A noisy-or, for Boolean variable X with Boolean parents Y1 . . . Yk is parametrized
by k + 1 parameters p0 , p1 , . . . , pk , where each 0 ≤ pi ≤ 1. The sematics is defined as though there are k + 1 hidden variables Z0 , Z1 . . . Zk , where P(Z0 ) = p0
and P(Zi | Yi ) = pi for i ≥ 1, and where X is true if and only if Z0 ∨ Z1 ∨ · · · ∨ Zk
(where ∨ is “or”). Thus X is false if all of the Zi are false. Intuitively, Z0 is the
probability of X when all Yi are false and each Zi is a noisy (probabilistic) measure that Yi makes X true, and X only needs one to make it true.
probFactors.py — (continued)
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class NoisyOR(CPD):
def __init__(self, child, parents, weights):
"""A noisy representation of a conditional probability.
variable is the Boolean (or 0/1) child variable whose CPD is being
defined
parents is the list of Boolean (or 0/1) parents
weights is list of parameters, such that weights[i+1] is the weight
for parents[i]
"""
assert len(weights) == 1+len(parents)
CPD.__init__(self, child, parents)
self.weights = weights

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def get_value(self,assignment):
assert self.can_evaluate(assignment)
probfalse = (1-self.weights[0])*math.prod(1-self.weights[i+1]
for i in
range(len(self.parents))
if
assignment[self.parents[i]])
if assignment[self.child]:
return 1-probfalse
else:
return probfalse

8.3.3 Tabular Factors
A tabular factor is a factor that represents each assignment of values to variables separately. It is represented by a Python array (or python dict). If the
variables are V1 , V2 , . . . , Vk , the value of f (V1 = v1 , V2 = v1 , . . . , Vk = vk ) is
stored in f [v1 ][v2 ] . . . [vk ].
If the domain of Vi is [0, . . . , ni − 1] this can be represented as an array. Otherwise we can use a dictionary. Python is nice in that it doesn’t care, whether
an array or dict is used except when enumerating the values; enumerating a
dict gives the keys (the variables) but enumerating an array gives the values.
So we have to be careful not to do this.
probFactors.py — (continued)

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```python
from functools import reduce

class TabFactor(Factor):
    def __init__(self, variables, values):
        Factor.__init__(self, variables)
        self.values = values

    def get_value(self, assignment):
        return self.get_val_rec(self.values, self.variables, assignment)

    def get_val_rec(self, value, variables, assignment):
        if variables == []:
            return value
        else:
            return self.get_val_rec(value[assignment[variables[0]]],
                                     variables[1:], assignment)
```

`Prob` is a factor that represents a conditional probability by enumerating all of the values.

8.4 Graphical Models

A graphical model consists of a set of variables and a set of factors. A belief network is a graphical model where all of the factors represent conditional probabilities. There are some operations (such as pruning variables) which are applicable to belief networks, but are not applicable to more general models. At the moment, we will treat them as the same.
A belief network (also known as a Bayesian network) is a graphical model where all of the factors are conditional probabilities, and every variable has a conditional probability of it given its parents. This only checks the first condition, and builds some useful data structures.

```python
class BeliefNetwork(GraphicalModel):
    """The class of belief networks.""

    def __init__(self, title, variables, factors):
        """vars is a set of variables
        factors is a set of factors. All of the factors are instances of
        CPD (e.g., Prob).
        ""
        GraphicalModel.__init__(self, title, variables, factors)
        assert all(isinstance(f,CPD) for f in factors)
        self.var2cpt = {f.child:f for f in factors}
        self.var2parents = {f.child:f.parents for f in factors}
        self.children = {n:[] for n in self.variables}
        for v in self.var2parents:
            for par in self.var2parents[v]:
                self.children[par].append(v)
        self.topological_sort_saved = None

    def topological_sort(self):
        """creates a topological ordering of variables such that the
        parents of
        a node are before the node.
        ""
        if self.topological_sort_saved:
            return self.topological_sort_saved
        next_vars = {n for n in self.var2parents if not self.var2parents[n]}
        self.display(3,'topological_sort: next_vars',next_vars)
        top_order=[]
        while next_vars:
            var = next_vars.pop()
            top_order.append(var)
```

The following creates a topological sort of the nodes, where the parents of a node come before the node in the resulting order. This is based on Kahn’s algorithm from 1962.
8. Reasoning Under Uncertainty

```python
self.display(3,'select variable',var)
top_order.append(var)
next_vars |= {ch for ch in self.children[var]
    if all(p in top_order for p in self.var2parents[ch])}
sel.display(3,'var_with_no_parents_left',next_vars)
sel.display(3,"top_order",top_order)
assert set(top_order)==set(self.var2parents),(top_order,self.var2parents)
self.topologicalsort_saved=top_order
return top_order
```

The `show` method uses matplotlib to show the graphical structure of a belief network.

```python
def show(self):
    plt.ion() # interactive
    ax = plt.figure().gca()
    ax.set_axis_off()
    plt.title(self.title)
    bbox = dict(boxstyle="round4,pad=1.0,rounding_size=0.5")
    for var in reversed(self.topological_sort()):
        if self.var2parents[var]:
            for par in self.var2parents[var]:
                ax.annotate(var.name, par.position, xytext=var.position,
                arrowprops={'arrowstyle': '<-'},bbox=bbox,
                ha='center')
        else:
            x,y = var.position
            plt.text(x,y,var.name,bbox=bbox,ha='center')
```

8.4.1 Example Belief Networks

A Chain of 4 Variables

The first example belief network is a simple chain $A \rightarrow B \rightarrow C \rightarrow D$.

Please do not change this, as it is the example used for testing.

```python
from probVariables import Variable
from probFactors import Prob, LogisticRegression, NoisyOR

boolean = [False, True]
A = Variable("A", boolean, position=(0,0.8))
B = Variable("B", boolean, position=(0.333,0.6))
C = Variable("C", boolean, position=(0.666,0.4))
D = Variable("D", boolean, position=(1,0.2))
f_a = Prob(A,[],[0.4,0.6])
```

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8.4. Graphical Models

Report-of-Leaving Example

The second belief network, `bn_report`, is Example 8.15 of Poole and Mackworth [2017] (http://artint.info). The output of `bn_report.show()` is shown in Figure 8.1 of this document.

```python
# Belief network report-of-leaving example (Example 8.15 shown in Figure 8.3) of
# Poole and Mackworth, Artificial Intelligence, 2017 http://artint.info

Alarm = Variable("Alarm", boolean, position=(0.366,0.633))
Fire = Variable("Fire", boolean, position=(0.633,0.9))
Leaving = Variable("Leaving", boolean, position=(0.366,0.366))
Report = Variable("Report", boolean, position=(0.366,0.1))
Smoke = Variable("Smoke", boolean, position=(0.9,0.633))
Tamper = Variable("Tamper", boolean, position=(0.1,0.9))
```

Figure 8.1: The report-of-leaving belief network
Sprinkler Example

The third belief network is the sprinkler example from Pearl. The output of `bn_sprinkler.show()` is shown in Figure 8.2 of this document.
Bipartite Diagnostic Model with Noisy-or

The belief network `bn_no1` is a bipartite diagnostic model, with independent diseases, and the symptoms depend on the diseases, where the CPDs are defined using noisy-or. Bipartite means it is in two parts; the diseases are only connected to the symptoms and the symptoms are only connected to the diseases. The output of `bn_no1.show()` is shown in Figure 8.3 of this document.
Bipartite Diagnostic Model with Logistic Regression

The belief network bn_lr1 is a bipartite diagnostic model, with independent diseases, and the symptoms depend on the diseases, where the CPDs are defined using logistic regression. It has the same graphical structure as the previous example (see Figure 8.3). This has the (approximately) the same conditional probabilities as the previous example when zero or one diseases are present. Note that $\text{sigmoid}(-2.2) \approx 0.1$.

{Cough, Fever, Sneeze, Cold, Flu, Covid},
{p_cold_no, p_flu_no, p_covid_no, p_cough_no,
  p_fever_no, p_sneeze_no})

# to see the conditional probability of Noisy-or do:
# print(p_cough_no.to_table())

# example from box "Noisy-or compared to logistic regression"
# X = Variable("X",boolean)
# w0 = 0.01
# print(NoisyOR(X,[A,B,C,D],[w0, 1-(1-0.05)/(1-w0), 1-(1-0.1)/(1-w0),
# 1-(1-0.2)/(1-w0), 1-(1-0.2)/(1-w0), ]).to_table(given={X:True}))

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8.5. Inference Methods

Each of the inference methods implements the query method that computes the posterior probability of a variable given a dictionary of \{variable : value\} observations. The methods are Displayable because they implement the display method which is currently text-based.

```python
from display import Displayable
class InferenceMethod(Displayable):
    """The abstract class of graphical model inference methods"""
    method_name = "unnamed" # each method should have a method name
    
def __init__(self, gm=None):
        self.gm = gm
    
def query(self, qvar, obs={}):
        """returns a \{value:prob\} dictionary for the query variable"""
```

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We use bn_4ch as the test case, in particular \( P(B \mid D = \text{true}) \). This needs an error threshold, particularly for the approximate methods, where the default threshold is much too accurate.

```python
def testIM(self, threshold=0.0000000001):
    solver = self(bn_4ch)
    res = solver.query(B, {D: True})
    correct_answer = 0.429632380245
    assert correct_answer-threshold < res[True] <
    correct_answer+threshold,
    f"value {res[True]} not in desired range for
    {self.method_name}"
    print(f"Unit test passed for {self.method_name}.")
```

### 8.6 Recursive Conditioning

An instance of a RC object takes in a graphical model. The query method uses recursive conditioning to compute the probability of a query variable given observations on other variables.

```python
import math
from probGraphicalModels import GraphicalModel, InferenceMethod
from probFactors import Factor
from utilities import dict_union

class ProbSearch(InferenceMethod):
    """The class that queries graphical models using recursive conditioning
    """
    method_name = "recursive conditioning"

    def __init__(self, gm=None):
        InferenceMethod.__init__(self, gm)
        self.max_display_level = 3

    def query(self, qvar, obs={}, split_order=None):
        """computes \( P(qvar \mid obs) \) where
        qvar is the query variable
        obs is a variable:value dictionary
        split_order is a list of the non-observed non-query variables in gm
        """
        if qvar in obs:
            return {val: () if val == obs[qvar] else 0 for val in qvar.domain}
```
else:
    if split_order == None:
        split_order = [v for v in self.gm.variables if (v not in
               obs) and v != qvar]
    unnorm = [self.prob_search(dict_union({qvar:val},obs),
               self.gm.factors, split_order)
               for val in qvar.domain]
    p_obs = sum(unnorm)
    return {val:pr/p_obs for val,pr in zip(qvar.domain, unnorm)}

The following is the naive search-based algorithm. It is exponential in the
number of variables, so is not very useful. However, it is simple, and useful
to understand before looking at the more complicated algorithm used in the
subclass.

def prob_search(self, context, factors, split_order):
    """simple search algorithm
    context is a variable:value dictionary
    factors is a set of factors
    split_order is a list of variables in factors not assigned in
    context
    returns sum over variable assignments to variables in split order
    or product of factors """
    self.display(2,"calling prob_search",(context,factors))
    if not factors:
        return 1
    elif to_eval := {fac for fac in factors if
        fac.can_evaluate(context)}:
        # evaluate factors when all variables are assigned
        self.display(3,"evaluating factors",to_eval)
        val = math.prod(fac.get_value(context) for fac in to_eval)
        return val * self.prob_search(context, factors-to_eval,
                                   split_order)
    else:
        total = 0
        var = split_order[0]
        self.display(3, "branching on", var)
        for val in var.domain:
            total += self.prob_search(dict_union((var:val),context),
                                       factors, split_order[1:])
        self.display(3, "returning", total)
        return total

The recursive conditioning algorithm adds forgetting and caching and recog-
nizing disconnected components. We do this by adding a cache and redefining
the recursive search algorithm. In inherits the query method.
def __init__(self, gm=None):
    self.cache = {((frozenset(), frozenset()),): 1}
    ProbSearch.__init__(self, gm)

def prob_search(self, context, factors, split_order):
    """ returns the number \sum_{split_order} \prod_{factors} given
    assignments in context
    context is a variable:value dictionary
    factors is a set of factors
    split_order is a list of variables in factors that are not assigned
    in context
    returns sum over variable assignments to variables in split_order
    of the product of factors
    ""
    self.display(3, "calling rc", (context, factors))
    ce = (frozenset(context.items()), frozenset(factors))  # key for the
cache entry
    if ce in self.cache:
        self.display(3, "rc cache lookup", (context, factors))
        return self.cache[ce]
    # if not factors: # no factors; needed if you don't have forgetting
    and caching
    #
    elif vars_not_in_factors := {var for var in context
                                      if not any(var in fac.variables for
                                      fac in factors)):
        # forget variables not in any factor
        self.display(3, "rc forgetting variables", vars_not_in_factors)
        return self.prob_search({key: val for (key, val) in
                                  context.items()
                                  if key not in vars_not_in_factors},
                                  factors, split_order)
    elif to_eval := {fac for fac in factors if
                    fac.can_evaluate(context)):
        # evaluate factors when all variables are assigned
        self.display(3, "rc evaluating factors", to_eval)
        val = math.prod(fac.get_value(context) for fac in to_eval)
        if val == 0:
            return 0
        else:
            return val * self.prob_search(context, {fac for fac in factors
                                                  if fac not in to_eval},
                                           split_order)
    elif len(comp := connected_components(context, factors,
                                           split_order)) > 1:
        # there are disconnected components
        self.display(3, "splitting into connected components", comp,
                           "in context", context)
        return math.prod(self.prob_search(context, f, eo) for (f, eo) in
                          comp)
8.6. Recursive Conditioning

```python
else:
    assert split_order, "split_order should not be empty to get here"
    total = 0
    var = split_order[0]
    self.display(3, "rc branching on", var)
    for val in var.domain:
        total += self.prob_search(dict_union({var:val},context),
                                  factors, split_order[1:])
    self.cache[ce] = total
    self.display(2, "rc branching on", var, "returning", total)
    return total
```

connected_components returns a list of connected components, where a connected component is a set of factors and a set of variables, where the graph that connects variables and factors that involve them is connected. The connected components are built one at a time; with a current connected component. At all times factors is partitioned into 3 disjoint sets:

- component_factors containing factors in the current connected component where all factors that share a variable are already in the component
- factors_to_check containing factors in the current connected component where potentially some factors that share a variable are not in the component; these need to be checked
- other_factors the other factors that are not (yet) in the connected component

```python
def connected_components(context, factors, split_order):
    """returns a list of (f,e) where f is a subset of factors and e is a subset of split_order
    such that each element shares the same variables that are disjoint from other elements.
    """
    other_factors = set(factors) #copies factors
    factors_to_check = {other_factors.pop()} # factors in connected component still to be checked
    component_factors = set() # factors in first connected component already checked
    component_variables = set() # variables in first connected component
    while factors_to_check:
        next_fac = factors_to_check.pop()
        component_factors.add(next_fac)
        new_vars = set(next_fac.variables) - component_variables - context.keys()
        component_variables |= new_vars
        for var in new_vars:
            pass
```

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```python
factors_to_check |= {f for f in other_factors if var in f.variables}
other_factors -= factors_to_check  # set difference
if other_factors:
    return [(component_factors,
             [e for e in split_order if e in component_variables])
             + connected_components(context, other_factors, [e for e in split_order
             if e not in component_variables])
    ]
else:
    return [(component_factors, split_order)]

Testing:

from probGraphicalModels import bn_4ch, A,B,C,D,f_a,f_b,f_c,f_d
bn_4chv = ProbRC(bn_4ch)
# bn_4chv.query(A,{})
# bn_4chv.query(D,{})
# InferenceMethod.max_display_level = 3 # show more detail in displaying
# InferenceMethod.max_display_level = 1 # show less detail in displaying
# bn_4chv.query(A,{D:True},{C,B})
# bn_4chv.query(B,{A:True,D:False})

from probGraphicalModels import bn_report, Alarm, Fire, Leaving, Report, Smoke, Tamper
bn_reportRC = ProbRC(bn_report)  # answers queries using recursive conditioning
# bn_reportRC.query(Tamper,{})
# InferenceMethod.max_display_level = 0 # show no detail in displaying
# bn_reportRC.query(Leaving,{})
# bn_reportRC.query(Tamper,{},
#     split_order=[Smoke, Fire, Alarm, Leaving, Report])
# bn_reportRC.query(Tamper,{Report:True})
# bn_reportRC.query(Tamper,{Report:True, Smoke:False})
# Note what happens to the cache when these are called in turn:
# bn_reportRC.query(Tamper,{Report:True},
#     split_order=[Smoke, Fire, Alarm, Leaving])
# bn_reportRC.query(Smoke,{Report:True},
#     split_order=[Tamper, Fire, Alarm, Leaving])

from probGraphicalModels import bn_sprinkler, Season, Sprinkler, Rained, Grass_wet, Grass_shiny, Shoes_wet
bn_sprinklerv = ProbRC(bn_sprinkler)
# bn_sprinklerv.query(Shoes_wet,{})
# bn_sprinklerv.query(Shoes_wet,{Rained:True})
# bn_sprinklerv.query(Shoes_wet,{'Grass_shiny':True})
# bn_sprinklerv.query(Shoes_wet,{'Grass_shiny':False,Rained:True})
```
from probGraphicalModels import bn_no1, bn_lr1, Cough, Fever, Sneeze, Cold, Flu, Covid
bn_no1v = ProbRC(bn_no1)
bn_lr1v = ProbRC(bn_lr1)
## bn_no1v.query(Flu, {Fever:1, Sneeze:1})
## bn_lr1v.query(Flu, {Fever:1, Sneeze:1})
## bn_lr1v.query(Cough,{} )
## bn_lr1v.query(Cold, {Cough:1, Sneeze:0, Fever:1})
## bn_lr1v.query(Flu, {Cough:0, Sneeze:1, Fever:1})
## bn_lr1v.query(Covid, {Cough:1, Sneeze:0, Fever:1})
## bn_lr1v.query(Covid, {Cough:1, Sneeze:0, Fever:1, Flu:0})
## bn_lr1v.query(Covid, {Cough:1, Sneeze:0, Fever:1, Flu:1})

if __name__ == "__main__":
    InferenceMethod.testIM(ProbRC)

8.7 Variable Elimination

An instance of a VE object takes in a graphical model. The query method uses variable elimination to compute the probability of a variable given observations on some other variables.

```python
from probFactors import Factor, FactorObserved, FactorSum, factor_times
from probGraphicalModels import GraphicalModel, InferenceMethod
class VE(InferenceMethod):
    """The class that queries Graphical Models using variable elimination.
    gm is graphical model to query
    ""
    method_name = "variable elimination"
    def __init__(self, gm=None):
        InferenceMethod.__init__(self, gm)
        def query(self, var, obs={}, elim_order=None):
            """""""computes P(var|obs) where
            var is a variable
            obs is a (variable:value) dictionary"
            if var in obs:
                return {var:1 if val == obs[var] else 0 for val in var.domain}
            else:
                if elim_order == None:
                    elim_order = self.gm.variables
                    projFactors = [self.project_observations(fact,obs)
                                    for fact in self.gm.factors]
                for v in elim_order:
                    if v != var and v not in obs:
```

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projFactors = self.eliminate_var(projFactors,v)
unnorm = factor_times(var,projFactors)
p_obs=sum(unnorm)
self.display(1,"Unnormalized probs:",unnorm,"Prob obs:",p_obs)
return {val:pr/p_obs for val,pr in zip(var.domain,unnorm)}

A FactorObserved is a factor that is the result of some observations on another factor. We don’t store the values in a list; we just look them up as needed. The observations can include variables that are not in the list, but should have some intersection with the variables in the factor.

class FactorObserved(Factor):
    def __init__(self,factor,obs):
        Factor.__init__(self, [v for v in factor.variables if v not in obs])
        self.observed = obs
        self.orig_factor = factor

    def get_value(self,assignment):
        ass = assignment.copy()
        for ob in self.observed:
            ass[ob]=self.observed[ob]
        return self.orig_factor.get_value(ass)

A FactorSum is a factor that is the result of summing out a variable from the product of other factors. I.e., it constructs a representation of:

$$\sum_{\text{var} \not\in \text{factors}} \prod_{f \in \text{factors}} f.$$  

We store the values in a list in a lazy manner; if they are already computed, we used the stored values. If they are not already computed we can compute and store them.

class FactorSum(Factor):
    def __init__(self,var,factors):
        self.var_summed_out = var
        self.factors = factors
        vars = []
        for fac in factors:
            for v in fac.variables:
                if v is not var and v not in vars:
                    vars.append(v)
        Factor.__init__(self,vars)
        self.values = {}

    def get_value(self,assignment):
        """lazy implementation: if not saved, compute it. Return saved value""
        asst = frozenset(assignment.items())
if asst in self.values:
    return self.values[asst]
else:
    total = 0
    new_asst = assignment.copy()
    for val in self.var_summed_out.domain:
        new_asst[self.var_summed_out] = val
        total += math.prod(fac.get_value(new_asst) for fac in
                            self.factors)
    self.values[asst] = total
    return total

The method factor_times multiples a set of factors that are all factors on the same
variable (or on no variables). This is the last step in variable elimination before
normalizing. It returns an array giving the product for each value of variable.

def factor_times(variable, factors):
    """when factors are factors just on variable (or on no variables)""
    prods = []
    facs = [f for f in factors if variable in f.variables]
    for val in variable.domain:
        ast = {variable: val}
        prods.append(math.prod(f.get_value(ast) for f in facs))
    return prods

To project observations onto a factor, for each variable that is observed in
the factor, we construct a new factor that is the factor projected onto that vari-
able. Factor_observed creates a new factor that is the result is assigning a value
to a single variable.

