- Embedding-based models build embeddings for entities and relations to predict tuples.
- Simplest case: relations between two entities.
  E.g., predicting the rating for a person on a movie (collaborative filtering).
- Knowledge graphs: predict subject-verb-object triples.

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### Learning a relation between two entities

A relation between users and items (movies). From Movielens:

User	Item	Rating	Timestamp				
196	242	3	881250949				
186	302	3	891717742				
22	377	1	878887116				
244	51	2	880606923				

- Netflix: 500K users, 17k movies, 100M ratings (withdrawn).
- Movielens: multiple datasets from 100K to 25M ratings, with links to IMDB, plus some user properties
- $\widehat{r_{ui}}$  = predicted rating of user *u* on item *i*

Es = set of (u, i, r) tuples in the training set (ignoring timestamp)Minimize sum squares error:

$$\sum_{(u,i,r)\in Es} (\widehat{r_{ui}} - r)^2$$

### Learning Relational Models with Latent Variables

- Predict same for all ratings:  $\widehat{\textbf{r}_{ui}}=\mu$
- Adjust for each user and item: î<sub>ui</sub> = µ + b<sub>1</sub>[u] + b<sub>2</sub>[i]
  Question: b<sub>1</sub>[u] could be negative even though all ratings of u are higher that average. How?
- Question: How can this be used to personalize recommendations?
- One latent feature:  $f_i$  for each item and  $g_u$  for each user

$$\widehat{r_{ui}} = \mu + b_1[u] + b_2[i] + f_1[u] * f_2[i]$$

Positive f<sub>1</sub>[u] forms a soft clustering of users.
 Positive f<sub>2</sub>[i] forms a soft clustering of items.
 How do these clusterings interact?
 What about negative values?

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### What is being learned? (Single latent feature)

for each (u, i, r): r is plotted at point  $(f_1[u], f_2[i])$ .

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aipython: relnCollFilt.py What pattern would you expect?

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• *k* latent features (Python notation):

$$\widehat{r_{ui}} = \mu + b_1[u] + b_2[i] + \sum_f E_1[u][f] * E_2[i][f]$$

 $E_1[u]$  is the user embedding, a vector of numbers.  $E_2[i]$  is the item embedding, a vector of numbers.

• Regularize parameters except  $\mu$ .

• L2 regularization, minimize:

$$\left(\sum_{(u,i,r)\in Es} (\widehat{r_{ui}} - r)^2\right) + \lambda \sum_{parameter p} p^2$$

Image: Ima

#### Minimize:

$$\left(\sum_{(u,i,r)\in Es} (\mu + b_1[u] + b_2[i] + \sum_k E_1[u][f] * E_2[i][f] - r)^2\right) + \lambda \left(\sum_i (b_1[u]^2 + \sum_f E_1[u][f]^2) + \sum_u (b_2[i]^2 + \sum_f E_2[i][f]^2)\right)$$

where  $\lambda$  is a regularization parameter.

To find minimizing parameters:

- Gradient descent
- Iterative least squares: fix one of  $E_1$  and  $E_2$ ; the problem is ridge regression in the other.

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 $\mu :=$  average rating assign  $E_1[u][f]$ ,  $E_2[i][f]$  randomly and assign  $b_1[i]$ ,  $b_2[u]$  arbitrarily **repeat:** 

for each  $(u, i, r) \in Es$ :  $e := \mu + b_1[i] + b_2[u] + \sum_{k} E_1[u][f] * E_2[i][f] - r$  $b_1[i] := b_1[i] - \eta * e$  $b_2[u] := b_2[u] - \eta * e$ for each feature f.  $E_1[u][f] := E_1[u][f] - \eta * e * E_2[i][f]$  $E_{2}[i][f] := E_{2}[i][f] - \eta * e * E_{1}[u][f]$ for each item *i*:  $b_1[i] := b_1[i] - \eta * \lambda * b_1[i]$ for each feature f:  $E_1[u][f] := E_1[u][f] - \eta * \lambda * E_1[u][f]$ for each user u:  $b_{2}[u] := b_{2}[u] - \eta * \lambda * b_{2}[u]$ for each feature k:  $E_{2}[i][f] := E_{2}[i][f] - \eta * \lambda * E_{2}[i][f]$ 

- What is you want to predict Boolean rating > 3?
  - Use sigmoid.
  - What should we minimize?
  - How does the algorithm change?
- What if we want to predict *rated*, where *rated*(u, i) is true if (u, i, r) ∈ Es for some r?
  - There are no negative examples!
  - Use k random examples for each positve example! but the average probability is 1/k, which is not derived from the data.

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# Knowledge Graphs and Triples

- A knowledge graph is defined in term of triples of the form (s, r, o), with subject s, relation (verb) r, and object o
- The extension of matrix factorization to triples is polyadic decomposition:

$$\widehat{p}((s, r, o)) = sigmoid(\mu + b_1[s] + b_2[r] + b_3[o]$$
$$\sum_{f} E_1[s][f] * E_2[r][f] * E_3[o][f])$$

 $\blacktriangleright$  a global bias  $\mu$ 

- two biases for each entity e: b<sub>1</sub>[e] used when e is in the first position and b<sub>3</sub>[e], for when e in third position
- a bias for each relation r, namely b<sub>2</sub>[r]
- matrixes  $E_1$  and  $E_3$  $E_1[e]$  is subject embedding for entity  $e \longrightarrow$  latent properties  $E_3[e]$ , is object embedding entity e

▶ matrix  $E_2$ , where  $E_2[r]$  is relation embedding for relation r. All embeddings  $E_1[e]$ ,  $E_2[r]$  and  $E_3[e]$  are the same length.

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- To optimize log loss with L2 regularization: same as previous algorithm with a different predictor and more parameters to tune and regularize.
- Requires negative examples, but knowledge graphs don't have negative examples.
- Regularize  $\mu$  or provide made-up negative examples.
- But, not all relations have same number of negative examples, eg. "married-to" vs "has-streamed".

## Improving Polyadic Decomposition

 Suppose triples are of the form (u, likes, m) and (m, directed\_by, d).

How can we represent "Sam likes movies directed by Bong Joon-ho"?

The subject and object embeddings for movies are independent of each other, so this cannot be represented or learned.

- Solution: also represent (m, likes<sup>-1</sup>, u) and (d, directed\_by<sup>-1</sup>, m).
- polyadic decomposition with inverses:

$$\widehat{p}(h,r,t) = \frac{1}{2}(\widehat{pd}(h,r,t) + \widehat{pd}(t,r^{-1},h))$$

where  $\widehat{pd}$  is the prediction from the polyadic decomposition.

Image: Ima

## What does polyadic decomposition learn?

- The polyadic decomposition is fully expressive: it can represent (with error less than any  $\epsilon$ ), any relation.
- Initially assume subject and object embeddings are non-negative and bounded.
- Each embedding position in the subject/object embedding forms a soft clustering of entities.
- For each embedding position, a relation with a high value (≫ 0) in that position, the entities in the subject soft cluster are related to the entities in the object soft cluster. (The product is only high when all three are high).
- $\bullet$  A relation with a value  $\ll 0$  in a position forms exceptions.
- For possibly negative subject and object embeddings: product of even number of negative values is positive product of odd number of negative values is negative
- The addition lets these values be combined.

- The polyadic decomposition makes predictions by clustering entities in different ways.
- It learns about each entity; embeddings are used to predict interactions.
- It does not learn general knowledge that can be applied to other populations.