def project_observations(self, factor, obs):
    """Returns the resulting factor after observing obs
obs is a dictionary of {variable:value} pairs.
"""
    if any((var in obs) for var in factor.variables):
        # a variable in factor is observed
        return FactorObserved(factor, obs)
    else:
        return factor

def eliminate_var(self, factors, var):
    """Eliminate a variable var from a list of factors.
Returns a new set of factors that has var summed out.
"""
    self.display(2, "eliminating ", str(var))
    contains_var = []
    not_contains_var = []
    for fac in factors:
        if var in fac.variables:
            not_contains_var.append(fac)
        else:
            contains_var.append(fac)
    return contains_var + not_contains_var

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```python
if var in fac.variables:
    contains_var.append(fac)
else:
    not_contains_var.append(fac)
if contains_var == []:
    return factors
else:
    newFactor = FactorSum(var, contains_var)
    self.display(2, "Multiplying:",[str(f) for f in contains_var])
    self.display(2, "Creating factor:", newFactor)
    self.display(3, newFactor.to_table()) # factor in detail
    not_contains_var.append(newFactor)
    return not_contains_var

from probGraphicalModels import bn_4ch, A,B,C,D
bn_4chv = VE(bn_4ch)
## bn_4chv.query(A,{})
## bn_4chv.query(D,{})
## InferenceMethod.max_display_level = 3 # show more detail in displaying
## InferenceMethod.max_display_level = 1 # show less detail in displaying
## bn_4chv.query(A,{D:True})
## bn_4chv.query(B,{A:True,D:False})

from probGraphicalModels import bn_report, Alarm, Fire, Leaving, Report, Smoke, Tamper
bn_reportv = VE(bn_report) # answers queries using variable elimination
## bn_reportv.query(Tamper,{})
## InferenceMethod.max_display_level = 0 # show no detail in displaying
## bn_reportv.query(Leaving,{},elim_order=[Smoke,Report,Leaving,Alarm,Fire])
## bn_reportv.query(Tamper,{Report:True})
## bn_reportv.query(Tamper,{Report:True,Smoke:False})

from probGraphicalModels import bn_sprinkler, Season, Sprinkler, Rained, Grass_wet, Grass_shiny, Shoes_wet
bn_sprinklerv = VE(bn_sprinkler)
## bn_sprinklerv.query(Shoes_wet,{})
## bn_sprinklerv.query(Shoes_wet,{Rained:True})
## bn_sprinklerv.query(Shoes_wet,{Grass_shiny:True})
## bn_sprinklerv.query(Shoes_wet,{Grass_shiny:False,Rained:True})

from probGraphicalModels import bn_lr1, Cough, Fever, Sneeze, Cold, Flu, Covid
vediag = VE(bn_lr1)
## vediag.query(Cough,{})
## vediag.query(Cold,{Cough:1,Sneeze:0,Fever:1})
## vediag.query(Flu,{Cough:0,Sneeze:1,Fever:1})
## vediag.query(Covid,{Cough:1,Sneeze:0,Fever:1})
## vediag.query(Covid,{Cough:1,Sneeze:0,Fever:1,Flu:0})
## vediag.query(Covid,{Cough:1,Sneeze:0,Fever:1,Flu:1})
```

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8.8 Stochastic Simulation

8.8.1 Sampling from a discrete distribution

The method sample_one generates a single sample from a (possible unnormalized) distribution. dist is a \{value : weight\} dictionary, where weight \geq 0. This returns a value with probability in proportion to its weight.

```python
import random
from probGraphicalModels import InferenceMethod

def sample_one(dist):
    """returns the index of a single sample from normalized distribution dist."""
    rand = random.random() * sum(dist.values())
    cum = 0  # cumulative weights
    for v in dist:
        cum += dist[v]
        if cum > rand:
            return v
```

If we want to generate multiple samples, repeatedly calling sample_one may not be efficient. If we want to generate n samples, and the distribution is over m values, sample_one takes time $O(mn)$. If $m$ and $n$ are of the same order of magnitude, we can do better.

The method sample_multiple generates multiple samples from a distribution defined by dist, where dist is a \{value : weight\} dictionary, where weight \geq 0 and the weights cannot all be zero. This returns a list of values, of length num_samples, where each sample is selected with a probability proportional to its weight.

The method generates all of the random numbers, sorts them, and then goes through the distribution once, saving the selected samples.

```python
def sample_multiple(dist, num_samples):
    """returns a list of num_samples values selected using distribution dist.

dist is a {value:weight} dictionary that does not need to be normalized
"""
    total = sum(dist.values())
    rands = sorted(random.random() * total for i in range(num_samples))
    result = []
    dist_items = list(dist.items())
    return result
```

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Exercise 8.1

What is the time and space complexity the following 4 methods to generate \( n \) samples, where \( m \) is the length of \( \text{dist} \):

(a) \( n \) calls to \text{sample\_one}

(b) \text{sample\_multiple}

(c) Create the cumulative distribution (choose how this is represented) and, for each random number, do a binary search to determine the sample associated with the random number.

(d) Choose a random number in the range \( [i/n, (i+1)/n) \) for each \( i \in \text{range}(n) \), where \( n \) is the number of samples. Use these as the random numbers to select the particles. (Does this give random samples?)

For each method suggest when it might be the best method.

The \text{test\_sampling} method can be used to generate the statistics from a number of samples. It is useful to see the variability as a function of the number of samples. Try it for few samples and also for many samples.

```python
def test_sampling(dist, num_samples):
    """Given a distribution, dist, draw num_samples samples
    and return the resulting counts
    """
    result = {v:0 for v in dist}
    for v in sample_multiple(dist, num_samples):
        result[v] += 1
    return result
```

# try the following queries a number of times each:
# test_sampling({1:1,2:2,3:3,4:4}, 100)
# test_sampling({1:1,2:2,3:3,4:4}, 100000)

8.8.2 Sampling Methods for Belief Network Inference

A \text{SamplingInferenceMethod} is an \text{InferenceMethod}, but the query method also takes arguments for the number of samples and the sample-order (which is an ordering of factors). The first methods assume a belief network (and not an undirected graphical model).
class SamplingInferenceMethod(InferenceMethod):
    """The abstract class of sampling-based belief network inference methods"
    ""
    def __init__(self, gm=None):
        InferenceMethod.__init__(self, gm)

    def query(self, qvar, obs={}, number_samples=1000, sample_order=None):
        raise NotImplementedError("SamplingInferenceMethod query") # abstract

8.8.3 Rejection Sampling

class RejectionSampling(SamplingInferenceMethod):
    """The class that queries Graphical Models using Rejection Sampling.
    gm is a belief network to query
    ""
    method_name = "rejection sampling"

    def __init__(self, gm=None):
        SamplingInferenceMethod.__init__(self, gm)

    def query(self, qvar, obs={}, number_samples=1000, sample_order=None):
        """computes P(qvar | obs) where
        qvar is a variable.
        obs is a {variable:value} dictionary.
        sample_order is a list of variables where the parents
        come before the variable.
        ""
        if sample_order is None:
            sample_order = self.gm.topological_sort()
        self.display(2,*sample_order,sep="\t")
        counts = {val:0 for val in qvar.domain}
        for i in range(number_samples):
            rejected = False
            sample = {}
            for nvar in sample_order:
                fac = self.gm.var2cpt[nvar]  # factor with nvar as child
                val = sample_one({v:fac.get_value(**sample, nvar=v)} for v
                                 in nvar.domain)
                self.display(2,val,end="\t")
                if nvar in obs and obs[nvar] != val:
                    rejected = True
                    self.display(2,"Rejected")
                    break
                sample[nvar] = val
if not rejected:
    counts[sample[qvar]] += 1
    self.display(2,"Accepted")

tot = sum(counts.values())
# As well as the distribution we also include raw counts
dist = {c:v/tot if tot>0 else 1/len(qvar.domain) for (c,v) in counts.items()}
dist["raw_counts"] = counts
return dist

8.8.4 Likelihood Weighting

Likelihood weighting includes a weight for each sample. Instead of rejecting samples based on observations, likelihood weighting changes the weights of the sample in proportion with the probability of the observation. The weight then becomes the probability that the variable would have been rejected.

class LikelihoodWeighting(SamplingInferenceMethod):
    """The class that queries Graphical Models using Likelihood weighting."
    method_name = "likelihood weighting"

    def __init__(self, gm=None):
        SamplingInferenceMethod.__init__(self, gm)

        def query(self, qvar, obs={}, number_samples=1000, sample_order=None):
            """computes P(qvar | obs) where
            qvar is a variable.
            obs is a {variable:value} dictionary.
            sample_order is a list of factors where factors defining the parents
            come before the factors for the child."
            if sample_order is None:
                sample_order = self.gm.topological_sort()
                self.display(2,*[v for v in sample_order
                    if v not in obs],sep="\t")
                counts = {val:0 for val in qvar.domain}
            for i in range(number_samples):
                sample = {}
                weight = 1.0
                for nvar in sample_order:
                    fac = self.gm.var2cpt[nvar]
                    if nvar in obs:
                        sample[nvar] = obs[nvar]
                        weight *= fac.get_value(sample)
                    else:
                        val = sample_one({v:fac.get_value(**sample,nvar:v)) for
                                        v in nvar.domain})
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```python
    self.display(2,val,end="\t")
    sample[nvar] = val
    counts[sample[qvar]] += weight
    self.display(2,weight)
    tot = sum(counts.values())
    # as well as the distribution we also include the raw counts
    dist = {c:v/tot for (c,v) in counts.items()}
dist["raw_counts"] = counts
    return dist
```

**Exercise 8.2** Change this algorithm so that it does **importance sampling** using a proposal distribution. It needs `sample_one` using a different distribution and then update the weight of the current sample. For testing, use a proposal distribution that only specifies probabilities for some of the variables (and the algorithm uses the probabilities for the network in other cases).

8.8.5 Particle Filtering

In this implementation, a particle is a `{variable : value}` dictionary. Because adding a new value to dictionary involves a side effect, the dictionaries need to be copied during resampling.

```python
class ParticleFiltering(SamplingInferenceMethod):
    
    gm is a belief network to query
    
    method_name = "particle filtering"

    def __init__(self, gm=None):
        SamplingInferenceMethod.__init__(self, gm)

        def query(self, qvar, obs={}, number_samples=1000, sample_order=None):
            
            gm is a belief network to query
            
            qvar is a variable.
            obs is a `{variable:value}` dictionary.
            sample_order is a list of factors where factors defining the parents
            come before the factors for the child.

            if sample_order is None:
                sample_order = self.gm.topological_sort()
            for nvar in sample_order:
                fac = self.gm.var2cpt[nvar]
            if nvar in obs:
                weights = [fac.get_value(**part, nvar=obs[nvar]) for part in particles]
```

[http://aipython.org](http://aipython.org)
```python
particles = [{**p, nvar: obs[nvar]} for p in resample(particles, weights, number_samples)]
else:
    for part in particles:
        part[nvar] = sample_one({v: fac.get_value({**part, nvar: v}) for v in nvar.domain})
        self.display(2, part[nvar], end="\t")
        counts = {val: 0 for val in qvar.domain}
        for part in particles:
            counts[part[qvar]] += 1
        tot = sum(counts.values())
        # as well as the distribution we also include the raw counts
        dist = {c: v / tot for (c, v) in counts.items()}
        dist["raw_counts"] = counts
        return dist
```

8.8.6 Examples

Resampling

Resample is based on `sample_multiple` but works with an array of particles. (Aside: Python doesn’t let us use `sample_multiple` directly as it uses a dictionary, and particles, represented as dictionaries can’t be the key of dictionaries).

```python
def resample(particles, weights, num_samples):
    """returns num_samples copies of particles resampled according to weights.
    particles is a list of particles
    weights is a list of positive numbers, of same length as particles
    num_samples is an integer
    """
    total = sum(weights)
    rands = sorted(random.random() * total for i in range(num_samples))
    result = []
    cum = weights[0]  # cumulative sum
    index = 0
    for r in rands:
        while r > cum:
            index += 1
            cum += weights[index]
        result.append(particles[index])
    return result
```

8.8.6 Examples

```python
from probGraphicalModels import bn_4ch, A, B, C, D
bn_4chr = RejectionSampling(bn_4ch)
bn_4chL = LikelihoodWeighting(bn_4ch)
```

[http://aipython.org](http://aipython.org)
```python
## InferenceMethod.max_display_level = 2 # detailed tracing for all inference methods
## bn_4chr.query(A,{})
## bn_4chr.query(C,{})
## bn_4chr.query(A,{C:True})
## bn_4chr.query(B,{A:True,C:False})

from probGraphicalModels import bn_report, Alarm, Fire, Leaving, Report, Smoke, Tamper
bn_reportr = RejectionSampling(bn_report) # answers queries using rejection sampling
bn_reportL = LikelihoodWeighting(bn_report) # answers queries using likelihood weighting
bn_reportp = ParticleFiltering(bn_report) # answers queries using particle filtering
## bn_reportr.query(Tamper,{})
## bn_reportr.query(Tamper,{})
## bn_reportr.query(Tamper,{Report:True})
## InferenceMethod.max_display_level = 0 # no detailed tracing for all inference methods
## bn_reportr.query(Tamper,{Report:True},number_samples=100000)
## bn_reportr.query(Tamper,{Report:True,Smoke:False})
## bn_reportL.query(Tamper,{Report:True,Smoke:False},number_samples=100)
## bn_reportL.query(Tamper,{Report:True,Smoke:False},number_samples=100)

from probGraphicalModels import bn_sprinkler, Season, Sprinkler
from probGraphicalModels import Rained, Grass_wet, Grass_shiny, Shoes_wet
bn_sprinklerr = RejectionSampling(bn_sprinkler) # answers queries using rejection sampling
bn_sprinklerL = LikelihoodWeighting(bn_sprinkler) # answers queries using likelihood weighting
bn_sprinklerp = ParticleFiltering(bn_sprinkler) # answers queries using particle filtering
#bn_sprinklerr.query(Shoes_wet,{Grass_shiny:True,Rained:True})
#bn_sprinklerL.query(Shoes_wet,{Grass_shiny:True,Rained:True})
#bn_sprinklerp.query(Shoes_wet,{Grass_shiny:True,Rained:True})

if __name__ == '__main__':
    InferenceMethod.testIM(RejectionSampling, threshold=0.1)
    InferenceMethod.testIM(LikelihoodWeighting, threshold=0.1)
    InferenceMethod.testIM(ParticleFiltering, threshold=0.1)
```

**Exercise 8.3** This code keeps regenerating the distribution of a variable given its parents. Implement one or both of the following, and compare them to the original. Make `cond_dist` return a slice that corresponds to the distribution, and then use the slice instead of the dictionary (a list slice does not generate new data structures). Make `cond_dist` remember values it has already computed, and only return these.

[http://aipython.org](http://aipython.org)
8.8.7 Gibbs Sampling

The following implements **Gibbs sampling**, a form of **Markov Chain Monte Carlo MCMC**.

```python
# import random
# from probGraphicalModels import InferenceMethod
# from probStochSim import sample_one, SamplingInferenceMethod
class GibbsSampling(SamplingInferenceMethod):
    """The class that queries Graphical Models using Gibbs Sampling.
    """
    method_name = "Gibbs sampling"

def __init__(self, gm=None):
    SamplingInferenceMethod.__init__(self, gm)

    self.gm = gm

def query(self, qvar, obs={}, number_samples=1000, burn_in=100,
             sample_order=None):
    """computes P(qvar | obs) where
    qvar is a variable.
    obs is a {variable:value} dictionary.
    sample_order is a list of non-observed variables in order, or
    if sample_order None, the variables are shuffled at each iteration.
    """
    counts = {val:0 for val in qvar.domain}
    if sample_order is not None:
        variables = sample_order
    else:
        variables = [v for v in self.gm.variables if v not in obs]

    var_to_factors = {v:set() for v in self.gm.variables}
    for fac in self.gm.factors:
        for var in fac.variables:
            var_to_factors[var].add(fac)

    sample = {var:random.choice(var.domain) for var in variables}
    self.display(2,"Sample: ",sample)
    sample.update(obs)

    for i in range(burn_in + number_samples):
        if sample_order == None:
            random.shuffle(variables)
        for var in variables:
            # get unnormalized probability distribution of var given its
            # neighbours
            vardist = {val:1 for val in var.domain}
            for val in var.domain:
                sample[var] = val

    return sample
```

[http://aipython.org](http://aipython.org)
Exercise 8.4 Change the code so that it can have multiple query variables. Make the list of query variables an input to the algorithm, so that the default value is the list of all non-observed variables.

Exercise 8.5 In this algorithm, explain where it computes the probability of a variable given its Markov blanket. Instead of returning the average of the samples for the query variable, it is possible to return the average estimate of the probability of the query variable given its Markov blanket. Does this converge to the same answer as the given code? Does it converge faster, slower, or the same?

8.8.8 Plotting Behaviour of Stochastic Simulators

The stochastic simulation runs can give different answers each time they are run. For the algorithms that give the same answer in the limit as the number of samples approaches infinity (as do all of these algorithms), the algorithms can be compared by comparing the accuracy for multiple runs. Summary statistics like the variance may provide some information, but the assumptions behind the variance being appropriate (namely that the distribution is approximately Gaussian) may not hold for cases where the predictions are bounded and often skewed.
It is more appropriate to plot the distribution of predictions over multiple runs. The `plot_stats` method plots the prediction of a particular variable (or for the partition function) for a number of runs of the same algorithm. On the $x$-axis, is the prediction of the algorithm. On the $y$-axis is the number of runs with prediction less than or equal to the $x$ value. Thus this is like a cumulative distribution over the predictions, but with counts on the $y$-axis.

Note that for runs where there are no samples that are consistent with the observations (as can happen with rejection sampling), the prediction of probability is 1.0 (as a convention for $0/0$).

That variable `what` contains the query variable, or `what` is "prob.ev", the probability of evidence.

```python
311 import matplotlib.pyplot as plt
312 def plot_stats(method, qvar, qval, obs, number_runs=1000, **queryargs):
    """Plots a cumulative distribution of the prediction of the model.
    method is a InferenceMethod (that implements appropriate query(.))
    plots P(qvar=qval | obs)
    qvar is the query variable, qval is corresponding value
    obs is the {variable:value} dictionary representing the observations
    number_iterations is the number of runs that are plotted
    **queryargs is the arguments to query (often number_samples for
    sampling methods)
    """
    plt.ion()
    plt.xlabel("value")
    plt.ylabel("Cumulative Number")
    method.max_display_level, prev_mdl = 0, method.max_display_level #no
display
    answers = [method.query(qvar,obs,**queryargs)
                  for i in range(number_runs)]
    values = [ans[qval] for ans in answers]
    label = f"""{method.method_name} P({qvar}={qval}|{', '.join(f'{var}={val}'
                      for (var,val) in obs.items())})""
    values.sort()
    plt.plot(values,range(number_runs),label=label)
    plt.legend() #loc="upper left")
    plt.draw()
    method.max_display_level = prev_mdl # restore display level

    # Try:
    # plot_stats(bn_reportr,Tamper,True,{Report:True,Smoke:True},number_samples=1000,
    # number_runs=1000)
    # plot_stats(bn_reportr,Tamper,True,{Report:True,Smoke:True},number_samples=1000,
    # number_runs=1000)
```
8.9. Hidden Markov Models

This code for hidden Markov models is independent of the graphical models code, to keep it simple. Section 8.10 gives code that models hidden Markov models, and more generally, dynamic belief networks, using the graphical models code.

This HMM code assumes there are multiple Boolean observation variables that depend on the current state and are independent of each other given the state.
import random
from probStochSim import sample_one, sample_multiple

class HMM(object):
    def __init__(self, states, obsvars, pobs, trans, indist):
        """A hidden Markov model.
        states - set of states
        obsvars - set of observation variables
        pobs - probability of observations, pobs[i][s] is P(Obs_i=True | State=s)
        trans - transition probability - trans[i][j] gives P(State=j | State=i)
        indist - initial distribution - indist[s] is P(State_0 = s)
        """
        self.states = states
        self.obsvars = obsvars
        self.pobs = pobs
        self.trans = trans
        self.indist = indist

    Consider the following example. Suppose you want to unobtrusively keep track of an animal in a triangular enclosure using sound. Suppose you have 3 microphones that provide unreliable (noisy) binary information at each time step. The animal is either close to one of the 3 points of the triangle or in the middle of the triangle.

    # state
    # 0=middle, 1,2,3 are corners
    states1 = {'middle', 'c1', 'c2', 'c3'} # states
    obs1 = {'m1', 'm2', 'm3'} # microphones

    The observation model is as follows. If the animal is in a corner, it will be detected by the microphone at that corner with probability 0.6, and will be independently detected by each of the other microphones with a probability of 0.1. If the animal is in the middle, it will be detected by each microphone with a probability of 0.4.

    # pobs gives the observation model:
    #pobs[mi][state] is P(mi=on | state)
    closeMic=0.6; farMic=0.1; midMic=0.4
    pobs1 = {'m1': {'middle': midMic, 'c1': closeMic, 'c2': farMic, 'c3': farMic},
             'm2': {'middle': midMic, 'c1': farMic, 'c2': closeMic, 'c3': farMic},
             'm3': {'middle': midMic, 'c1': farMic, 'c2': farMic, 'c3': closeMic}}

    The transition model is as follows: If the animal is in a corner it stays in the same corner with probability 0.80, goes to the middle with probability 0.1
8.9. Hidden Markov Models

or goes to one of the other corners with probability 0.05 each. If it is in the
middle, it stays in the middle with probability 0.7, otherwise it moves to one
the corners, each with probability 0.1.

```python
# trans specifies the dynamics
# trans[i] is the distribution over states resulting from state i
# trans[i][j] gives P(S=j | S=i)
sm=0.7; mmc=0.1  # transition probabilities when in middle
sc=0.8; mcm=0.1; mcc=0.05  # transition probabilities when in a corner
trans1 = {
    'middle':{
        'middle':sm,  # was in
        'c1':mmc,  # was in corner
        'c2':mmc,
        'c3':mmc
    },
    'c1':{
        'middle':mcm,  # was in corner
        'c1':sc,  # was in corner
        'c2':mcc,
        'c3':mcc
    },
    'c2':{
        'middle':mcm,  # was in corner
        'c1':mcc,
        'c2':sc,
        'c3':mcc
    },
    'c3':{
        'middle':mcm,  # was in corner
        'c1':mcc,
        'c2':mcc,
        'c3':sc
    }
}

Initially the animal is in one of the four states, with equal probability.

```python
# initially we have a uniform distribution over the animal's state
indist1 = {st:1.0/len(states1) for st in states1}

hmm1 = HMM(states1, obs1, pobs1, trans1, indist1)
```

8.9.1 Exact Filtering for HMMs

A HMMVEfilter has a current state distribution which can be updated by ob-
bserving or by advancing to the next time.

```python
from display import Displayable
class HMMVEfilter(Displayable):
    def __init__(self,hmm):
        self.hmm = hmm
        self.state_dist = hmm.indist

    def filter(self, obsseq):
        """updates and returns the state distribution following the
        sequence of
        observations in obsseq using variable elimination.
        """
        for obs in obsseq:
```

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def observe(self, obs):
    """updates state conditioned on observations.
    obs is a list of values for each observation variable""
    for i in self.hmm.obsvars:
        self.state_dist = {st:self.state_dist[st]*(self.hmm.pobs[i][st]
                         if obs[i] else (1-self.hmm.pobs[i][st]))
                         for st in self.hmm.states}
        norm = sum(self.state_dist.values()) # normalizing constant
        self.state_dist = {st:self.state_dist[st]/norm
                         for st in self.hmm.states}
    self.display(2,"After observing",obs,"state
distribution: ",self.state_dist)

def advance(self):
    """advance to the next time""
    nextstate = {st:0.0 for st in self.hmm.states} # distribution over
    next states
    for j in self.hmm.states: # j ranges over next states
        for i in self.hmm.states: # i ranges over previous states
            nextstate[j] += self.hmm.trans[i][j]*self.state_dist[i]
    self.state_dist = nextstate
    self.display(2,"After advancing state
distribution: ",self.state_dist)

The following are some queries for hmm1.

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Exercise 8.6  The representation assumes that there are a list of Boolean observations. Extend the representation so that each observation variable can have multiple discrete values. You need to choose a representation for the model, and change the algorithm.

8.9.2 Localization

The localization example in the book is a controlled HMM, where there is a given action at each time and the transition depends on the action. In this class, the transition is set to None initially, and needs to be provided with an action to determine the transition probability.
To change the VE localization code to allow for controlled HMMs, notice that the action selects which transition probability to use.

```python
class HMM_Local(HMMVEfilter):
    """VE filter for controlled HMMs
    """
    def __init__(self, hmm):
        HMMVEfilter.__init__(self, hmm)
        self.hmm.trans = self.hmm.act2trans[action]
    def go(self, action):
        self.advance()

loc_filt = HMM_Local(hmm_16pos)
# loc_filt.observe({'door':True}); loc_filt.go("right");
# loc_filt.observe({'door':False}); loc_filt.go("right");
# loc filt.observe('door':True))
# loc_filt.state_dist
```

The following lets us interactively move the agent and provide observations. It shows the distribution over locations.

```python
class Show_Localization(Displayable):
    def __init__(self,hmm):
        self.hmm = hmm
        self.loc_filt = HMM_Local(hmm)
        fig,(self.ax) = plt.subplots()
        plt.subplots_adjust(bottom=0.2)
        left_butt = Button(plt.axes([0.05,0.02,0.1,0.05]), "left")
        left_butt.on_clicked(self.left)
        right_butt = Button(plt.axes([0.25,0.02,0.1,0.05]), "right")
        right_butt.on_clicked(self.right)
        door_butt = Button(plt.axes([0.45,0.02,0.1,0.05]), "door")
        door_butt.on_clicked(self.door)
        noDoor_butt = Button(plt.axes([0.65,0.02,0.1,0.05]), "no door")
        noDoor_butt.on_clicked(self.noDoor)
        reset_butt = Button(plt.axes([0.85,0.02,0.1,0.05]), "reset")
        reset_butt.on_clicked(self.reset)
        plt ylim(0,1) #this makes sure y-axis goes to 1, graph overwritten in draw_dist
        self draw dist()
        plt.show()

    def draw_dist(self):
        self.ax.clear()
        self.ax.plot(0,1)
```

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```python
self.ax.set_ylabel("Probability")
self.ax.set_xlabel("Location")
self.ax.set_title("Location Probability Distribution")
self.ax.set_xticks(self.hmm.states)
vals = [self.loc_filt.state_dist[i] for i in self.hmm.states]
self.bars = self.ax.bar(self.hmm.states, vals, color='black')
self.ax.bar_label(self.bars, "\{v:.2f\}".format(v=v) for v in vals, padding = 1)
plt.draw()

def left(self,event):
    self.loc_filt.go("left")
    self.draw_dist()

def right(self,event):
    self.loc_filt.go("right")
    self.draw_dist()

def door(self,event):
    self.loc_filt.observe({
        'door':True})
    self.draw_dist()

def noDoor(self,event):
    self.loc_filt.observe({
        'door':False})
    self.draw_dist()

def reset(self,event):
    self.loc_filt.state_dist = {i:1/16 for i in range(16)}
    self.draw_dist()

# sl = Show_Localization(hmm_16pos)
```

8.9.3 Particle Filtering for HMMs

In this implementation a particle is just a state. If you want to do some form
of smoothing, a particle should probably be a history of states. This maintains,
particles, an array of states, weights an array of (non-negative) real numbers,
such that weights[i] is the weight of particles[i].

```python
from display import Displayable
from probStochSim import resample

class HMMparticleFilter(Displayable):
    def __init__(self,hmm,number_particles=1000):
        self.hmm = hmm
        self.particles = [sample_one(hmm.indist)
                         for i in range(number_particles)]
        self.weights = [1 for i in range(number_particles)]

    def filter(self, obsseq):
        """returns the state distribution following the sequence of
        observations in obsseq using particle filtering.
```

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Note that it first advances time. This is what is required if it is called after previous filtering. If that is not what is wanted initially, do an observe first.

```python
for obs in obsseq:
    self.advance()  # advance time
    self.observe(obs)  # observe
    self.resample_particles()
    self.display(2, "After observing", str(obs),
                 "state distribution:",
                 self.histogram(self.particles))
    self.display(1, "Final state distribution:",
                 self.histogram(self.particles))
return self.histogram(self.particles)
```

```python
def advance(self):
    """advance to the next time. This assumes that all of the weights are 1.""
    self.particles = [sample_one(self.hmm.trans[st])
                     for st in self.particles]
```

```python
def observe(self, obs):
    """reweighs the particles to incorporate observations obs""
    for i in range(len(self.particles)):
        for obv in obs:
            if obs[obv]:
                self.weights[i] *= self.hmm.pobs[obv][self.particles[i]]
            else:
                self.weights[i] *= 1-self.hmm.pobs[obv][self.particles[i]]
```

```python
def histogram(self, particles):
    """returns list of the probability of each state as represented by the particles""
    tot=0
    hist = {st: 0.0 for st in self.hmm.states}
    for (st,wt) in zip(particles, self.weights):
        hist[st] += wt
        tot += wt
    return {st:hist[st]/tot for st in hist}
```

```python
def resample_particles(self):
    """resamples to give a new set of particles.""
    self.particles = resample(self.particles, self.weights, len(self.particles))
    self.weights = [1] * len(self.particles)
```

The following are some queries for `hmm1`. 

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Exercise 8.7  A form of importance sampling can be obtained by not resampling. Is it better or worse than particle filtering? Hint: you need to think about how they can be compared. Is the comparison different if there are more states than particles?

Exercise 8.8  Extend the particle filtering code to continuous variables and observations. In particular, suppose the state transition is a linear function with Gaussian noise of the previous state, and the observations are linear functions with Gaussian noise of the state. You may need to research how to sample from a Gaussian distribution.

8.9.4 Generating Examples

The following code is useful for generating examples.

```python
def simulate(hmm, horizon):
    """returns a pair of (state sequence, observation sequence) of length horizon.
for each time t, the agent is in state_sequence[t] and observes observation_sequence[t]
"""
    state = sample_one(hmm.indist)
    obsseq = []
    stateseq = []
    for time in range(horizon):
        stateseq.append(state)
        newobs =
            {obs: sample_one({0: 1-hmm.pobs[obs][state], 1: hmm.pobs[obs][state]})
             for obs in hmm.obsvars}
        obsseq.append(newobs)
        state = sample_one(hmm.trans[state])
    return stateseq, obsseq
```

```bash
http://aipython.org
```
```python
"""returns observation sequence for the state sequence"

```obsseq=[]
```for state in stateseq:
```     newobs =
```     {obs:sample_one({0:1-hmm.pobs[obs][state],1:hmm.pobs[obs][state]})
```     for obs in hmm.obsvars}
```obsseq.append(newobs)
```return obsseq
```def create_eg(hmm,n):
`"""Create an annotated example for horizon n"
```
```seq,obs = simulate(hmm,n)
```print("True state sequence:",seq)
```print("Sequence of observations:
",obs)
```hmmfilter = HMMVEfilter(hmm)
```dist = hmmfilter.filter(obs)
```print("Resulting distribution over states:
",dist)

8.10 Dynamic Belief Networks

A dynamic belief network (DBN) is a belief network that extends in time.

There are a number of ways that reasoning can be carried out in a DBN, including:

- Rolling out the DBN for some time period, and using standard belief network inference. The latest time that needs to be in the rolled out network is the time of the latest observation or the time of a query (whichever is later). This allows us to observe any variables at any time and query any variables at any time. This is covered in Section 8.10.2.

- An unrolled belief network may be very large, and we might only be interested in asking about "now". In this case we can just representing the variables “now”. In this approach we can observe and query the current variables. We can then move to the next time. This does not allow for arbitrary historical queries (about the past or the future), but can be much simpler. This is covered in Section 8.10.3.

8.10.1 Representing Dynamic Belief Networks

To specify a DBN, think about the distribution now. Now will be represented as time 1. Each variable will have a corresponding previous variable; these will be created together.

A dynamic belief network consists of:

- A set of features. A variable is a feature-time pair.
8.10. Dynamic Belief Networks

- An initial distribution over the features "now" (time 1). This is a belief network with all variables being time 1 variables.

- A specification of the dynamics. We define the how the variables now (time 1) depend on variables now and the previous time (time 0), in such a way that the graph is acyclic.

```python
from probVariables import Variable
from probGraphicalModels import GraphicalModel, BeliefNetwork
from probFactors import Prob, Factor, CPD
from probVE import VE
from display import Displayable
from utilities import dict_union

class DBNvariable(Variable):
    """A random variable that incorporates the stage (time)"
    def __init__(self, name, domain=[False, True], index=1):
        Variable.__init__(self, f"{name}_{index}", domain)
        self.basename = name
        self.domain = domain
        self.index = index
        self.previous = None

    def __lt__(self, other):
        if self.name != other.name:
            return self.name < other.name
        else:
            return self.index < other.index

    def __gt__(self, other):
        return other < self

def variable_pair(name, domain=[False, True]):
    """returns a variable and its predecessor. This is used to define 2-stage DBNs"
    var_now = DBNvariable(name, domain, index='now')
    var_prev = DBNvariable(name, domain, index='prev')
    var_now.previous = var_prev
    return var_prev, var_now

A FactorRename is a factor that is the result renaming the variables in the factor. It takes a factor, fac, and a {new : old} dictionary, where new is the name of a variable in the resulting factor and old is the corresponding name in fac. This assumes that the all variables are renamed.

http://aipython.org
class FactorRename(Factor):
    def __init__(self, fac, renaming):
        """A renamed factor.
        fac is a factor
        renaming is a dictionary of the form {new:old} where old and new
        variable variables,
        where the variables in fac appear exactly once in the renaming
        """
        self.orig_fac = fac
        self.renaming = renaming

        for (n, o) in renaming.items() if o in fac.variables

        return self.orig_fac.get_value({self.renaming[var]:val
        for (var, val) in assignment.items()
        if var in self.variables})

The following class renames the variables of a conditional probability distribution. It is used for template models (e.g., dynamic decision networks or relational models)

class CPDrename(FactorRename, CPD):
    def __init__(self, cpd, renaming):
        renaming_inverse = {old:new for (new,old) in renaming.items()}
        CPD.__init__(self, renaming_inverse[cpd.child], renaming_inverse[p]
                      for p in cpd.parents)
        self.orig_fac = cpd
        self.renaming = renaming

class DBN(Displayable):
    """The class of stationary Dynamic Belief networks.
    * name is the DBN name
    * vars_now is a list of current variables (each must have
      previous variable).
    * transition_factors is a list of factors for P(X|parents) where X
      is a current variable and parents is a list of current or previous
      variables.
    * init_factors is a list of factors for P(X|parents) where X is a
      current variable and parents can only include current variables
      The graph of transition factors + init factors must be acyclic.
    """
    def __init__(self, title, vars_now, transition_factors=None,
                 init_factors=None):
        self.title = title
        self.vars_now = vars_now
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```python
self.vars_prev = [v.previous for v in vars_now]
self.transition_factors = transition_factors
self.init_factors = init_factors
self.var_index = {}  # var_index[v] is the index of variable v
for i, v in enumerate(vars_now):
    self.var_index[v] = i

Here is a 3 variable DBN:

```probDBN.py — (continued)```

```python
A0, A1 = variable_pair("A", domain=[False, True])
B0, B1 = variable_pair("B", domain=[False, True])
C0, C1 = variable_pair("C", domain=[False, True])

# dynamics
pc = Prob(C1, [B1, C0], [[0.03, 0.97], [0.38, 0.62]], [[0.23, 0.77], [0.78, 0.22]])
pb = Prob(B1, [A0, A1], [[0.5, 0.5], [0.77, 0.23]], [[0.4, 0.6], [0.83, 0.17]])
pa = Prob(A1, [A0, B0], [[0.1, 0.9], [0.65, 0.35]], [[0.3, 0.7], [0.8, 0.2]])

# initial distribution
pa0 = Prob(A1, [], [0.9, 0.1])
pb0 = Prob(B1, [A1], [0.3, 0.7], [0.8, 0.2])
pc0 = Prob(C1, [], [0.2, 0.8])

dbn1 = DBN("Simple DBN", [A1, B1, C1], [pa, pb, pc], [pa0, pb0, pc0])

Here is the animal example

```probDBN.py — (continued)```

```python
from probHMM import closeMic, farMic, midMic, sm, mmc, sc, mcm, mcc

Pos_0, Pos_1 = variable_pair("Position", domain=[0, 1, 2, 3])
Mic1_0, Mic1_1 = variable_pair("Mic1")
Mic2_0, Mic2_1 = variable_pair("Mic2")
Mic3_0, Mic3_1 = variable_pair("Mic3")

# conditional probabilities - see hmm for the values of sm, mmc, etc
ppos = Prob(Pos_1, [Pos_0],
            [[sm, mmc, mmc, mmc], # was in middle
             [mcm, sc, mmc, mcc], # was in corner 1
             [mmc, mcm, sc, mmc], # was in corner 2
             [mcm, mcm, mcm, sc]]) # was in corner 3

pm1 = Prob(Mic1_1, [Pos_1], [[1-midMic, midMic], [1-closeMic, closeMic],
                              [1-farMic, farMic], [1-farMic, farMic]])

pm2 = Prob(Mic2_1, [Pos_1], [[1-midMic, midMic], [1-farMic, farMic],
                              [1-closeMic, closeMic], [1-farMic, farMic]])

pm3 = Prob(Mic3_1, [Pos_1], [[1-midMic, midMic], [1-farMic, farMic],
                              [1-farMic, farMic], [1-closeMic, closeMic]])

ipos = Prob(Pos_1, [], [0.25, 0.25, 0.25, 0.25])

dbn_an = DBN("Animal DBN", [Pos_1, Mic1_1, Mic2_1, Mic3_1],
              [ppos, pm1, pm2, pm3],
              [ipos, pm1, pm2, pm3])
```

http://aipython.org
8.10.2 Unrolling DBNs

```python
class BNFromDBN(BeliefNetwork):
    """Belief Network unrolled from a dynamic belief network
    ""

def __init__(self, dbn, horizon):
    """dbn is the dynamic belief network being unrolled
    horizon>0 is the number of steps (so there will be horizon+1
    variables for each DBN variable.
    ""
    self.name2var = {var.basename: [DBNVariable(var.basename, var.domain, index) for index in range(horizon+1)]
        for var in dbn.vars_now}
    self.display(1, f"name2var={self.name2var}")
    variables = {v for vs in self.name2var.values() for v in vs}
    self.display(1, f"variables={variables}")
    bnfactors = {CPDrename(fac, {self.name2var[var.basename][0]: var
        for var in fac.variables})
        for fac in dbn.init_factors}
    for fac in dbn.init_factors
        bnfactors |=
            {CPDrename(fac, dict_union({self.name2var[var.basename][i]: var
                for var in fac.variables if var.index=='prev'
                , {self.name2var[var.basename][i+1]: var
                    for var in fac.variables if var.index=='now'})
            for fac in dbn.transition_factors
            for i in range(horizon))}
    self.display(1, f"bnfactors={bnfactors}")
    BeliefNetwork.__init__(self, dbn.title, variables, bnfactors)
```

Here are two examples. Note that we need to use `bn.name2var['B'][2]` to get the variable B2 (B at time 2).

```python
# Try
# from probRC import ProbRC
# bn = BNFromDBN(dbn1,2) # construct belief network
# drc = ProbRC(bn) # initialize recursive conditioning
# B2 = bn.name2var['B'][2]
# drc.query(B2) #P(B2)
# drc.query(bn.name2var['B'][1], {bn.name2var['B'][0]: True, bn.name2var['C'][1]: False}) #P(B1|B0, C1)
```

8.10.3 DBN Filtering

If we only wanted to ask questions about the current state, we can save space by forgetting the history variables.

[http://aipython.org](http://aipython.org)
class DBNVEfilter(VE):
    def __init__(self, dbn):
        self.dbn = dbn
        self.current_factors = dbn.init_factors
        self.current_obs = {}  

    def observe(self, obs):
        """updates the current observations with obs.
        obs is a variable:value dictionary where variable is a current
        variable.  """
        assert all(self.current_obs[var]==obs[var] for var in obs
            if var in self.current_obs), "inconsistent current
        observations"
        self.current_obs.update(obs)  # note 'update' is a dict method

    def query(self, var):
        """returns the posterior probability of current variable var""
        return
            VE(GraphicalModel(self.dbn.title, self.dbn.vars_now, self.current_factors)).query(var, self.current_obs)

    def advance(self):
        """advance to the next time""
        prev_factors = [self.make_previous(fac) for fac in self.current_factors]
        prev_obs = {var.previous: val for var, val in self.current_obs.items()}
        two_stage_factors = prev_factors + self.dbn.transition_factors
        self.current_factors = self.elim_vars(two_stage_factors, self.dbn.vars_prev, prev_obs)
        self.current_obs = {}  

    def make_previous(self, fac):
        """Creates new factor from fac where the current variables in fac
        are renamed to previous variables.  """
        return FactorRename(fac, {var.previous: var for var in fac.variables})

    def elim_vars(self, factors, vars, obs):
        for var in vars:
            if var in obs:
                factors = [self.project_observations(fac, obs) for fac in factors]
            else:
                factors = self.eliminate_var(factors, var)
        return factors

Example queries:

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8.11 Causal Models

A causal model can answer “do” questions.

The following adds the queryDo method to the InferenceMethod class, so it can be used with any inference method.

```python
from probGraphicalModels import InferenceMethod, BeliefNetwork
from probFactors import CPD, ConstantCPD

def queryDo(self, qvar, obs={}, do={}):
    assert isinstance(self.gm, BeliefNetwork), "Do only applies to belief networks"
    if do=={}:
        return self.query(qvar, obs)
    else:
        newfacs = ({f for (ch,f) in self.gm.var2cpt.items() if ch not in do} |
                    {ConstantCPD(v,c) for (v,c) in do.items()})
        self.modBN = BeliefNetwork(self.gm.title+"(mod)",
                                    self.gm.variables, newfacs)
        oldBN, self.gm = self.gm, self.modBN
        result = self.query(qvar, obs)
        self.gm = oldBN # restore original
        return result

InferenceMethod.queryDo = queryDo
```

```python
from probRC import ProbRC

from probGraphicalModels import bn_sprinkler, Season, Sprinkler, Rained,
                                Grass_wet, Grass_shiny, Shoes_wet, bn_sprinkler_soff
bn_sprinklerv = ProbRC(bn_sprinkler)
## bn_sprinklerv.queryDo(Sprinkler="off")
## bn_sprinklerv.queryDo(Shoes_wet,obs={Sprinkler:"off"})
```
8.11. Causal Models

```python
from probVariables import Variable
from probFactors import Prob
from probGraphicalModels import boolean

Drug_Prone = Variable("Drug_Prone", boolean, position=(0.1,0.5))
Takes_Marijuana = Variable("Takes_Marijuana", boolean, position=(0.1,0.5))
Side_Effects = Variable("Side_Effects", boolean, position=(0.1,0.5))
Takes_Hard_Drugs = Variable("Takes_Hard_Drugs", boolean,
    position=(0.9,0.5))

p_dp = Prob(Drug_Prone, [], [0.8, 0.2])
p_tm = Prob(Takes_Marijuana, [Drug_Prone], [[0.98, 0.02], [0.2, 0.8]])
p_be = Prob(Side_Effects, [Takes_Marijuana], [[1, 0], [0.4, 0.6]])
p_thd = Prob(Takes_Hard_Drugs, [Side_Effects, Drug_Prone],
    # Drug_Prone=False Drug_Prone=True
    [[[0.999, 0.001], [0.6, 0.4]], # Side_Effects=False
    [[0.99999, 0.00001], [0.995, 0.005]]]) # Side_Effects=True

drugs = BeliefNetwork("Gateway Drugs",
    [Drug_Prone,Takes_Marijuana,Side_Effects,Takes_Hard_Drugs],
    [p_dp, p_tm, p_be, p_thd])
drugsq = ProbRC(drugs)
    # drugsq.queryDo(Takes_Hard_Drugs)
    # drugsq.queryDo(Takes_Hard_Drugs, obs = {Takes_Marijuana: True})
    # drugsq.queryDo(Takes_Hard_Drugs, obs = {Takes_Marijuana: False})
    # drugsq.queryDo(Takes_Hard_Drugs, do = {Takes_Marijuana: True})
    # drugsq.queryDo(Takes_Hard_Drugs, do = {Takes_Marijuana: False})
```

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Chapter 9

Planning with Uncertainty

9.1 Decision Networks

The decision network code builds on the representation for belief networks of Chapter 8.

We first allow for factors that define the utility. Here the utility is a function of the variables in \( \text{vars} \). In a utility table the utility is defined in terms of a, a list that enumerates the values as in Section 8.3.3.

A decision variable is a like a random variable with a string name, and a domain, which is a list of possible values. The decision variable also includes the parents, a list of the variables whose value will be known when the decision is made. It also includes a potion, which is only used for plotting.

```python
from probGraphicalModels import GraphicalModel, BeliefNetwork
from probFactors import Factor, CPD, TabFactor, factor_times, Prob
from probVariables import Variable
import matplotlib.pyplot as plt

class Utility(Factor):
    """A factor defining a utility""
    pass

class UtilityTable(TabFactor, Utility):
    """A factor defining a utility using a table""
    def __init__(self, vars, table, position=None):
        """Creates a factor on vars from the table."
        """
        TabFactor.__init__(self, vars, table)
        self.position = position
```
class DecisionVariable(Variable):
    def __init__(self, name, domain, parents, position=None):
        Variable.__init__(self, name, domain, position)
        self.parents = parents
        self.all_vars = set(parents) | {self}

A decision network is a graphical model where the variables can be random variables or decision variables. Among the factors we assume there is one utility factor.

class DecisionNetwork(BeliefNetwork):
    def __init__(self, title, vars, factors):
        GraphicalModel.__init__(self, title, vars, factors)  # don't call init for BeliefNetwork
        self.var2parents = ({v : v.parents for v in vars if isinstance(v,DecisionVariable)}
                           | {f.child:f.parents for f in factors if isinstance(f,CPD)})
        self.children = {n:[] for n in self.variables}
        for v in self.var2parents:
            for par in self.var2parents[v]:
                self.children[par].append(v)
        self.utility_factor = [f for f in factors if isinstance(f,Utility)][0]
        self.topological_sort_saved = None

The split order ensures that the parents of a decision node are split before the decision node, and no other variables (if that is possible).

def split_order(self):
    so = []
    tops = self.topological_sort()
    for v in tops:
        if isinstance(v,DecisionVariable):
            so += [p for p in v.parents if p not in so]
            so.append(v)
    so += [v for v in tops if v not in so]
    return so

def show(self):
    plt.ion()  # interactive
    ax = plt.figure().gca()
    ax.set_axis_off()
    plt.title(self.title)

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9.1. Decision Networks

### Umbrella Decision Network

Here is a simple “umbrella” decision network. The output of `umbrella_dn.show()` is shown in Figure 9.1.

```python
for par in self.utility_factor.variables:
    ax.annotate("Utility", par.position,
    xytext=self.utility_factor.position,
    arrowprops={"arrowstyle": '<-'},bbox=dict(boxstyle="sawtooth,pad=1
text="center")
for var in reversed(self.topological_sort()):
    if isinstance(var,DecisionVariable):
        bbox = dict(boxstyle="square,pad=1.0",color="green")
    else:
        bbox = dict(boxstyle="round4,pad=1.0,rounding_size=0.5")
    if self.var2parents[var]:
        for par in self.var2parents[var]:
            ax.annotate(var.name, par.position, xytext=var.position,
            arrowprops={"arrowstyle": '<-'},bbox=bbox,
            ha='center')
    else:
        x,y = var.position
        plt.text(x,y,var.name,bbox=bbox,ha='center')
```

#### 9.1.1 Example Decision Networks

**Umbrella Decision Network**

Here is a simple “umbrella” decision network. The output of `umbrella_dn.show()` is shown in Figure 9.1.
Umbrella = DecisionVariable("Umbrella", ["Take", "Leave"], {Forecast}, position=(0.5,0))
p_weather = Prob(Weather, [], [0.7, 0.3])
p_forecast = Prob(Forecast, [Weather], [[0.7, 0.2, 0.1], [0.15, 0.25, 0.6]])
umb_utility = UtilityTable([Weather, Umbrella], [[20, 100], [70, 0]], position=(1,0.4))

umbrella_dn = DecisionNetwork("Umbrella Decision Network", {Weather, Forecast, Umbrella}, {p_weather, p_forecast, umb_utility})

The following is a variant with the umbrella decision having 2 parents; nothing else has changed. This is interesting because one of the parents is not needed; if the agent knows the weather, it can ignore the forecast.

Umbrella2p = DecisionVariable("Umbrella", ["Take", "Leave"], {Forecast, Weather}, position=(0.5,0))

umb_utility2p = UtilityTable([Weather, Umbrella2p], [[20, 100], [70, 0]], position=(1,0.4))

umbrella_dn2p = DecisionNetwork("Umbrella Decision Network (extra arc)", {Weather, Forecast, Umbrella2p}, {p_weather, p_forecast, umb_utility2p})

Fire Decision Network

The fire decision network of Figure 9.2 (showing the result of fire_dn.show()) is represented as:

boolean = [False, True]
Alarm = Variable("Alarm", boolean, position=(0.25,0.633))
Fire = Variable("Fire", boolean, position=(0.5,0.9))
Leaving = Variable("Leaving", boolean, position=(0.25,0.366))
Report = Variable("Report", boolean, position=(0.25,0.1))
Smoke = Variable("Smoke", boolean, position=(0.75,0.633))
Tamper = Variable("Tamper", boolean, position=(0,0.9))
See_Sm = Variable("See_Sm", boolean, position=(0.75,0.366))
Chk_Sm = DecisionVariable("Chk_Sm", boolean, {Report}, position=(0.5,0.366))
Call = DecisionVariable("Call", boolean,{See_Sm,Chk_Sm,Report},
position=(0.75,0.1))

f_ta = Prob(Tamper,[],[0.98,0.02])
f_fi = Prob(Fire,[],[0.99,0.01])
f_sm = Prob(Smoke,[Fire],[[0.99,0.01],[0.1,0.9]])
f_al = Prob(Alarm,[Fire,Tamper],[[0.9999, 0.0001], [0.15, 0.85]], [[0.01, 0.99], [0.5, 0.5]])
9.1. Decision Networks

[Fire Decision Network diagram]

Figure 9.2: Fire Decision Network

```
f_lv = Prob(Leaving,[Alarm],[[0.999, 0.001], [0.12, 0.88]])
f_re = Prob(Report,[Leaving],[0.99, 0.01], [0.25, 0.75]))
f_ss = Prob(See_Sm,[Chk_Sm,Smoke],[[1,0],[1,0],[1,0],[0,1]])

ut = UtilityTable([Chk_Sm,Fire,Call],[[-200,-200],[-5000,-200],[-20,-220],[-5020,-220]], position=(1,0.633))

fire_dn = DecisionNetwork("Fire Decision Network",
{Tamper,Fire,Alarm,Leaving,Smoke,Call,See_Sm,Chk_Sm,Report},
{f_ta,f_fi,f_sm,f_al,f_lv,f_re,f_ss,ut})
```

Cheating Decision Network

The following is the representation of the cheating decision of Figure 9.3. Note that we keep the names of the variables short (less than 8 characters) so that the tables look good when printed.

```
grades = ['A','B','C','F']
Watched = Variable("Watched", boolean, position=(0,0.9))
Caught1 = Variable("Caught1", boolean, position=(0.2,0.7))
Caught2 = Variable("Caught2", boolean, position=(0.6,0.7))
```
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Figure 9.3: Cheating Decision Network

```
132 Punish = Variable("Punish", ["None","Suspension","Recorded"],
                        position=(0.8,0.9))
133 Grade_1 = Variable("Grade_1", grades, position=(0.2,0.3))
134 Grade_2 = Variable("Grade_2", grades, position=(0.6,0.3))
135 Fin_Grd = Variable("Fin_Grd", grades, position=(0.8,0.1))
136 Cheat_1 = DecisionVariable("Cheat_1", boolean, set(), position=(0,0.5))
#no parents
137 Cheat_2 = DecisionVariable("Cheat_2", boolean, {Cheat_1,Caught1},
                        position=(0.4,0.5))
138 p_wa = Prob(Watched, [], [0.7, 0.3])
139 p_cc1 = Prob(Caught1,[Watched,Cheat_1],[[1.0, 0.0], [0.9, 0.1]], [[1.0,
                        0.0], [0.5, 0.5]])
140 p_cc2 = Prob(Caught2,[Watched,Cheat_2],[[1.0, 0.0], [0.9, 0.1]], [[1.0,
                        0.0], [0.5, 0.5]])
141 p_pun = Prob(Punish,[Caught1,Caught2],[[1.0, 0.0, 0.0], [0.5, 0.4, 0.1]],
                        [[0.6, 0.2, 0.2], [0.2, 0.5, 0.3]])
142 p_gr1 = Prob(Grade_1,[Cheat_1], [{'A':0.2, 'B':0.3, 'C':0.3, 'D': 0.2},
                        {'A':0.5, 'B':0.3, 'C':0.2, 'D':0.0}])
143 p_gr2 = Prob(Grade_2,[Cheat_2], [{'A':0.2, 'B':0.3, 'C':0.3, 'D': 0.2},
                        {'A':0.5, 'B':0.3, 'C':0.2, 'D':0.0}])
144 p_fg = Prob(Fin_Grd,[Grade_1,Grade_2],
                        {'A':{"A":1.0, 'B':0.0, 'C': 0.0, 'D':0.0},
                        'B': {'A':0.5, 'B':0.5, 'C': 0.0, 'D':0.0},
```

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9.1. Decision Networks

The following example is a finite-stage fully-observable Markov decision process with a single reward (utility) at the end. It is interesting because the parents do not include all predecessors. The methods we use will work without change on this, even though the agent does not condition on all of its previous observations and actions. The output of ch3.show() is shown in Figure 9.4.
9.1.2 Recursive Conditioning for decision networks

An instance of a RC_DN object takes in a decision network. The query method
uses recursive conditioning to compute the expected utility of the optimal pol-

```python
ch3U = UtilityTable([S3],[0,1], position=(7/7,0.9))
ch3 = DecisionNetwork("3-chain",
    {S0,D0,S1,D1,S2,D2,S3},{p_s0,p_s1,p_s2,p_s3,ch3U})
#rc3 = RC_DN(ch3)
#rc3.optimize()
#rc3.opt_policy
```

```python
import math
from probGraphicalModels import GraphicalModel, InferenceMethod
from probFactors import Factor
from utilities import dict_union
from probRC import connected_components
class RC_DN(InferenceMethod):
    """The class that queries graphical models using recursive conditioning
http://aipython.org
```
9.1. Decision Networks

```python
gm is graphical model to query

""

```def __init__(self, gm=None):
    self.gm = gm
    self.cache = {(frozenset(), frozenset()): 1}
    # self.max_display_level = 3

def optimize(self, split_order=None):
    """computes expected utility, and creates optimal decision
    functions, where
    elim_order is a list of the non-observed non-query variables in gm
    """
    if split_order == None:
        split_order = self.gm.split_order()
    self.opt_policy = {}
    return self.rc0({}, self.gm.factors, split_order)

    The following uses the simplest search-based algorithm. It is exponential in
the number of variables, so is not very useful. However, it is simple, and useful
to understand before looking at the more complicated algorithm. Note that the
above code does not call rc0; you will need to change the self.rc to self.rc0
in above code to use it.

```

def rc0(self, context, factors, split_order):
    """simplest search algorithm"
    self.display(2, "calling rc0", (context, factors), "with
    SO", split_order)
    if not factors:
        return 1
    elif to_eval := {fac for fac in factors if fac.can_evaluate(context)}:
        self.display(3, "rc0 evaluating factors", to_eval)
        val = math.prod(fac.get_value(context) for fac in to_eval)
        return val * self.rc0(context, factors-to_eval, split_order)
    else:
        var = split_order[0]
        self.display(3, "rc0 branching on", var)
        if isinstance(var, DecisionVariable):
            assert set(context) <= set(var.parents), f"cannot optimize
            {var} in context {context}"
            maxres = -math.inf
            for val in var.domain:
                self.display(3, "In rc0, branching on", var, "=", val)
                newres = self.rc0(dict_union({var: val}, context),
                              factors, split_order[1:])
                if newres > maxres:
                    maxres = newres
                    theval = val
        return theval
```
239     self.opt_policy[frozenset(context.items())] = (var, theval)
240     return maxres
241     else:
242         total = 0
243         for val in var.domain:
244             total += self.rc(dict_union({var: val}, context),
245                 factors, split_order[1:])
246     self.display(3, "rc0 branching on", var, "returning", total)
247     return total

We can combine the optimization for decision networks above, with the
improvements of recursive conditioning used for graphical models (Section
8.6, page 180).

```python
248     def rc(self, context, factors, split_order):
249         
250         context is a variable:value dictionary
251         factors is a set of factors
252         split_order is a list of variables in factors that are not in
253         context
254         
255         self.display(3,"calling rc",(context,factors))
256         ce = (frozenset(context.items()), frozenset(factors)) # key for the
257         cache entry
258         if ce in self.cache:
259             self.display(2,"rc cache lookup",(context,factors))
260             return self.cache[ce]
261         # if not factors: # no factors; needed if you don't have forgetting
262         # and caching
263         return 1
264     elif vars_not_in_factors := {var
265             if not for var in context
266             if not any(var in fac.variables for
267             fac in factors)):
268             # forget variables not in any factor
269             self.display(3,"rc forgetting variables", vars_not_in_factors)
270             return self.rc({key:val for (key,val) in context.items()
271               if key not in vars_not_in_factors},
272               factors, split_order)
273     elif to_eval := {fac for fac in factors if
274         fac.can_evaluate(context)):
275         # evaluate factors when all variables are assigned
276         self.display(3,"rc evaluating factors",to_eval)
277         val = math.prod(fac.get_value(context) for fac in to_eval)
278         if val == 0:
279             return 0
280         else:
281             return val * self.rc(context, {fac for fac in factors if fac
282             not in to_eval}, split_order)
```

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elif len(comp := connected_components(context, factors, split_order)) > 1:
    # there are disconnected components
    self.display(2, "splitting into connected components", comp)
    return(math.prod(self.rc(context,f,eo) for (f,eo) in comp))
else:
    assert split_order, f"split_order empty rc({context},{factors})"
    var = split_order[0]
    self.display(3, "rc branching on", var)
    if isinstance(var,DecisionVariable):
        assert set(context) <= set(var.parents), f"cannot optimize {var} in context {context}"
        maxres = math.inf
        for val in var.domain:
            newres = self.rc(dict_union({var:val}, context), factors, split_order[1:])
            if newres > maxres:
                maxres = newres
                theval = val
        self.opt_policy[frozenset(context.items())] = (var, theval)
        self.cache[ce] = maxres
        return maxres
    else:
        total = 0
        for val in var.domain:
            total += self.rc(dict_union({var:val}, context), factors, split_order[1:])
        self.display(3, "rc branching on", var, "returning", total)
        self.cache[ce] = total
        return total

Here is how to run the optimize the example decision networks:

```python
# Umbrella decision network
#urc = RC_DN(umberella_dn)
#urc.optimize()
#urc.opt_policy

#rc_fire = RC_DN(fire_dn)
#rc_fire.optimize()
#rc_fire.opt_policy

#rc_cheat = RC_DN(cheat_dn)
#rc_cheat.optimize()
#rc_cheat.opt_policy

#rc_ch3 = RC_DN(ch3)
#rc_ch3.optimize()
#rc_ch3.opt_policy
```

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9.1.3 Variable elimination for decision networks

VE_DN is variable elimination for decision networks. The method optimize is used to optimize all the decisions. Note that optimize requires a legal elimination ordering of the random and decision variables, otherwise it will give an exception. (A decision node can only be maximized if the variables that are not its parents have already been eliminated.)

```python
class VE_DN(VE):
    """Variable Elimination for Decision Networks""
    def __init__(self,dn=None):
        """dn is a decision network""
        VE.__init__(self,dn)
        self.dn = dn

    def optimize(self,elim_order=None,obs={}):
        if elim_order == None:
            elim_order = reversed(self.gm.split_order())
        policy = []
        proj_factors = [self.project_observations(fact,obs) for fact in self.dn.factors]
        for v in elim_order:
            if isinstance(v,DecisionVariable):
                to_max = [fac for fac in proj_factors if v in fac.variables and set(fac.variables) <= v.all_vars]
                assert len(to_max)==1, "illegal variable order " + str(elim_order) + " at " + str(v)
                newFac = FactorMax(v, to_max[0])
                policy.append(newFac.decision_fun)
                proj_factors = [fac for fac in proj_factors if fac is not to_max[0]]+[newFac]
                self.display(2,"maximizing",v,"resulting factor",newFac.brief() )
                self.display(3,newFac)
            else:
                proj_factors = self.eliminate_var(proj_factors, v)
                assert len(proj_factors)==1,"Should there be only one element of proj_factors?"
                value = proj_factors[0].get_value({})
        return value,policy
```

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def __init__(self, dvar, factor):
    """dvar is a decision variable.
    factor is a factor that contains dvar and only parents of dvar
    ""
    self.dvar = dvar
    self.factor = factor
    vars = [v for v in factor.variables if v is not dvar]
    Factor.__init__(self, vars)
    self.values = [None]*self.size
    self.decision_fun = FactorDF(dvar, vars, [None]*self.size)

def get_value(self, assignment):
    """lazy implementation: if saved, return saved value, else compute it"
    index = self.assignment_to_index(assignment)
    if self.values[index]:
        return self.values[index]
    else:
        max_val = float("-inf")  # -infinity
        new_asst = assignment.copy()
        for elt in self.dvar.domain:
            new_asst[self.dvar] = elt
            fac_val = self.factor.get_value(new_asst)
            if fac_val > max_val:
                max_val = fac_val
                best_elt = elt
        self.values[index] = max_val
        self.decision_fun.values[index] = best_elt
        return max_val

A decision function is a stored factor.

class FactorDF(TabFactor):
    """A decision function"
    def __init__(self, dvar, vars, values):
        TabStored.__init__(self, vars, values)
        self.dvar = dvar
        self.name = str(dvar)  # Used in printing

Here are some example queries:

# Example queries:
# v,p = VE_DN(fire_dn).optimize(); print(v)
# for df in p: print(df,\n"
# VE_DN.max_display_level = 3  # if you want to show lots of detail
# v,p = VE_DN(cheat_dn).optimize(); print(v)
# for df in p: print(df,\n"

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9.2 Markov Decision Processes

We will represent a Markov decision process (MDP) directly, rather than using the recursive conditioning or variable elimination code, as we did for decision networks.

```python
from utilities import argmaxd
import random
import matplotlib.pyplot as plt
from matplotlib.widgets import Button, CheckButtons

class MDP(object):
    """A Markov Decision Process. Must define:
    self.states the set (or list) of states
    self.actions the set (or list) of actions
    self.discount a real-valued discount
    ""
    __init__(self, states, actions, discount, init=0):
        self.states = states
        self.actions = actions
        self.discount = discount
        self.initv = self.v = {s: init for s in self.states}
        self.initq = self.q = {s: {a: init for a in self.actions} for s in self.states}

    def P(self, s, a):
        """Transition probability function
        returns a dictionary of {s1:p1} such that P(s1 | s,a)=p1. Other
        probabilities are zero.
        ""
        raise NotImplementedError("P") # abstract method

    def R(self, s, a):
        """Reward function R(s,a)
        returns the expected reward for doing a in state s.
        ""
        raise NotImplementedError("R") # abstract method
```

Two state partying example (Example 9.27 in Poole and Mackworth [2017]):

```python
from mdpProblem import MDP, GridMDP
class party(MDP):
    """Simple 2-state, 2-Action Partying MDP Example"
    __init__(self, discount=0.9):
        states = {'healthy', 'sick'}
        actions = {'relax', 'party'}
        MDP.__init__(self, states, actions, discount)
```

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def R(self, s, a):
    "R(s,a)"
    return {'healthy': {'relax': 7, 'party': 10},
            'sick': {'relax': 0, 'party': 2}}[s][a]

def P(self, s, a):
    "returns a dictionary of {s1:p1} such that P(s1 | s,a)=p1. Other
    probabilities are zero."
    phealthy = { # P('healthy' | s, a)
        'healthy': {'relax': 0.95, 'party': 0.7},
        'sick': {'relax': 0.5, 'party': 0.1}}[s][a]
    return {'healthy': phealthy, 'sick': 1-phealthy}

The next example is the tiny game from Example 12.1 and Figure 12.1 of
Poole and Mackworth [2017]. The state is represented as (x,y) where x counts
from zero from the left, and y counts from zero upwards, so the state (0,0) is on
the bottom-left state. The actions are upC for up-careful, and upR for up-risky.
(Note that GridMDP is just a type of MDP for which we have methods to show;
you can assume it is just MDP here).

class MDPtiny(GridMDP):
    def __init__(self, discount=0.9):
        actions = ['right', 'upC', 'left', 'upR']
        self.x_dim = 2  # x-dimension
        self.y_dim = 3
        states = [(x,y) for x in range(self.x_dim) for y in
                  range(self.y_dim)]
        # for GridMDP
        self.xoff = {'right':0.25, 'upC':0, 'left':-0.25, 'upR':0}
        self.yoff = {'right':0, 'upC':-0.25, 'left':0, 'upR':0.25}
        GridMDP.__init__(self, states, actions, discount)

    def P(self, s, a):
        """return a dictionary of {s1:p1} such that P(s1 | s,a)=p1. Other
        probabilities are zero."
        (x,y) = s
        if a == 'right':
            return {(1,y):1}
        elif a == 'upC':
            return {(x,min(y+1,2)):1}
        elif a == 'left':
            if (x,y) == (0,2): return {(0,0):1}
            else: return {(0,y): 1}
        elif a == 'upR':
            if x==0:
                if y<2: return {(x,y):0.1, (x+1,y):0.1, (x,y+1):0.8}
                else: # at (0,2)
                    return {(0,0):0.1, (1,2): 0.1, (0,2): 0.8}
elif y < 2:  # x==1
    return {(0,y):0.1, (1,y):0.1, (1,y+1):0.8}
else:  # at (1,2)
    return {(0,2):0.1, (1,2): 0.9}

def R(self,s,a):
    (x,y) = s
    if a == 'right':
        return [0,-1][x]
    elif a == 'upC':
        return [-1,-1,-2][y]
    elif a == 'left':
        if x==0:
            return [-1, -100, 10][y]
        else:
            return 0
    elif a == 'upR':
        return [[-0.1, -10, 0.2], [-0.1, -0.1, -0.9]][x][y]
    # at (0,2) reward is 0.1*10+0.8*-1=0.2

Here is the domain of Example 9.28 of Poole and Mackworth [2017]. Here
the state is represented as (x, y) where x counts from zero from the left, and y
counts from zero upwards, so the state (0,0) is on the bottom-left state.

```python
class grid(GridMDP):
    """ x_dim * y_dim grid with rewarding states""
    def __init__(self, discount= 0.9, x_dim=10, y_dim=10):
        self.x_dim = x_dim # size in x-direction
        self.y_dim = y_dim # size in y-direction
        actions = ['up', 'down', 'right', 'left']
        states = [(x,y) for x in range(y_dim) for y in range(y_dim)]
        self.rewarding_states = {(3,2):-10, (3,5):-5, (8,2):10, (7,7):3}
        self.fling_states = {(8,2), (7,7)}
        self.xoff = {'right':0.25, 'up':0, 'left':-0.25, 'down':0}
        self.yoff = {'right':0, 'up':0.25, 'left':0, 'down':-0.25}
        GridMDP.__init__(self, states, actions, discount)

    def intended_next(self,s,a):
        """returns the next state in the direction a.
        This is where the agent will end up if to goes in its
        intended_direction
        (which it does with probability 0.7).
        ""
        (x,y) = s
        if a=='up':
            return (x, y+1 if y+1 < self.y_dim else y)
        if a=='down':
            return (x, y-1 if y > 0 else y)
        if a=='right':
            return (x+1 if x+1 < self.x_dim else x,y)
        if a=='left':
```
9.2. Markov Decision Processes

```python
return (x-1 if x > 0 else x,y)

def P(self,s,a):
    """return a dictionary of {s1:p1} if P(s1 | s,a)=p1. Other
    probabilities are zero.
    Corners are tricky because different actions result in same state.
    """
    if s in self.fling_states:
        return {(0,0): 0.25, (self.x_dim-1,0):0.25,
                  (0,self.y_dim-1):0.25, (self.x_dim-1,self.y_dim-1):0.25}
    res = dict()
    for ai in self.actions:
        s1 = self.intended_next(s,ai)
        ps1 = 0.7 if ai==a else 0.1
        if s1 in res: # occurs in corners
            res[s1] += ps1
        else:
            res[s1] = ps1
    return res

def R(self,s,a):
    if s in self.rewarding_states:
        return self.rewarding_states[s]
    else:
        (x,y) = s
        rew = 0
        # rewards from crashing:
        if y==0:  # on bottom.
            rew += -0.7 if a == 'down' else -0.1
        if y==self.y_dim-1:  # on top.
            rew += -0.7 if a == 'up' else -0.1
        if x==0:   # on left
            rew += -0.7 if a == 'left' else -0.1
        if x==self.x_dim-1:  # on right.
            rew += -0.7 if a == 'right' else -0.1
        return rew
```

9.2.1 Value Iteration

This implements value iteration.

This uses indexes of the states and actions (not the names). The value function is represented so $v[s]$ is the value of state with index $s$. A $Q$ function is represented so $q[s][a]$ is the value for doing action with index $a$ state with index $s$. Similarly a policy $\pi$ is represented as a list where $\pi_i[s]$, where $s$ is the index of a state, returns the index of the action.
```python
""]
"carries out \( n \) iterations of value iteration, updating value
function \( \text{self.v} \)
Returns a Q-function, value function, policy
"

print("calling vi")
assert \( n \geq 0 \), "You must carry out at least one iteration of vi.
\( n \) = " + str(n)

\( \# v = v_0 \) if \( v_0 \) is not None else \{s: 0 for s in \text{self.states} \}

for \( i \) in range(\( n \)):
    \text{self.q} = \{s: \{a: \text{self.R(s,a)} + \text{self.discount} \times \sum(\text{p1} \times \text{self.v[s1]}
                        for (s1,pl) in \text{self.P(s,a).items()})
                    for a in \text{self.actions}}\}
    \text{self.v} = \{s: \text{max(\text{self.q[s][a]} for a in \text{self.actions})}
                       for s in \text{self.states} \}
    \text{self.pi} = \{s: \text{argmaxd(\text{self.q[s]})}
                       for s in \text{self.states} \}
return \text{self.q, self.v, self.pi}
```

The following shows how this can be used.

```python
## Testing value iteration
# Try the following:
# pt = party(discount=0.9)
# pt.vi(1)
# pt.vi(100)
# party(discount=0.99).vi(100)
# party(discount=0.4).vi(100)

# gr = grid()
# gr.show()
# q,v,pi = gr.vi(100)
# q[(7,2)]
```

### 9.2.2 Showing Grid MDPs

A GridMDP is a type of MDP where the states are \((x,y)\) positions. It is a
special sort of MDP only because we have methods to show it.

```python
class GridMDP(MDP):
    def __init__(self, states, actions, discount):
        MDP.__init__(self, states, actions, discount)

    def show(self):
        #plt.ion()  # interactive
        fig,(self.ax) = plt.subplots()
        plt.subplots_adjust(bottom=0.2)
```

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```python
stepB = Button(plt.axes([0.8,0.05,0.1,0.075]), "step")
stepB.on_clicked(self.on_step)
resetB = Button(plt.axes([0.6,0.05,0.1,0.075]), "reset")
resetB.on_clicked(self.on_reset)
self.qcheck = CheckButtons(plt.axes([0.2,0.05,0.35,0.075]),
    ["show q-values","show policy"])
self.qcheck.on_clicked(self.show_vals)
self.show_vals(None)
plt.show()

def show_vals(self,event):
    self.ax.cla()
    array = [[self.v[(x,y)] for x in range(self.x_dim)]
    for y in range(self.y_dim)]
    self.ax.pcolormesh([x-0.5 for x in range(self.x_dim+1)],
    [y-0.5 for y in range(self.y_dim+1)],
    array, edgecolors='black',cmap='summer')
    # for cmap see
    if self.qcheck.get_status()[1]: # "show policy"
        for (x,y) in self.q:
            maxv = max(self.q[(x,y)][a] for a in self.actions)
            for a in self.actions:
                if self.q[(x,y)][a] == maxv:
                    # draw arrow in appropriate direction
                    self.ax.arrow(x,y,self.xoff[a]*2,self.yoff[a]*2,
                    color='red',width=0.05, head_width=0.2,
                    length_includes_head=True)
    if self.qcheck.get_status()[0]: # "show q-values"
        self.show_q(event)
    else:
        self.show_v(event)
    self.ax.set_xticks(range(self.x_dim))
    self.ax.set_yticks(range(self.y_dim))
    plt.draw()

def on_step(self,event):
    self.vi(1)
    self.show_vals(event)

def show_v(self,event):
    """show values""
    for (x,y) in self.v:
        self.ax.text(x,y,"{val:.2f}".format(val=self.v[(x,y)]),ha='center')

def show_q(self,event):
    """show q-values""
    for (x,y) in self.q:
```

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Figure 9.5: Interface for tiny example, after a number of steps. Each rectangle represents a state. In each rectangle are the 4 Q-values for the state. The leftmost number is for the left action; the rightmost number is for the right action; the upper most is for the upR (up-risky) action and the lowest number is for the upC action. The arrow points to the action(s) with the maximum Q-value.

```
for a in self.actions:
    self.ax.text(x+self.xoff[a],y+self.yoff[a],
                 "(val:.2f)".format(val=self.q[(x,y)][a]), ha='center')

def on_reset(self,event):
    self.v = self.initv
    self.q = self.initq
    self.show_vals(event)
```

Figure 9.6 shows the user interface, which can be obtained using tiny().show(), resizing it, checking “show q-values” and “show policy”, and clicking “step” a few times.

Figure ?? shows the user interface, which can be obtained using grid().show(),

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resizing it, checking “show q-values” and “show policy”, and clicking “step” a few times.

Exercise 9.1 Computing $q$ before $v$ may seem like a waste of space because we don’t need to store $q$ in order to compute value function or the policy. Change the algorithm so that it loops through the states and actions once per iteration, and only stores the value function and the policy. Note that to get the same results as before, you would need to make sure that you use the previous value of $v$ in the computation not the current value of $v$. Does using the current value of $v$ hurt the algorithm or make it better (in approaching the actual value function)?

9.2.3 Asynchronous Value Iteration

This implements asynchronous value iteration, storing $Q$.

A $Q$ function is represented so $q[s][a]$ is the value for doing action with index $a$ state with index $s$.

```python
def avi(self, n):
    states = list(self.states)
    actions = list(self.actions)
    for i in range(n):
        s = random.choice(states)
        a = random.choice(actions)
        self.q[s][a] = (self.R(s, a) + self.discount *
            sum(p1 * max(self.q[s1][a1]
                for a1 in self.actions)
            for (s1, p1) in self.P(s, a).items()))
    return Q
```

The following shows how avi can be used.

```python
## Testing asynchronous value iteration
# Try the following:
# pt = party(discount=0.9)
# pt.avi(10)
# pt.vi(1000)
# gr = grid()
# q = gr.avi(100000)
# q[(7,2)]
```

Exercise 9.2 Implement value iteration that stores the $V$-values rather than the $Q$-values. Does it work better than storing $Q$? (What might better mean?)

Exercise 9.3 In asynchronous value iteration, try a number of different ways to choose the states and actions to update (e.g., sweeping through the state-action pairs, choosing them at random). Note that the best way may be to determine
Figure 9.6: Interface for grid example, after a number of steps. Each rectangle represents a state. In each rectangle are the 4 Q-values for the state. The leftmost number is the for the left action; the rightmost number is for the right action; the upper most is for the up action and the lowest number is for the down action. The arrow points to the action(s) with the maximum Q-value.
which states have had their Q-values change the most, and then update the previous ones, but that is not so straightforward to implement, because you need to find those previous states.
10.1 K-means

The k-means learner maintains two lists that suffice as sufficient statistics to classify examples, and to learn the classification:

- \(\text{class\_counts}\) is a list such that \(\text{class\_counts}[c]\) is the number of examples in the training set with class \(c\).

- \(\text{feature\_sum}\) is a list such that \(\text{feature\_sum}[i][c]\) is sum of the values for the \(i\)'th feature \(i\) for members of class \(c\). The average value of the \(i\)th feature in class \(c\) is

\[
\frac{\text{feature\_sum}[i][c]}{\text{class\_counts}[c]}
\]

The class is initialized by randomly assigning examples to classes, and updating the statistics for \(\text{class\_counts}\) and \(\text{feature\_sum}\).

```python
from learnProblem import Data_set, Learner, Data_from_file
import random
import matplotlib.pyplot as plt

class K_means_learner(Learner):
    def __init__(self, dataset, num_classes):
        self.dataset = dataset
        self.num_classes = num_classes
        self.random_initialize()

    def random_initialize(self):
```

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# class_counts[c] is the number of examples with class=c
self.class_counts = [0]*self.num_classes
# feature_sum[i][c] is the sum of the values of feature i for class c
self.feature_sum = [[0]*self.num_classes
  for feat in self.dataset.input_features]
for eg in self.dataset.train:
  cl = random.randrange(self.num_classes) # assign eg to random class
  self.class_counts[cl] += 1
  for (ind,feat) in enumerate(self.dataset.input_features):
    self.feature_sum[ind][cl] += feat(eg)
self.num_iterations = 0
self.display(1,"Initial class counts: ",self.class_counts)

The distance from (the mean of) a class to an example is the sum, over all features, of the sum-of-squares differences of the class mean and the example value.

def distance(self,cl,eg):
  """distance of the eg from the mean of the class""
  return sum((self.class_prediction(ind,cl)-feat(eg))**2
    for (ind,feat) in enumerate(self.dataset.input_features))

def class_prediction(self,feat_ind,cl):
  """prediction of the class cl on the feature with index feat_ind""
  if self.class_counts[cl] == 0:
    return 0 # there are no examples so we can choose any value
  else:
    return self.feature_sum[feat_ind][cl]/self.class_counts[cl]

def class_of_eg(self,eg):
  """class to which eg is assigned""
  return (min((self.distance(cl,eg),cl)
    for cl in range(self.num_classes)))[1]
  # second element of tuple, which is a class with minimum distance

One step of k-means updates the class_counts and feature_sum. It uses the old values to determine the classes, and so the new values for class_counts and feature_sum. At the end it determines whether the values of these have changes, and then replaces the old ones with the new ones. It returns an indicator of whether the values are stable (have not changed).

def k_means_step(self):
  """Updates the model with one step of k-means.
  Returns whether the assignment is stable."
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10.1. K-means

```python
new_class_counts = [0]*self.num_classes
# feature_sum[i][c] is the sum of the values of feature i for class c
new_feature_sum = [[0]*self.num_classes
    for feat in self.dataset.input_features]
for eg in self.dataset.train:
    cl = self.class_of_eg(eg)
    new_class_counts[cl] += 1
    for (ind,feat) in enumerate(self.dataset.input_features):
        new_feature_sum[ind][cl] += feat(eg)
stable = (new_class_counts == self.class_counts) and
        (self.feature_sum == new_feature_sum)
self.class_counts = new_class_counts
self.feature_sum = new_feature_sum
self.num_iterations += 1
return stable

def learn(self,n=100):
    """do n steps of k-means, or until convergence""
    i=0
    stable = False
    while i<n and not stable:
        stable = self.k_means_step()
        i += 1
        self.display(1,"Iteration",self.num_iterations,
            "class counts: ",self.class_counts,"Stable="
            Stable="
    return stable

def show_classes(self):
    """sorts the data by the class and prints in order.
   For visualizing small data sets
   """
    class_examples = [[] for i in range(self.num_classes)]
    for eg in self.dataset.train:
        class_examples[self.class_of_eg(eg)].append(eg)
        print("Class","Example",sep='\t')
    for cl in range(self.num_classes):
        for eg in class_examples[cl]:
            print(cl,*eg,sep='\t')

def plot_error(self, maxstep=20):
    """Plots the sum-of-squares error as a function of the number of steps"
    plt.ion()
    plt.xlabel("step")
    plt.ylabel("Ave sum-of-squares error")
    train_errors = []
    if self.dataset.test:
```

- **new_class_counts**: List of counts for each class.
- **new_feature_sum**: List of feature sums for each class.
- **stable**: Flag indicating convergence.
- **learn(n=100)**: Function to perform k-means algorithm for n steps or until convergence.
- **show_classes(self)**: Function to print data sorted by class.
- **plot_error(self, maxstep=20)**: Function to plot error as a function of steps.
test_errors = []
for i in range(maxstep):
    self.learn(1)
    train_errors.append( sum(self.distance(self.class_of_eg(eg), eg)
                            for eg in self.dataset.train)
                        /len(self.dataset.train))
    if self.dataset.test:
        test_errors.append( sum(self.distance(self.class_of_eg(eg), eg)
                                  for eg in self.dataset.test)
                           /len(self.dataset.test))
plt.plot(range(1, maxstep+1), train_errors,
         label='{} classes. Training set'.format(self.num_classes))
if self.dataset.test:
    plt.plot(range(1, maxstep+1), test_errors,
             label='{} classes. Test set'.format(self.num_classes))
plt.legend()
plt.draw()

%data = Data_from_file('data/emdata1.csv', num_train=10,
                        target_index=2000) % trivial example
data = Data_from_file('data/emdata2.csv', num_train=10, target_index=2000)
%data = Data_from_file('data/emdata0.csv', num_train=14,
                        target_index=2000) % example from textbook
kml = K_means_learner(data,2)
num_iter=4
print("Class assignment after",num_iter,"iterations:")
kml.learn(num_iter); kml.show_classes()

# Plot the error
# km2=K_means_learner(data,2); km2.plot_error(20) # 2 classes
# km3=K_means_learner(data,3); km3.plot_error(20) # 3 classes
# km13=K_means_learner(data,13); km13.plot_error(20) # 13 classes

# data = Data_from_file('data/carbool.csv',
#                      target_index=2000,boolean_features=True)
# kml = K_means_learner(data,3)
# kml.learn(20); kml.show_classes()
# km3=K_means_learner(data,3); km3.plot_error(20) # 3 classes
# km3=K_means_learner(data,30); km3.plot_error(20) # 30 classes

**Exercise 10.1** Change `boolean_features = True` flag to allow for numerical features. K-means assumes the features are numerical, so we want to make non-numerical features into numerical features (using characteristic functions) but we probably don’t want to change numerical features into Boolean.

**Exercise 10.2** If there are many classes, some of the classes can become empty (e.g., try 100 classes with carbool.csv). Implement a way to put some examples into a class, if possible. Two ideas are:

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(a) Initialize the classes with actual examples, so that the classes will not start empty. (Do the classes become empty?)

(b) In \texttt{class\_prediction}, we test whether the code is empty, and make a prediction of 0 for an empty class. It is possible to make a different prediction to “steal” an example (but you should make sure that a class has a consistent value for each feature in a loop).

Make your own suggestions, and compare it with the original, and whichever of these you think may work better.

\section{10.2 EM}

In the following definition, a class, $c$, is an integer in range $[0, \text{num\_classes}]$. $i$ is an index of a feature, so $\text{feat}[i]$ is the $i$th feature, and a feature is a function from tuples to values. $\text{val}$ is a value of a feature.

A model consists of 2 lists, which form the sufficient statistics:

- $\text{class\_counts}$ is a list such that $\text{class\_counts}[c]$ is the number of tuples with $\text{class} = c$, where each tuple is weighted by its probability, i.e.,

$$
\text{class\_counts}[c] = \sum_{t: \text{class}(t) = c} P(t)
$$

- $\text{feature\_counts}$ is a list such that $\text{feature\_counts}[i][\text{val}][c]$ is the weighted count of the number of tuples $t$ with $\text{feat}[i](t) = \text{val}$ and $\text{class}(t) = c$, each tuple is weighted by its probability, i.e.,

$$
\text{feature\_counts}[i][\text{val}][c] = \sum_{t: \text{feat}[i](t) = \text{val} \text{ and } \text{class}(t) = c} P(t)
$$

 learnedEM.py — EM Learning

```python
from learnProblem import Data_set, Learner, Data_from_file
import random
import math
import matplotlib.pyplot as plt

class EM_learner(Learner):
    def __init__(self, dataset, num_classes):
        self.dataset = dataset
        self.num_classes = num_classes
        self.class_counts = None
        self.feature_counts = None

The function \textit{em\_step} goes though the training examples, and updates these counts. The first time it is run, when there is no model, it uses random distributions.

\url{http://aipython.org}
def em_step(self, orig_class_counts, orig_feature_counts):
    """updates the model."""
    class_counts = [0]*self.num_classes
    feature_counts = [{val:[0]*self.num_classes
        for val in feat.frange}
        for feat in self.dataset.input_features]
    for tple in self.dataset.train:
        if orig_class_counts: # a model exists
            tpl_class_dist = self.prob(tple, orig_class_counts,
                orig_feature_counts)
        else: # initially, with no model, return a random
            # distribution
            tpl_class_dist = random_dist(self.num_classes)
        for cl in range(self.num_classes):
            class_counts[cl] += tpl_class_dist[cl]
        for (ind,feat) in enumerate(self.dataset.input_features):
            feature_counts[ind][feat(tple)][cl] += tpl_class_dist[cl]
    return class_counts, feature_counts

prob computes the probability of a class c for a tuple tpl, given the current
statistics.

\[
P(c \mid tple) \propto P(c) \prod_i P(X_i=tple(i) \mid c) = \frac{\text{class_counts}[c]}{\text{len}(self.dataset)} \prod_i \frac{\text{feature_counts}[i][\text{feat}(tple)][c]}{\text{class_counts}[c]} \propto \prod_i \frac{\text{feature_counts}[i][\text{feat}(tple)][c]}{\text{class_counts}[c][\text{feats}]^{-1}}\]

The last step is because len(self.dataset) is a constant (independent of c). class_counts[c]
can be taken out of the product, but needs to be raised to the power of the num-
ber of features, and one of them cancels.

def prob(self, tple, class_counts, feature_counts):
    """returns a distribution over the classes for tuple tple in the
    model defined by the counts"
    feats = self.dataset.input_features
    unnorm = [prod(feature_counts[i][feat(tple)][c])
        for (i,feat) in enumerate(feats)]
        /((class_counts[c]**(len(feats)-1)))
    for c in range(self.num_classes)
        thesum = sum(unnorm)
    return [un/thesum for un in unnorm]

learn does n steps of EM:
def learn(self, n):
    """do n steps of EM"""
    for i in range(n):
        self.class_counts, self.feature_counts =
        self.em_step(self.class_counts,
                     self.feature_counts)

The following is for visualizing the classes. It prints the dataset ordered by the
probability of class c.

def show_class(self, c):
    """sorts the data by the class and prints in order.
    For visualizing small data sets
    """
    sorted_data =
    sorted(((self.prob(tpl, self.class_counts, self.feature_counts)[c],
            ind,  # preserve ordering for equal
               probabilities
            tpl)
           for (ind, tpl) in enumerate(self.dataset.train))
    for cc, r, tpl in sorted_data:
        print(cc, *tpl, sep=' \t')

The following are for evaluating the classes.
The probability of a tuple can be evaluated by marginalizing over the classes:

\[
P(tple) = \sum_c P(c) \prod_i P(X_i = tple(i) \mid c)
= \sum_c \frac{cc[c]}{\text{len}(self.dataset)} \prod_i \frac{fc[i][\text{feat}_i(tple)][c]}{cc[c]}
\]

where \(cc\) is the class count and \(fc\) is feature count. \(\text{len}(self.dataset)\) can be dis-
tributed out of the sum, and \(cc[c]\) can be taken out of the product:

\[
= \frac{1}{\text{len}(self.dataset)} \sum_c \frac{1}{cc[c]^{\text{#feats} - 1}} \prod_i \frac{fc[i][\text{feat}_i(tple)][c]}{cc[c]}
\]

Given the probability of each tuple, we can evaluate the logloss, as the negative
of the log probability:

def logloss(self, tple):
    """returns the logloss of the prediction on tple, which is
    -log(P(tple))
    based on the current class counts and feature counts
    """
    feats = self.dataset.input_features
    res = 0
    cc = self.class_counts
    fc = self.feature_counts

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for c in range(self.num_classes):
    res += prod(fc[i][feat(tple)][c]
        for (i, feat) in enumerate(feats))/((cc[c]**(len(feats)-1))
if res>0:
    return -math.log2(res/len(self.dataset.train))
else:
    return float("inf") #infinity

def plot_error(self, maxstep=20):
    """Plots the logloss error as a function of the number of steps""
    plt.ion()
    plt.xlabel("step")
    plt.ylabel("Ave Logloss (bits)")
    train_errors = []
    if self.dataset.test:
        test_errors = []
    for i in range(maxstep):
        self.learn(1)
        train_errors.append( sum(self.logloss(tple) for tple in self.dataset.train) /
            len(self.dataset.train)))
        if self.dataset.test:
            test_errors.append( sum(self.logloss(tple) for tple in self.dataset.test) /
            len(self.dataset.test))
    plt.plot(range(1,maxstep+1),train_errors,
        label=str(self.num_classes)+" classes. Training set")
    if self.dataset.test:
        plt.plot(range(1,maxstep+1),test_errors,
            label=str(self.num_classes)+" classes. Test set")
    plt.legend()
    plt.draw()

def prod(L):
    """returns the product of the elements of L""
    res = 1
    for e in L:
        res *= e
    return res

def random_dist(k):
    """generate k random numbers that sum to 1""
    res = [random.random() for i in range(k)]
    s = sum(res)
    return [v/s for v in res]

data = Data_from_file('data/emdata2.csv', num_train=10, target_index=2000)
eml = EM_learner(data,2)
num_iter=2

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Exercise 10.3  For the EM data, where there are naturally 2 classes, 3 classes does better on the training set after a while than 2 classes, but worse on the test set. Explain why. Hint: look what the 3 classes are. Use “em3.show_class(i)” for each of the classes $i \in [0,3]$.

Exercise 10.4  Write code to plot the logloss as a function of the number of classes (from 1 to say 15) for a fixed number of iterations. (From the experience with the existing code, think about how many iterations is appropriate.)
11.1 Minimax

Here we consider two-player zero-sum games. Here a player only wins when another player loses. This can be modeled as where there is a single utility which one agent (the maximizing agent) is trying minimize and the other agent (the minimizing agent) is trying to minimize.

11.1.1 Creating a two-player game

```python
from display import Displayable

class Node(Displayable):
    """A node in a search tree. It has a
    name a string
    isMax is True if it is a maximizing node, otherwise it is minimizing
    node
    children is the list of children
    value is what it evaluates to if it is a leaf.
    """
    def __init__(self, name, isMax, value, children):
        self.name = name
        self.isMax = isMax
        self.value = value
        self.allchildren = children
    def isLeaf(self):
        """returns true of this is a leaf node""
        return self.allchildren is None
```
```python
def children(self):
    # returns the list of all children.
    return self.allchildren

def evaluate(self):
    # returns the evaluation for this node if it is a leaf
    return self.value
```

The following gives the tree from Figure 11.5 of the book. Note how 888 is used as a value here, but never appears in the trace.

```python
fig10_5 = Node("a",True,None, [
    Node("b",False,None, [
        Node("d",True,None, [
            Node("h",False,None, [
                Node("h1",True,7,None),
                Node("h2",True,9,None))],
            Node("i",False,None, [
                Node("i1",True,6,None),
                Node("i2",True,888,None))]),
        Node("e",True,None, [
            Node("j",False,None, [
                Node("j1",True,11,None),
                Node("j2",True,12,None))],
            Node("k",False,None, [
                Node("k1",True,888,None),
                Node("k2",True,888,None))]),
        Node("c",False,None, [
            Node("f",True,None, [
                Node("l",False,None, [
                    Node("l1",True,5,None),
                    Node("l2",True,888,None))],
                Node("m",False,None, [
                    Node("m1",True,4,None),
                    Node("m2",True,888,None))]),
            Node("g",True,None, [
                Node("n",False,None, [
                    Node("n1",True,888,None),
                    Node("n2",True,888,None))],
                Node("o",False,None, [
                    Node("o1",True,888,None),
                    Node("o2",True,888,None))])])
])
```

The following is a representation of a magic-sum game, where players take turns picking a number in the range $[1, 9]$, and the first player to have 3 numbers that sum to 15 wins. Note that this is a syntactic variant of tic-tac-toe or naughts and crosses. To see this, consider the numbers on a magic square (Figure 11.1); 3 numbers that add to 15 correspond exactly to the winning positions.
of tic-tac-toe played on the magic square.

Note that we do not remove symmetries. (What are the symmetries? How do the symmetries of tic-tac-toe translate here?)

```python
class Magic_sum(Node):
    def __init__(self, xmove=True, last_move=None,
                 available=[1,2,3,4,5,6,7,8,9], x=[], o=[]):
        # This is a node in the search for the magic-sum game.
        # xmove is True if the next move belongs to X.
        # last_move is the number selected in the last move
        # available is the list of numbers that are available to be chosen
        # x is the list of numbers already chosen by x
        # o is the list of numbers already chosen by o
        self.isMax = self.xmove = xmove
        self.last_move = last_move
        self.available = available
        self.x = x
        self.o = o
        self.allchildren = None #computed on demand
        lm = str(last_move)
        self.name = "start" if not last_move else "o="+lm if xmove else "x="+lm

    def children(self):
        if self.allchildren is None:
            if self.xmove:
                self.allchildren = [
                    Magic_sum(xmove = not self.xmove,
                               last_move = sel,
                               available = [e for e in self.available if e is not sel],
                               x = self.x+[sel],
                               o = self.o)
                        for sel in self.available]
            else:
                self.allchildren = [
                    Magic_sum(xmove = not self.xmove,
                               last_move = sel,
                               available = [e for e in self.available if e is not sel],
```

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x = self.x,
o = self.o+[sel])
for sel in self.available]
return self.allchildren

def isLeaf(self):
    """A leaf has no numbers available or is a win for one of the
    players.
    We only need to check for a win for o if it is currently x's turn,
    and only check for a win for x if it is o's turn (otherwise it would
    have been a win earlier).
    """
    return (self.available == [] or
        (sum_to_15(self.last_move,self.o)
        if self.xmove
        else sum_to_15(self.last_move,self.x))

def evaluate(self):
    if self.xmove and sum_to_15(self.last_move,self.o):
        return -1
    elif not self.xmove and sum_to_15(self.last_move,self.x):
        return 1
    else:
        return 0

def sum_to_15(last,selected):
    """is true if last, together with two other elements of selected sum
to 15.
    """
    return any(last+a+b == 15
        for a in selected if a != last
        for b in selected if b != last and b != a)
11.1. Minimax

11.1.2 Minimax and $\alpha$-$\beta$ Pruning

This is a naive depth-first minimax algorithm:

```python
def minimax(node, depth):
    ""
    returns the value of node, and a best path for the agents
    ""
    if node.isLeaf():
        return node.evaluate(), None
    elif node.isMax:
        max_score = float("-inf")
        max_path = None
        for C in node.children():
            score, path = minimax(C, depth+1)
            if score > max_score:
                max_score = score
                max_path = C.name, path
        return max_score, max_path
    else:
        min_score = float("inf")
        min_path = None
        for C in node.children():
            score, path = minimax(C, depth+1)
            if score < min_score:
                min_score = score
                min_path = C.name, path
        return min_score, min_path
```

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The following is a depth-first minimax with \( \alpha-\beta \) pruning. It returns the value for a node as well as a best path for the agents.

```python
def minimax_alpha_beta(node, alpha, beta, depth=0):
    """node is a Node, alpha and beta are cutoffs, depth is the depth
    returns value, path
    where path is a sequence of nodes that results in the value
    ""
    node.display(2, "depth","minimax_alpha_beta("node.name","",alpha,"",
    ", beta,")")
    best=None    # only used if it will be pruned
    if node.isLeaf():
        node.display(2, "depth","returning leaf value",node.evaluate())
        return
    elif node.isMax:
        for C in node.children():
            score,path = minimax_alpha_beta(C, alpha, beta, depth+1)
            if score >= beta:  # beta pruning
                node.display(2, "depth","pruned due to
                beta","beta","C="",C.name)
                return score, None
            if score > alpha:
                alpha = score
                best = C.name, path
                node.display(2, "depth","returning max alpha",alpha,"best",best)
                return alpha,best
        else:
            for C in node.children():
                score,path = minimax_alpha_beta(C, alpha, beta, depth+1)
                if score <= alpha:  # alpha pruning
                    node.display(2, "depth","pruned due to
                    alpha","alpha","C="",C.name)
                    return score, None
                if score < beta:
                    beta=score
                    best = C.name, path
                    node.display(2, "depth","returning min beta",beta,"best="",best)
                    return beta,best
```

Testing:

```python
from masProblem import fig10_5, Magic_sum, Node

# Node.max_display_level=2  # print detailed trace
# minimax_alpha_beta(fig10_5, -9999, 9999,0)
# minimax_alpha_beta(Magic_sum(), -9999, 9999,0)

#To see how much time alpha-beta pruning can save over minimax, uncomment the following:

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```

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## import timeit
## timeit.Timer("minimax(Magic_sum(),0)",setup="from __main__ import 
minimax, Magic_sum"
## ).timeit(number=1)
## trace=False
## timeit.Timer("minimax_alpha_beta(Magic_sum(), -9999, 9999,0)",
## setup="from __main__ import minimax_alpha_beta, Magic_sum"
## ).timeit(number=1)
12.1 Representing Agents and Environments

When the learning agent does an action in the environment, it observes a \((state, reward)\) pair from the environment. The \(state\) is the world state; this is the fully observable assumption.

An RL environment implements a \(do(action)\) method that returns a \((state, reward)\) pair.

```python
import random
from display import Displayable
from utilities import flip

class RL_env(Displayable):
    def __init__(self, actions, state):
        self.actions = actions  # set of actions
        self.state = state      # initial state

    def do(self, action):
        """do action
        returns state, reward
        ""
        raise NotImplementedError("RL_env.do")  # abstract method
```

Here is the definition of the simple 2-state, 2-action party/relax decision.

```python
class Healthy_env(RL_env):
    def __init__(self):
        RL_env.__init__(self, ["party", "relax"], "healthy")
```
def do(self, action):
    # updates the state based on the agent doing action.
    returns state, reward
    """
    if self.state=="healthy":
        if action=="party":
            self.state = "healthy" if flip(0.7) else "sick"
            reward = 10
        else: # action=="relax"
            self.state = "healthy" if flip(0.95) else "sick"
            reward = 7
    else: # self.state=="sick"
        if action=="party":
            self.state = "healthy" if flip(0.1) else "sick"
            reward = 2
        else:
            self.state = "healthy" if flip(0.5) else "sick"
            reward = 0
    return self.state, reward

12.1.1 Simulating an environment from an MDP

Given the definition for an MDP (page 230), Env_from_MDP takes in an MDP and simulates the environment with those dynamics.

Note that the MDP does not contain enough information to simulate a system, because it loses any dependency between the rewards and the resulting state; here we assume the agent always received the average reward for the state and action.

class Env_from_MDP(RL_env):
    # update the state based on the agent doing action.
    returns state, reward
    """
    action_ind = self.action_index[action]
    state_ind = self.state_index[self.state]
    self.state = np.random.choice(self.mdp.states, p=self.mdp.trans[state_ind][action_ind])
    reward = self.mdp.reward[state_ind][action_ind]
12.1. Representing Agents and Environments

![Monster game diagram](image)

**Figure 12.1: Monster game**

```python
return self.state, reward
def pick_from_dist(dist,values):
    """e.g. pick_from_dist([0.3,0.5,0.2],['a','b','c']) should pick 'a' with probability 0.3, etc.""
    ran = random.random()
    i=0
    while ran>dist[i]:
        ran -= dist[i]
        i += 1
    return values[i]
```

12.1.2 Simple Game

This is for the game depicted in Figure [12.1]

```python
import random
from utilities import flip
from rlProblem import RL_env
class Simple_game_env(RL_env):
    xdim = 5
    ydim = 5
    vwalls = [(0,3), (0,4), (1,4)] # vertical walls right of these locations
    hwalls = [] # not implemented
    crashed_reward = -1
```

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prize_locs = [(0,0), (0,4), (4,0), (4,4)]
prize_appears_prob = 0.3
prize_reward = 10

monster_locs = [(0,1), (1,1), (2,3), (3,1), (4,2)]
monster_appears_prob = 0.4
monster_reward_when_damaged = -10
repair_stations = [(1,4)]

actions = ["up","down","left","right"]

def __init__(self):
    # State:
    self.x = 2
    self.y = 2
    self.damaged = False
    self.prize = None
    # Statistics
    self.number_steps = 0
    self.total_reward = 0
    self.min_reward = 0
    self.min_step = 0
    self.zero_crossing = 0
    RL_env.__init__(self, Simple_game_env.actions,
                    (self.x, self.y, self.damaged, self.prize))
    self.display(2,"","Step","Tot Rew","Ave Rew",sep="\t")

def do(self,action):
    """updates the state based on the agent doing action.
    returns state,reward
    """
    reward = 0.0
    # A prize can appear:
    if self.prize is None and flip(self.prize_appears_prob):
        self.prize = random.choice(self.prize_locs)
    # Actions can be noisy
    if flip(0.4):
        actual_direction = random.choice(self.actions)
    else:
        actual_direction = action
    # Modeling the actions given the actual direction
    if actual_direction == "right":
        if self.x==self.xdim-1 or (self.x,self.y) in self.vwalls:
            reward += self.crashed_reward
        else:
            self.x += 1
    elif actual_direction == "left":
        if self.x==0 or (self.x-1,self.y) in self.vwalls:
            reward += self.crashed_reward
else:
    self.x += -1
elif actual_direction == "up":
    if self.y==self.ydim-1:
        reward += self.crashed_reward
    else:
        self.y += 1
elif actual_direction == "down":
    if self.y==0:
        reward += self.crashed_reward
    else:
        self.y += -1
else:
    raise RuntimeError("unknown_direction "+str(direction))

# Monsters
if (self.x,self.y) in self.monster_locs and flip(self.monster_appears_prob):
    if self.damaged:
        reward += self.monster_reward_when_damaged
    else:
        self.damaged = True
if (self.x,self.y) in self.repair_stations:
    self.damaged = False

# Prizes
if (self.x,self.y) == self.prize:
    reward += self.prize_reward
    self.prize = None

# Statistics
self.number_steps += 1
self.total_reward += reward
if self.total_reward < self.min_reward:
    self.min_reward = self.total_reward
    self.min_step = self.number_steps
if self.total_reward>0 and reward>self.total_reward:
    self.zero_crossing = self.number_steps
    self.display(2,"",self.number_steps,self.total_reward,
        self.total_reward/self.number_steps,sep="\t")

return (self.x, self.y, self.damaged, self.prize), reward

12.1.3 Evaluation and Plotting

import matplotlib.pyplot as plt

def plot_rl(ag, label=None, yplot='Total', step_size=None,
    http://aipython.org  Version 0.9.3  December 15, 2021
steps_explore=1000, steps_exploit=1000, xscale='linear'):

```python
plots the agent ag
label is the label for the plot
yplot is 'Average' or 'Total'
step_size is the number of steps between each point plotted
steps_explore is the number of steps the agent spends exploring
steps_exploit is the number of steps the agent spends exploiting
xscale is 'log' or 'linear'

returns total reward when exploring, total reward when exploiting

assert yplot in ['Average', 'Total']
if step_size is None:
    step_size = max(1, (steps_explore + steps_exploit) // 500)
if label is None:
    label = ag.label
ag.max_display_level, old_mdl = 1, ag.max_display_level
plt.ion()
plt.xscale(xscale)
plt.xlabel("step")
plt.ylabel(yplot+" reward")
steps = []  # steps
rewards = []  # return
ag.restart()
step = 0
while step < steps_explore:
    ag.do(step_size)
    step += step_size
    steps.append(step)
    if yplot == "Average":
        rewards.append(ag.acc_rewards/step)
    else:
        rewards.append(ag.acc_rewards)

acc_rewards_exploring = ag.acc_rewards
ag.explore, explore_save = 0, ag.explore
while step < steps_explore+steps_exploit:
    ag.do(step_size)
    step += step_size
    steps.append(step)
    if yplot == "Average":
        rewards.append(ag.acc_rewards/step)
    else:
        rewards.append(ag.acc_rewards)
plt.plot(steps, rewards, label=label)
plt.legend(loc="upper left")
plt.draw()
ag.max_display_level = old_mdl
ag.explore = explore_save
return acc_rewards_exploring, ag.acc_rewards - acc_rewards_exploring
```

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12.2 Q Learning

To run the Q-learning demo, in folder “aipython”, load “rlQTest.py”, and copy and paste the example queries at the bottom of that file. This assumes Python 3.

```python
import random
from display import Displayable
from utilities import argmaxe, flip

class RL_agent(Displayable):
    """An RL_Agent has percepts (s, r) for some state s and real reward r""


class Q_learner(RL_agent):
    """A Q-learning agent has belief-state consisting of:
    state is the previous state
    q is a {(state,action):value} dict
    visits is a {(state,action):n} dict. n is how many times action was done in state
    acc_rewards is the accumulated reward
    it observes (s, r) for some world-state s and real reward r"


def __init__(self, env, discount, explore=0.1, fixed_alpha=True,
            alpha=0.2,
            alpha_fun=lambda k:1/k,
            qinit=0, label="Q_learner"):
    """env is the environment to interact with.
    discount is the discount factor
    explore is the proportion of time the agent will explore
    fixed_alpha specifies whether alpha is fixed or varies with the number of visits
    alpha is the weight of new experiences compared to old experiences
    alpha_fun is a function that computes alpha from the number of visits
    qinit is the initial value of the Q's
    label is the label for plotting"
    RL_agent.__init__(self)
    self.env = env
    self.actions = env.actions
```

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restart is used to make the learner relearn everything. This is used by the plotter to create new plots.

```python
def restart(self):
    """make the agent relearn, and reset the accumulated rewards
    """
    self.acc_rewards = 0
    self.state = self.env.state
    self.q = {}
    self.visits = {}
```

does not take in the number of steps.

```python
def do(self,num_steps=100):
    """do num_steps of interaction with the environment""
    self.display(2,"s\ta\tr\ts\'Q")
    alpha = self.alpha
    for i in range(num_steps):
        action = self.select_action(self.state)
        next_state, reward = self.env.do(action)
        if not self.fixed_alpha:
            k = self.visits[(self.state, action)] =
                self.visits.get((self.state, action), 0)+1
            alpha = self.alpha_fun(k)
            self.q[(self.state, action)] = (
            (1-alpha) * self.q.get((self.state, action),self.qinit)
            + alpha * (reward + self.discount
            * max(self.q.get((next_state,
                next_act),self.qinit)
            for next_act in self.actions)))
        self.display(2,next_state, reward, next_state,
            self.q[(self.state, action)], sep='\t')
        self.state = next_state
        self.acc_rewards += reward
```

`select_action` is used to select the next action to perform. This can be reimplemented to give a different exploration strategy.

```python
def select_action(self, state):
    """returns an action to carry out for the current agent
```
12.2. Q Learning

given the state, and the q-function

```python
if flip(self.explore):
    return random.choice(self.actions)
else:
    return argmaxe((next_act, self.q.get((state,
                                           next_act),self.qinit))
                        for next_act in self.actions)
```

**Exercise 12.1** Implement a soft-max action selection. Choose a temperature that works well for the domain. Explain how you picked this temperature. Compare the epsilon-greedy, soft-max and optimism in the face of uncertainty.

**Exercise 12.2** Implement SARSA. Hint: it does not do a `max` in `do`. Instead it needs to choose `next_act` before it does the update.

### 12.2.1 Testing Q-learning

The first tests are for the 2-action 2-state

```python
from rlProblem import Healthy_env
from rlQLearner import Q_learner
from rlPlot import plot_rl

env = Healthy_env()
ag = Q_learner(env, 0.7)
ag_opt = Q_learner(env, 0.7, qinit=100, label="optimistic") # optimistic agent
ag_exp_l = Q_learner(env, 0.7, explore=0.01, label="less explore")
ag_exp_m = Q_learner(env, 0.7, explore=0.5, label="more explore")
ag_disc = Q_learner(env, 0.9, qinit=100, label="disc 0.9")
ag_va = Q_learner(env, 0.7, qinit=100,fixed_alpha=False,alpha_fun=lambda k:10/(9+k),label="alpha=10/(9+k)")

# ag.max_display_level = 2
# ag.do(20)
# ag.q # get the learned q-values
# ag.max_display_level = 1
# ag.do(1000)
# ag.q # get the learned q-values
# plot_r1(ag,yplot="Average")
# plot_r1(ag_opt,yplot="Average")
# plot_r1(ag_exp_l,yplot="Average")
# plot_r1(ag_exp_m,yplot="Average")
# plot_r1(ag_disc,yplot="Average")
# plot_r1(ag_va,yplot="Average")

from mdpExamples import MDPtiny
from rlProblem import Env_from_MDP
envt = Env_from_MDP(MDPtiny())
```

[http://aipython.org](http://aipython.org)
12. Reinforcement Learning

```python
agt = Q_learner(envt, 0.8)
# agt.do(20)

from rlSimpleEnv import Simple_game_env
senv = Simple_game_env()
sag1 = Q_learner(senv,0.9,explore=0.2,fixed_alpha=True,alpha=0.1)

plot_rl(sag1,steps_explore=100000,steps_exploit=100000,label="alpha=()+str(sag1.alpha))

sag2 = Q_learner(senv,0.9,explore=0.2,fixed_alpha=False)

# plot_rl(sag2,steps_explore=100000,steps_exploit=100000,label="alpha=1/k")

sag3 = Q_learner(senv,0.9,explore=0.2,fixed_alpha=False,alpha_fun=lambda
    k:10/(9+k))

# plot_rl(sag3,steps_explore=100000,steps_exploit=100000,label="alpha=10/(9+k)")

12.3 Q-learning with Experience Replay

Warning: not properly debugged
```

```python
from rlQLearner import Q_learner
from utilities import flip
import random

class BoundedBuffer(object):
    def __init__(self, buffer_size=1000):
        self.buffer_size = buffer_size
        self.buffer = [0]*buffer_size
        self.number_added = 0

    def add(self,experience):
        if self.number_added < self.buffer_size:
            self.buffer[self.number_added] = experience
        else:
            if flip(self.buffer_size/self.number_added):
                position = random.randrange(self.buffer_size)
                self.buffer[position] = experience
            self.number_added += 1

    def get(self):
        return self.buffer[random.randrange(min(self.number_added, self.buffer_size))]

class Q_AR_learner(Q_learner):
    def __init__(self, env, discount, explore=0.1, fixed_alpha=True, alpha=0.2, alpha_fun=lambda
        k:1/k, qinit=0, label="Q_AR_learner",
        max_buffer_size=5000,
        num_updates_per_action=5, burn_in=1000):
```

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12.3. Q-learning with Experience Replay

```python
Q_learner.__init__(self, env, discount, explore, fixed_alpha, alpha,
    alpha_fun, qinit, label)
self.experience_buffer = BoundedBuffer(max_buffer_size)
self.num_updates_per_action = num_updates_per_action
self.burn_in = burn_in

def do(self, num_steps=100):
    """do num_steps of interaction with the environment""
    self.display(2, "s\ta\tr\ts\'tQ")
    alpha = self.alpha
    for i in range(num_steps):
        action = self.select_action(self.state)
        next_state, reward = self.env.do(action)
        self.experience_buffer.add((self.state, action, reward, next_state))
        #remember experience
        if not self.fixed_alpha:
            k = self.visits[(self.state, action)] =
                self.visits.get((self.state, action), 0) + 1
            alpha = self.alpha_fun(k)
        self.q[(self.state, action)] =
            (1-alpaha) * self.q.get((self.state, action), self.qinit)
            + alpha * (reward + self.discount
                * max(self.q.get((next_state,
                    next_act), self.qinit)
                      for next_act in self.actions))
        self.display(2, self.state, action, reward, next_state,
            self.q[(self.state, action)], sep='\t')
        self.state = next_state
        self.acc_rewards += reward
        # do some updates from experience buffer
        if self.experience_buffer.number_added > self.burn_in:
            for i in range(self.num_updates_per_action):
                (s, a, r, ns) = self.experience_buffer.get()
                if not self.fixed_alpha:
                    k = self.visits[(s, a)]
                    alpha = self.alpha_fun(k)
                    self.q[(s, a)] =
                        (1-alpaha) * self.q[(s, a)]
                        + alpha * (reward + self.discount
                            * max(self.q.get((ns, na), self.qinit)
                                for na in self.actions))
```

```
from rlSimpleEnv import Simple_game_env
from rlQTest import sag1, sag2, sag3
from rlPlot import plot_rl
senv = Simple_game_env()
saglar = Q_AR_learner(senv, 0.9, explore=0.2, fixed_alpha=True, alpha=0.1)
```
12. Reinforcement Learning

```python
# plot_rl(sag1ar,steps_explore=100000,steps_exploit=100000,label="AR
alpha="+str(sag1ar.alpha))
sag2ar = Q_AR_learner(senv,0.9,explore=0.2,fixed_alpha=False)
# plot_rl(sag2ar,steps_explore=100000,steps_exploit=100000,label="AR
alpha=1/k")
sag3ar = Q_AR_learner(senv,0.9,explore=0.2,fixed_alpha=False,alpha_fun=
lambda k:10/(9+k))
# plot_rl(sag3ar,steps_explore=100000,steps_exploit=100000,label="AR
alpha=10/(9+k")
```

12.4 Model-based Reinforcement Learner

To run the demo, in folder "aipython", load "rlModelLearner.py", and copy and paste the example queries at the bottom of that file. This assumes Python 3.

A model-based reinforcement learner builds a Markov decision process model of the domain, simultaneously learns the model and plans with that model.

The model-based reinforcement learner used the following data structures:

- \( q[s,a] \) is dictionary that, given a \((s,a)\) pair returns the Q-value, the estimate of the future (discounted) value of being in state \(s\) and doing action \(a\).

- \( r[s,a] \) is dictionary that, given a \((s,a)\) pair returns the average reward from doing \(a\) in state \(s\).

- \( t[s,a,s'] \) is dictionary that, given a \((s,a,s')\) tuple returns the number of times \(a\) was done in state \(s\), with the result being state \(s'\).

- \( visits[s,a] \) is dictionary that, given a \((s,a)\) pair returns the number of times action \(a\) was carried out in state \(s\).

- \( res\_states[s,a] \) is dictionary that, given a \((s,a)\) pair returns the list of resulting states that have occurred when action \(a\) was carried out in state \(s\). This is used in the asynchronous value iteration to determine the \(s'\) states to sum over.

- \( visits\_list \) is a list of \((s,a)\) pair that have been carried out. This is used to ensure there is no divide-by zero in the asynchronous value iteration. Note that this could be constructed from \(r\), \(visits\) or \(res\_states\) by enumerating the keys, but needs to be a list for \(random.choice\), and we don’t want to keep recreating it.

[http://aipython.org](http://aipython.org)
import random
from rQLearner import RL_agent
from display import Displayable
from utilities import argmaxe, flip

class Model_based_reinforcement_learner(RL_agent):
    """A Model-based reinforcement learner
    ""
    def __init__(self, env, discount, explore=0.1, qinit=0,
                 updates_per_step=10, label="MBR_learner"):
        """env is the environment to interact with.
discount is the discount factor
explore is the proportion of time the agent will explore
qinit is the initial value of the Q's
updates_per_step is the number of AVI updates per action
label is the label for plotting
""
        RL_agent.__init__(self)
        self.env = env
        self.actions = env.actions
        self.discount = discount
        self.explore = explore
        self.qinit = qinit
        self.updates_per_step = updates_per_step
        self.label = label
        self.restart()

    def restart(self):
        """make the agent relearn, and reset the accumulated rewards
        ""
        self.acc_rewards = 0
        self.state = self.env.state
        self.q = {} # {(st,action):q_value} map
        self.r = {} # {(st,action):reward} map
        self.t = {} # {(st,action,st_next):count} map
        self.visits = {} # {(st,action):count} map
        self.res_states = {} # {(st,action):set_of_states} map
        self.visits_list = [] # list of (st,action)
        self.previous_action = None

    def do(self,num_steps=100):
        """do num_steps of interaction with the environment
        for each action, do updates_per_step iterations of asynchronous
        value iteration
        ""
        for step in range(num_steps):
pst = self.state  # previous state
action = self.select_action(pst)
self.state,reward = self.env.do(action)
self.acc_rewards += reward
self.t[(pst,action,self.state)] = self.t.get((pst, action,self.state),0)+1
if (pst,action) in self.visits:
    self.visits[(pst,action)] += 1
    self.r[(pst,action)] +=
    (reward-self.r[(pst,action)])/self.visits[(pst,action)]
    self.res_states[(pst,action)].add(self.state)
else:
    self.visits[(pst,action)] = 1
    self.r[(pst,action)] = reward
    self.res_states[(pst,action)] = {self.state}
    self.visits_list.append((pst,action))
st,act = pst,action  # initial state-action pair for AVI
for update in range(self.updates_per_step):
    self.q[(st,act)] = self.r[(st,act)]+self.discount*
    sum(self.t[(st,act,rst)]/self.visits[(st,act)]*
    max(self.q.get((rst,nact),self.qinit) for nact in
    self.actions)
    for rst in self.res_states[(st,act)])
st,act = random.choice(self.visits_list)

def select_action(self, state):
    """returns an action to carry out for the current agent
      given the state, and the q-function
    """
    if flip(self.explore):
        return random.choice(self.actions)
    else:
        return argmaxe((next_act, self.q.get((state,
                      next_act),self.qinit))
                  for next_act in self.actions)

from rlQTest import senv  # simple game environment
mbl1 = Model_based_reinforcement_learner(senv,0.9,updates_per_step=10)

# plot_r1(mbl1,steps_explore=100000,steps_exploit=100000,label="model-based(10)")
mbl2 = Model_based_reinforcement_learner(senv,0.9,updates_per_step=1)

# plot_r1(mbl2,steps_explore=100000,steps_exploit=100000,label="model-based(1)")

Exercise 12.3  If there was only one update per step, the algorithm can be made
simpler and use less space. Explain how. Does it make it more efficient? Is it
worthwhile having more than one update per step for the games implemented
here?

http://aipython.org  Version 0.9.3  December 15, 2021
Exercise 12.4 It is possible to implement the model-based reinforcement learner by replacing \( q, r, \text{visits}, \text{res\_states} \) with a single dictionary that returns a tuple \((q, r, v, tm)\) where \( q, r \) and \( v \) are numbers, and \( tm \) is a map from resulting states into counts. Does this make the algorithm easier to understand? Does this make the algorithm more efficient?

Exercise 12.5 If the states and the actions were mapped into integers, the dictionaries could be implemented more efficiently as arrays. This entails an extra step in specifying problems. Implement this for the simple game. Is it more efficient?

## 12.5 Reinforcement Learning with Features

To run the demo, in folder “aipython”, load “rlFeatures.py”, and copy and paste the example queries at the bottom of that file. This assumes Python 3.

### 12.5.1 Representing Features

A feature is a function from state and action. To construct the features for a domain, we construct a function that takes a state and an action and returns the list of all feature values for that state and action. This feature set is redesigned for each problem.

\[ \text{get\_features(state, action)} \] returns the feature values appropriate for the simple game.

```python
from rlSimpleEnv import Simple_game_env
from rlProblem import RL_env

def get_features(state, action):
    """returns the list of feature values for the state-action pair"""

    assert action in Simple_game_env.actions
    (x,y,d,p) = state

    # f1: would go to a monster
    f1 = monster_ahead(x,y,action)
    # f2: would crash into wall
    f2 = wall_ahead(x,y,action)
    # f3: action is towards a prize
    f3 = towards_prize(x,y,action,p)
    # f4: damaged and action is toward repair station
    f4 = towards_repair(x,y,action) if d else 0
    # f5: damaged and towards monster
    f5 = 1 if d and f1 else 0
    # f6: damaged
    f6 = 1 if d else 0
    # f7: not damaged
```

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f7 = 1-f6
# f8: damaged and prize ahead
f8 = 1 if d and f3 else 0
# f9: not damaged and prize ahead
f9 = 1 if not d and f3 else 0
features = [1,f1,f2,f3,f4,f5,f6,f7,f8,f9]
# the next 20 features are for 5 prize locations
# and 4 distances from outside in all directions
for pr in Simple_game_env.prize_locs+[None]:
    if p==pr:
        features += [x, 4-x, y, 4-y]
    else:
        features += [0, 0, 0, 0]
# fp04 feature for y when prize is at 0,4
# this knows about the wall to the right of the prize
if p==(0,4):
    if x==0:
        fp04 = y
    elif y<3:
        fp04 = y
    else:
        fp04 = 4-y
else:
    fp04 = 0
features.append(fp04)
return features

def monster_ahead(x,y,action):
    """returns 1 if the location expected to get to by doing
    action from (x,y) can contain a monster.  """
    if action == "right" and (x+1,y) in Simple_game_env.monster_locs:
        return 1
    elif action == "left" and (x-1,y) in Simple_game_env.monster_locs:
        return 1
    elif action == "up" and (x,y+1) in Simple_game_env.monster_locs:
        return 1
    elif action == "down" and (x,y-1) in Simple_game_env.monster_locs:
        return 1
    else:
        return 0

def wall_ahead(x,y,action):
    """returns 1 if there is a wall in the direction of action from (x,y).
    This is complicated by the internal walls.  """
    if action == "right" and (x==Simple_game_env.xdim-1 or (x,y) in
        Simple_game_env.vwalls):
        return 1
    elif action == "left" and (x==0 or (x-1,y) in Simple_game_env.vwalls):
return 1
elif action == "up" and y==Simple_game_env.ydim-1:
    return 1
elif action == "down" and y==0:
    return 1
else:
    return 0
def towards_prize(x,y,action,p):
    """action goes in the direction of the prize from (x,y)""
    if p is None:
        return 0
eelif p==(0,4): # take into account the wall near the top-left prize
        if action == "left" and (x>1 or x==1 and y<3):
            return 1
        elif action == "down" and (x>0 and y>2):
            return 1
        elif action == "up" and (x==0 or y<2):
            return 1
        else:
            return 0
eelse:
    px,py = p
    if p==(4,4) and x==0:
        if (action=="right" and y<3) or (action=="down" and y>2) or
            (action=="up" and y<2):
            return 1
        else:
            return 0
eelif (action=="up" and y<py) or (action == "down" and py<y):
            return 1
eelif (action == "left" and px<x) or (action == "right" and x<px):
            return 1
        else:
            return 0
def towards_repair(x,y,action):
    """returns 1 if action is towards the repair station.  ""
    if action == "up" and (x>0 and y<4 or x==0 and y<2):
        return 1
    elif action == "left" and x>1:
        return 1
    elif action == "right" and x==0 and y<3:
        return 1
    elif action == "down" and x==0 and y>2:
        return 1
    else:
        return 0
def simp_features(state, action):
    """returns a list of feature values for the state-action pair
    ""
    assert action in Simple_game_env.actions
    (x,y,d,p) = state
    # f1: would go to a monster
    f1 = monster_ahead(x,y,action)
    # f2: would crash into wall
    f2 = wall_ahead(x,y,action)
    # f3: action is towards a prize
    f3 = towards_prize(x,y,action,p)
    return [1,f1,f2,f3]

12.5.2 Feature-based RL learner

This learns a linear function approximation of the Q-values. It requires the function get_features that given a state and an action returns a list of values for all of the features. Each environment requires this function to be provided.

---

import random
from rlQLearner import RL_agent
from display import Displayable
from utilities import argmaxe, flip

class SARSA_LFA_learner(RL_agent):
    """A SARSA_LFA learning agent has
    belief-state consisting of
    state is the previous state
    q is a {(state,action):value} dict
    visits is a {(state,action):n} dict. n is how many times action was done in state
    acc_rewards is the accumulated reward
    ""
    def __init__(self, env, get_features, discount, explore=0.2, step_size=0.01,
                 winit=0, label="SARSA_LFA"):
        """env is the feature environment to interact with
        get_features is a function get_features(state,action) that returns
        the list of feature values
        discount is the discount factor
        explore is the proportion of time the agent will explore
        step_size is gradient descent step size
        winit is the initial value of the weights
        label is the label for plotting
        ""
        RL_agent.__init__(self)
        self.env = env

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self.get_features = get_features
def restart(self):
    """make the agent relearn, and reset the accumulated rewards """
    self.acc_rewards = 0
    self.state = self.env.state
    self.features = self.get_features(self.state,
        list(self.env.actions)[0])
    self.weights = [self.winit for f in self.features]
    self.action = self.select_action(self.state)

do(self,num_steps=100):
    """do num_steps of interaction with the environment"""
    for i in range(num_steps):
        next_state,reward = self.env.do(self.action)
        self.acc_rewards += reward
        next_action = self.select_action(next_state)
        feature_values = self.get_features(self.state,self.action)
        oldQ = dot_product(self.weights, feature_values)
        nextQ = dot_product(self.weights,
            self.get_features(next_state,next_action))
        delta = reward + self.discount * nextQ - oldQ
        for i in range(len(self.weights)):
            self.weights[i] += self.step_size * delta * feature_values[i]
    self.display(2,self.state, self.action, reward, next_state,
        dot_product(self.weights, feature_values), delta,
        sep='\t')
    self.state = next_state
    self.action = next_action

def select_action(self, state):
    """returns an action to carry out for the current agent
given the state, and the q-function.
This implements an epsilon-greedy approach
where self.explore is the probability of exploring."""
if flip(self.explore):
    return random.choice(self.actions)
else:
    return argmaxe((next_act, dot_product(self.weights,
                                           self.get_features(state,next_act)))
                       for next_act in self.actions)

def show_actions(self,state=None):
    """prints the value for each action in a state.
    This may be useful for debugging.
    """
    if state is None:
        state = self.state
    for next_act in self.actions:
        print(next_act,dot_product(self.weights,
                                     self.get_features(state,next_act)))

def dot_product(l1,l2):
    return sum(e1*e2 for (e1,e2) in zip(l1,l2))

Test code:

from rlQTest import env # simple game environment
from rlSimpleGameFeatures import get_features, simp_features
from rlPlot import plot_rl
fa1 = SARSA_LFA_learner(env, get_features, 0.9, step_size=0.01)
#fa1.max_display_level = 2
#fa1.do(20)
#plot_rl(fa1,steps_explore=10000,steps_exploit=10000,label="SARSA_LFA(0.01)")
fas1 = SARSA_LFA_learner(env, simp_features, 0.9, step_size=0.01)
#plot_rl(fas1,steps_explore=10000,steps_exploit=10000,label="SARSA_LFA(simp)")

Exercise 12.6  How does the step-size affect performance? Try different step sizes
(e.g., 0.1, 0.001, other sizes in between). Explain the behaviour you observe. Which
step size works best for this example. Explain what evidence you are basing your
prediction on.

Exercise 12.7  Does having extra features always help? Does it sometime help?
Does whether it helps depend on the step size? Give evidence for your claims.

Exercise 12.8  For each of the following first predict, then plot, then explain the
behaviour you observed:

(a) SARSA_LFA, Model-based learning (with 1 update per step) and Q-learning
    for 10,000 steps 20% exploring followed by 10,000 steps 100% exploiting

(b) SARSA_LFA, model-based learning and Q-learning for
    i) 100,000 steps 20% exploring followed by 100,000 steps 100% exploit
    ii) 10,000 steps 20% exploring followed by 190,000 steps 100% exploit

http://aipython.org
Suppose your goal was to have the best accumulated reward after 200,000 steps. You are allowed to change the exploration rate at a fixed number of steps. For each of the methods, which is the best position to start exploiting more? Which method is better? What if you wanted to have the best reward after 10,000 or 1,000 steps?

Based on this evidence, explain when it is preferable to use SARSA_LFA, Model-based learner, or Q-learning.

Important: you need to run each algorithm more than once. Your explanation should include the variability as well as the typical behavior.

### 12.5.3 Experience Replay

Here we consider experience replay with a bounded replay buffer for SARSA_LFA.

Warning: does not work properly yet.

Should self.env return (reward, state) to be consistent with (S,A,R,S)?

```python
from rlFeatures import SARSA_LFA_learner, dot_product
from utilities import flip
import random

class SARSA_LFA_AR_learner(SARSA_LFA_learner):
    def __init__(self, env, get_features, discount, explore=0.2, step_size=0.01, winit=0, label="SARSA_LFA-AR", max_buffer_size=500, num_updates_per_action=5, burn_in=100):
        SARSA_LFA_learner.__init__(self, env, get_features, discount, explore, step_size, winit, label)
        self.max_buffer_size = max_buffer_size
        self.action_buffer = [0]*max_buffer_size
        self.number_added = 0
        self.num_updates_per_action = num_updates_per_action
        self.burn_in = burn_in
        self.action_buffer[0] = experience
    def add_to_buffer(self, experience):
        if self.number_added < self.max_buffer_size:
            self.action_buffer[self.number_added] = experience
        else:
            if flip(self.max_buffer_size/self.number_added):
                position = random.randrange(self.max_buffer_size)
                self.action_buffer[position] = experience
                self.number_added += 1
    def do(self, num_steps=100):
        for i in range(num_steps):
            display(2,"s\ta\tr\ts'\tQ\tdelta")
```
next_state, reward = self.env.do(self.action)
self.add_to_buffer((self.state, self.action, reward, next_state))
    # remember experience
self.acc_rewards += reward
next_action = self.select_action(next_state)
feature_values = self.get_features(self.state, self.action)
oldQ = dot_product(self.weights, feature_values)
nextQ = dot_product(self.weights, self.get_features(next_state, next_action))
delta = reward + self.discount * nextQ - oldQ
for i in range(len(self.weights)):
    self.weights[i] += self.step_size * delta * feature_values[i]
self.state = next_state
self.action = next_action
if self.number_added > self.burn_in:
    for i in range(self.num_updates_per_action):
        (s, a, r, ns) =
            self.action_buffer[random.randrange(min(self.number_added, self.max_buffer_size))]
        na = self.select_action(ns)
        feature_values = self.get_features(s, a)
        oldQ = dot_product(self.weights, feature_values)
        nextQ = dot_product(self.weights, self.get_features(ns, na))
        delta = reward + self.discount * nextQ - oldQ
        for i in range(len(self.weights)):
            self.weights[i] += self.step_size * delta * feature_values[i]

Test code:

```
from rlTest import env  # simple game environment
from rlSimpleGameFeatures import get_features, simp_features
from rlPlot import plot_rl
fa1 = SARSA_LFA_AR_learner(env, get_features, 0.9, step_size=0.01)
# fa1.max_display_level = 2
# fa1.do(20)
# plot_rl(fa1, steps_explore=10000, steps_exploit=10000, label="SARSA_LFA_AR(0.01)")
fas1 = SARSA_LFA_AR_learner(env, simp_features, 0.9, step_size=0.01)
# plot_rl(fas1, steps_explore=10000, steps_exploit=10000, label="SARSA_LFA_AR(simp)")
```

## 12.6 Multiagent Learning

The next code of for multiple agents that learn when interacting with other agents. This code is designed to be extended, and as such is restricted to being

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two agents, a single state, and the only observation is the reward. Coordinating agents can’t easily implement that agent architecture. However, in that architecture, an agent calls the environment. That architecture was chosen because it was simple. However, it does not really work when there are multiple agents, instead we have a controller that tells the agents the percepts (here the percepts are just the reward).

```python
from display import Displayable
import utilities  # argmaxall for (element,value) pairs
import matplotlib.pyplot as plt
import random

class GameAgent(Displayable):
    next_id=0
    def __init__(self, actions):
        ""
        Actions is the set of actions the agent can do. It needs to be told that!
        ""
        self.actions = actions
        self.id = GameAgent.next_id
        GameAgent.next_id += 1
        self.display(2,f"Agent {self.id} has actions {actions}"
        self.dist = {act:1 for act in actions} # unnormalized distibution
        self.total_score = 0

    def init_action(self):
        ""
        The initial action.
        Act randomly initially
        Could be overridden (but I'm not sure why you would).  
        ""
        self.act = random.choice(self.actions)
        return self.act

    def select_action(self, reward):
        ""
        Select the action given the reward.
        This implements "Act randomly" and should be overridden!
        ""
        self.total_score += reward
        self.act = random.choice(self.actions)
        return self.act

class SimpleCountingAgent(GameAgent):
    """This agent just counts the number of times (it thinks) it has won 
and does the 
actions it thinks is most likely to win.
""
```

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def __init__(self, actions, prior_count=1):
    
    Actions is the set of actions the agent can do. It needs to be told that!
    
    GameAgent.__init__(self, actions)
    self.prior_count = prior_count
    self.dist = {a: prior_count for a in self.actions}  # unnormalized distribution
    self.averew = 0
    self.num_steps = 0

def select_action(self, reward):
    self.total_score += reward
    self.num_steps += 1
    self.display(2, f"The reward for agent {self.id} was {reward}"
    self.averew = self.averew + (reward - self.averew) / self.num_steps
    if reward > self.averew:
        self.dist[self.act] += 1
    else:
        for otheract in self.actions:
            if otheract != self.act:
                self.dist[otheract] += 1 / (len(self.actions))
    self.display(2, f"Distribution for agent {self.id} is {normalize(self.dist)}")
    self.act = select_from_dist(self.dist)
    self.display(2, f"Agent {self.id} did {self.act}"
    return self.act

class SimpleQAgent(GameAgent):
    
    This agent maintains the Q-function for each state.
    (Or just the average reward as the future state is all the same).
    Chooses the best action using
    
    Actions is the set of actions the agent can do. It needs to be told that!
    q_init is the initial q-values
    alpha is the step size for action estimate
    prob_step_size is the step size for probability change
    min_prob is the minimum a probability should become
    
    GameAgent.__init__(self, actions)
    self.Q = {a:q_init for a in self.actions}
    self.dist = normalize({a:0.7+random.random() for a in self.actions})  # start with random dist but not too close to zero
self.alpha = alpha
self.prob_step_size = prob_step_size
self.min_prob = min_prob
self.num_steps = 1 # (1 because it is only used after initial step)

def select_action(self, reward):
    self.total_score += reward
    self.display(2,f"The reward for agent {self.id} was {reward}")
    a_best = utilities.argmaxall(self.Q.items())
    for a in self.actions:
        if a in a_best:
            self.dist[a] += self.prob_step_size
        else:
            self.dist[a] -= min(self.dist[a], self.prob_step_size)
    self.dist[a] = max(self.dist[a],self.min_prob)
    self.dist = normalize(self.dist)
    self.display(2,f"Distribution for agent {self.id} is {self.dist}")
    self.act = select_from_dist(self.dist)
    self.display(2,f"Agent {self.id} did {self.act}")
    return self.act

def normalize(dist):
    """unnorm dict is a {value:number} dictionary, where the numbers are
    all non-negative
    returns dict where the numbers sum to one
    """
    tot = sum(dist.values())
    return {var:val/tot for (var,val) in dist.items()}

def select_from_dist(dist):
    rand = random.random()
    for (act,prob) in normalize(dist).items():
        rand -= prob
        if rand < 0:
            return act

The simulator takes a game and simulates the game:

```python
http://aipython.org
```
for i in range(steps):
    self.num_steps += 1
    self.rewards = self.game.play(self.actions)
    self.reward_history.append(self.rewards)
    self.actions =
        tuple(self.agents[i].select_action(self.rewards[i])
            for i in range(self.game.num_agents))
    self.action_history.append(self.actions)
    self.dist_history.append([normalize(ag.dist) for ag in self.agents])
    print("Scores: ", ".join(f"Agent {ag.id} average reward={ag.total_score/self.num_steps}" for ag in self.agents)
    #return self.reward_history, self.action_history

def action_dist(self, which_actions=[1,1]):
    """ which actions is [a0,a1]
    returns the empirical distribution of actions for agents,
    where ai specifies the index of the actions for agent i
    """
    return [
        sum(1 for a in sim.action_history
            if a[i]==gm.actions[i][which_actions[i]])/
        len(sim.action_history)
            for i in range(2)]

masLearn.py — (continued)

def plot_dynamics(self, x_action=0, y_action=0):
    plt.ion() # make it interactive
    agents = self.agents
    x_act = self.game.actions[0][x_action]
    y_act = self.game.actions[1][y_action]
    plt.xlabel(f"Action {self.agents[0].actions[x_action]} for Agent {agents[0].id}")
    plt.ylabel(f"Action {self.agents[1].actions[y_action]} for Agent {agents[1].id}"")
    plt.plot([self.dist_history[t][0][x_act] for t in range(len(self.dist_history))],
              [self.dist_history[t][1][y_act] for t in range(len(self.dist_history))])
    #plt.legend()

The following are some games from Poole and Mackworth [2017].
12.6. Multiagent Learning

('football', 'shopping'): (0, 0),
('shopping', 'football'): (0, 0),
('shopping', 'shopping'): (1, 2)\[actions\]

```python
class SoccerGame(Displayable):
    def __init__(self):
        self.num_agents = 2
        self.actions = [['left', 'right']] * 2

def play(self, actions):
    return {'left', 'left': (0.6, 0.4),
            'left', 'right': (0.2, 0.8),
            'right', 'left': (0.3, 0.7),
            'right', 'right': (0.9, 0.1)}\[actions\]

class GameShow(Displayable):
    def __init__(self):
        self.num_agents = 2
        self.actions = [['take', 'give']] * 2

def play(self, actions):
    return {'take', 'take': (100, 100),
            'take', 'give': (1100, 0),
            'give', 'take': (0, 1100),
            'give', 'give': (1000, 1000)}\[actions\]

class UniqueNEGameExample(Displayable):
    def __init__(self):
        self.num_agents = 2
        self.actions = [['a1', 'b1', 'c1'], ['d2', 'e2', 'f2']]

def play(self, actions):
    return {'a1', 'd2': (3, 5),
            'a1', 'e2': (5, 1),
            'a1', 'f2': (1, 2),
            'b1', 'd2': (1, 1),
            'b1', 'e2': (2, 9),
            'b1', 'f2': (6, 4),
            'c1', 'd2': (2, 6),
            'c1', 'e2': (4, 7),
            'c1', 'f2': (0, 8)}\[actions\]
```

# Choose one:
# gm = ShoppingGame()
# gm = SoccerGame()

http://aipython.org
# gm = GameShow()
# gm = UniqueNEGameExample()

# Choose one:
# sim=SimulateGame(gm,[SimpleQAgent(gm.actions[0]),
#                     SimpleQAgent(gm.actions[1])]); sim.go(10000)
# sim= SimulateGame(gm,[SimpleCountingAgent(gm.actions[0]),
#                     SimpleCountingAgent(gm.actions[1])]); sim.go(10000)
# sim=SimulateGame(gm,[SimpleCountingAgent(gm.actions[0]),
#                     SimpleQAgent(gm.actions[1])]); sim.go(10000)

# sim.plot_dynamics()

# empirical proportion that agents did their action at index 1:
# sim.action_dist([1,1])

# learned distribution for agent 0
# sim.agents[0].dist
13.1 Collaborative Filtering


This assumes the form of the dataset from movielens (http://grouplens.org/datasets/movielens/). The rating are a set of (user, item, rating, timestamp) tuples.

```python
import random
import matplotlib.pyplot as plt
import urllib.request
from learnProblem import Learner
from display import Displayable

class CF_learner(Learner):
    def __init__(self,
                 rating_set, # a Rating_set object
                 rating_subset = None, # subset of ratings to be used as training ratings
                 test_subset = None, # subset of ratings to be used as test ratings
                 step_size = 0.01, # gradient descent step size
                 reglz = 1.0, # the weight for the regularization terms
                 num_properties = 10, # number of hidden properties
                 property_range = 0.02 # properties are initialized to be between
                                       # -property_range and property_range
```

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```python
self.rating_set = rating_set
self.ratings = rating_subset or rating_set.training_ratings # whichever is not empty
if test_subset is None:
    self.test_ratings = self.rating_set.test_ratings
else:
    self.test_ratings = test_subset
self.step_size = step_size
self.reglz = reglz
self.num_properties = num_properties
self.num_ratings = len(self.ratings)
self.ave_rating = (sum(r for (u,i,r,t) in self.ratings)
    /self.num_ratings)
self.users = {u for (u,i,r,t) in self.ratings}
self.items = {i for (u,i,r,t) in self.ratings}
self.user_bias = {u:0 for u in self.users}
self.item_bias = {i:0 for i in self.items}
self.user_prop = {u:[random.uniform(-property_range,property_range) for p in range(num_properties)] for u in self.users}
self.item_prop = {i:[random.uniform(-property_range,property_range) for p in range(num_properties)] for i in self.items}
self.zeros = [0 for p in range(num_properties)]
self.iter=0

def stats(self):
    self.display(1,"ave sumsq error of mean for training=",
        sum((self.ave_rating-rating)**2 for (user,item,rating,timestamp) in self.ratings)/len(self.ratings))
    self.display(1,"ave sumsq error of mean for test=",
        sum((self.ave_rating-rating)**2 for (user,item,rating,timestamp) in self.test_ratings)/len(self.test_ratings))
    self.display(1,"error on training set",
        self.evaluate(self.ratings))
    self.display(1,"error on test set",
        self.evaluate(self.test_ratings))
```

Learn carries out num_iter steps of gradient descent.

```python
def prediction(self,user,item):
    """Returns prediction for this user on this item.
    The use of .get() is to handle users or items not in the training set.
    """
    return (self.ave_rating
        + self.user_bias.get(user,0) #self.user_bias[user]
```
13.1. Collaborative Filtering

```python
+ self.item_bias.get(item,0) #self.item_bias[item]
+ 
+ sum([self.user_prop.get(user, self.zeros)[p]*self.item_prop.get(item, self.zeros)
            for p in range(self.num_properties)])

def learn(self, num_iter = 50):
    """ do num_iter iterations of gradient descent."""
    for i in range(num_iter):
        self.iter += 1
        abs_error=0
        sumsq_error=0
        for (user,item,rating,timestamp) in random.sample(self.ratings,
                    len(self.ratings)):
            error = self.prediction(user,item) - rating
            abs_error += abs(error)
            sumsq_error += error * error
            self.user_bias[user] -= self.step_size*error
            self.item_bias[item] -= self.step_size*error
            for p in range(self.num_properties):
                self.user_prop[user][p] -=
                self.step_size*error*self.item_prop[item][p]
                self.item_prop[item][p] -=
                self.step_size*error*self.user_prop[user][p]
        for user in self.users:
            self.user_bias[user] -= self.step_size+self.regulz*
            self.user_bias[user]
            for p in range(self.num_properties):
                self.user_prop[user][p] -=
                self.step_size+self.regulz*self.user_prop[user][p]
        for item in self.items:
            self.item_bias[item] -=
            self.step_size+self.regulz*self.item_bias[item]
            for p in range(self.num_properties):
                self.item_prop[item][p] -=
                self.step_size+self.regulz*self.item_prop[item][p]
        self.display(1,"Iteration",self.
        "(Ave Abs,AveSumSq) training=",self.evaluate(self.ratings),
        "test =",self.evaluate(self.test_ratings))

```

evaluate evaluates current predictions on the rating set:

```python

def evaluate(self,ratings):
    """returns (average_absolute_error, average_sum_squares_error) for ratings
    """
    abs_error = 0
    sumsq_error = 0
    if not ratings: return (0,0)
    for (user,item,rating,timestamp) in ratings:
```

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error = self.prediction(user, item) - rating
abs_error += abs(error)
sumsq_error += error * error
return abs_error/len(ratings), sumsq_error/len(ratings)

13.1.1 Alternative Formulation
An alternative formulation is to regularize after each update.

13.1.2 Plotting

```python
def plot_predictions(self, examples="test"):
    ""
    examples is either "test" or "training" or the actual examples
    ""
    if examples == "test":
        examples = self.test_ratings
    elif examples == "training":
        examples = self.ratings
    plt.ion()
    plt.xlabel("prediction")
    plt.ylabel("cumulative proportion")
    self.actuals = [[] for r in range(0,6)]
    for (user, item, rating, timestamp) in examples:
        self.actuals[rating].append(self.prediction(user, item))
    for rating in range(1,6):
        self.actuals[rating].sort()
        numrat = len(self.actuals[rating])
        yvals = [i/numrat for i in range(numrat)]
        plt.plot(self.actuals[rating], yvals, label="rating="+str(rating))
    plt.legend()
    plt.draw()
```

This plots a single property. Each (user, item, rating) is plotted where the x-value is the value of the property for the user, the y-value is the value of the property for the item, and the rating is plotted at this (x, y) position. That is, rating is plotted at the (x, y) position (p(user), p(item)).

```python
def plot_property(self,
    p, # property
    plot_all=False, # true if all points should be plotted
    num_points=200 # number of random points plotted if not all
    ):
    """plot some of the user-movie ratings,
http://aipython.org
```

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if plot_all is true
num_points is the number of points selected at random plotted.

the plot has the users on the x-axis sorted by their value on
property p and

with the items on the y-axis sorted by their value on property p and

the ratings plotted at the corresponding x-y position.

plt.ion()
plt.xlabel("users")
plt.ylabel("items")
user_vals = [self.user_prop[u][p]
    for u in self.users]
item_vals = [self.item_prop[i][p]
    for i in self.items]
plt.axis([min(user_vals)-0.02,
    max(user_vals)+0.05,
    min(item_vals)-0.02,
    max(item_vals)+0.05])
if plot_all:
    for (u,i,r,t) in self.ratings:
        plt.text(self.user_prop[u][p],
            self.item_prop[i][p],
            str(r))
else:
    for i in range(num_points):
        (u,i,r,t) = random.choice(self.ratings)
        plt.text(self.user_prop[u][p],
            self.item_prop[i][p],
            str(r))
plt.show()

13.1.3 Creating Rating Sets

A rating set can be read from the Internet or read from a local file. The default
is to read the Movielens 100K dataset from the Internet. It would be more
efficient to save the dataset as a local file, and then set local_file = True, as then
it will not need to download the dataset every time the program is run.

class Rating_set(Displayable):
def __init__(self,
    date_split=892000000,
    local_file=False,
    url="http://files.grouplens.org/datasets/movielens/ml-100k/u.data",
    file_name="u.data"):
    self.display(1,"reading...")
if local_file:
    lines = open(file_name, 'r')
else:

lines = (line.decode('utf-8') for line in urllib.request.urlopen(url))
all_ratings = (tuple(int(e) for e in line.strip().split('	')) for line in lines)
self.training_ratings = []
self.training_stats = {1:0, 2:0, 3:0, 4:0 ,5:0}
self.test_ratings = []
self.test_stats = {1:0, 2:0, 3:0, 4:0 ,5:0}
for rate in all_ratings:
        self.training_ratings.append(rate)
        self.training_stats[rate[2]] += 1
    else:
        self.test_ratings.append(rate)
        self.test_stats[rate[2]] += 1
self.display(1,"...read:", len(self.training_ratings),"training ratings and ",
            len(self.test_ratings),"test ratings")
tr_users = {user for (user,item,rating,timestamp) in self.training_ratings}
test_users = {user for (user,item,rating,timestamp) in self.test_ratings}
self.display(1,"users:", len(tr_users),"training","len(test_users),"test",
            len(tr_users & test_users),"in common")
tr_items = {item for (user,item,rating,timestamp) in self.training_ratings}
test_items = {item for (user,item,rating,timestamp) in self.test_ratings}
self.display(1,"items:", len(tr_items),"training","len(test_items),"test",
            len(tr_items & test_items),"in common")
self.display(1,"Rating statistics for training set:
            ",self.training_stats)
self.display(1,"Rating statistics for test set: ",self.test_stats)

Sometimes it is useful to plot a property for all (user, item, rating) triples. There are too many such triples in the data set. The method create_top_subset creates a much smaller dataset where this makes sense. It picks the most rated items, then picks the users who have the most ratings on these items. It is designed for depicting the meaning of properties, and may not be useful for other purposes.

def create_top_subset(self, num_items = 30, num_users = 30):
    """Returns a subset of the ratings by picking the most rated items, and then the users that have most ratings on these, and then all of the ratings that involve these users and items."
    items = {item for (user,item,rating,timestamp) in self.training_ratings}
13.1. Collaborative Filtering

```python
item_counts = {i: 0 for i in items}
for (user, item, rating, timestamp) in self.training_ratings:
    item_counts[item] += 1

items_sorted = sorted((item_counts[i], i) for i in items)
top_items = items_sorted[-num_items:]
set_top_items = set(item for (count, item) in top_items)

users = {user for (user, item, rating, timestamp) in self.training_ratings}
user_counts = {u: 0 for u in users}
for (user, item, rating, timestamp) in self.training_ratings:
    if item in set_top_items:
        user_counts[user] += 1

users_sorted = sorted((user_counts[u], u) for u in users)
top_users = users_sorted[-num_users:]
set_top_users = set(user for (count, user) in top_users)
used_ratings = [(user, item, rating, timestamp) for (user, item, rating, timestamp) in self.training_ratings
                if user in set_top_users and item in set_top_items]
return used_ratings

movielens = Rating_set()
learner1 = CF_learner(movielens, num_properties = 1)
# learner1.learn(50)
# learner1.plot_predictions(examples = "training")
# learner1.plot_predictions(examples = "test")
# learner1.plot_property(0)
# movielens_subset = movielens.create_top_subset(num_items = 20, num_users = 20)
# learner_s = CF_learner(movielens, rating_subset=movielens_subset, test_subset=[], num_properties=1)
# learner_s.learn(1000)
# learner_s.plot_property(0,plot_all=True)
```
Version History

• 2021-07-08 Version 0.9.1 updated the CSP code to have the same representation of variables as used by the probability code.

• 2021-05-13 Version 0.9.0 Major revisions to chapters 8 and 9. Introduced recursive conditioning, simplified much code. New section on multi-agent reinforcement learning.

• 2020-11-04 Version 0.8.6 simplified value iteration for MDPs.

• 2020-10-20 Version 0.8.4 planning simplified, and gives error if goal not part of state (by design). Fixed arc costs.

• 2020-07-21 Version 0.8.2 added positions and string to constraints.

• 2019-09-17 Version 0.8.0 rerepresented blocks world (Section 6.1.2) due to bug found by Donato Meoli.
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